

## Applications

**Example:** Denoting the three terms of  $\nabla^2$  in spherical polar by  $\nabla_r^2$ ,  $\nabla_\theta^2$ ,  $\nabla_\phi^2$  in an obvious way, evaluate  $\nabla_r^2 u$  etc. for the two functions given below and verify that, in each case, although the individual are not necessarily zero their sum  $\nabla^2 u$  is zero. Identify the corresponding values of  $\ell$  and  $m$ .

$$\begin{aligned} \text{(a) } u(r, \theta, \phi) &= \left( Ar^2 + \frac{B}{r^3} \right) \frac{3 \cos^2 \theta - 1}{2}. \\ \text{(b) } u(r, \theta, \phi) &= \left( Ar + \frac{B}{r^2} \right) \sin \theta \exp i\phi. \end{aligned}$$

**Answer:**

In both cases we write  $u(r, \theta, \phi)$  as  $R(r)\Theta(\theta)\Phi(\phi) \equiv R\Theta\Phi$  with

$$\nabla_r^2 = \frac{1}{r^2} \frac{\partial}{\partial r} \left( r^2 \frac{\partial}{\partial r} \right), \quad \nabla_\theta^2 = \frac{1}{r^2 \sin \theta} \frac{\partial}{\partial \theta} \left( \sin \theta \frac{\partial}{\partial \theta} \right), \quad \nabla_\phi^2 = \frac{1}{r^2 \sin^2 \theta} \frac{\partial^2}{\partial \phi^2}$$

$$\text{(a) } u(r, \theta, \phi) = \left( Ar^2 + \frac{B}{r^3} \right) \frac{3 \cos^2 \theta - 1}{2}.$$

$$\nabla_r^2 u = \frac{1}{r^2} \frac{\partial}{\partial r} \left( 2Ar^3 - \frac{3B}{r^2} \right) \Theta = \left( 6A + \frac{6B}{r^5} \right) \Theta = \frac{6u}{r^2},$$

$$\begin{aligned} \nabla_\theta^2 u &= \frac{R}{r^2} \frac{1}{\sin \theta} \frac{\partial}{\partial \theta} (-3 \sin^2 \theta \cos \theta) = \frac{R}{r^2} \left( \frac{-6 \sin \theta \cos^2 \theta + 3 \sin^3 \theta}{\sin \theta} \right) \\ &= \frac{R}{r^2} (-9 \cos^2 \theta + 3) = -\frac{6u}{r^2}, \end{aligned}$$

$$\nabla_\phi^2 u = 0.$$

Thus, although  $\nabla_r^2 u$  and  $\nabla_\theta^2 u$  are not individually zero, their sum is. From  $\nabla_r^2 u = \ell(\ell+1)u = 6u$ , we deduce that  $\ell = 2$  and from  $\nabla_\phi^2 u = 0$  that  $m = 0$ .

$$\text{(b) } u(r, \theta, \phi) = \left( Ar + \frac{B}{r^2} \right) \sin \theta e^{i\phi}.$$

$$\nabla_r^2 u = \frac{1}{r^2} \frac{\partial}{\partial r} \left( Ar^2 - \frac{2B}{r} \right) \Theta \Phi = \left( \frac{2A}{r} + \frac{2B}{r^4} \right) \Theta \Phi = \frac{2u}{r^2},$$

$$\begin{aligned} \nabla_\theta^2 u &= \frac{R\Phi}{r^2} \frac{1}{\sin \theta} \frac{\partial}{\partial \theta} (\sin \theta \cos \theta) = \frac{R\Phi}{r^2} \left( \frac{-\sin^2 \theta + \cos^2 \theta}{\sin \theta} \right) \\ &= -\frac{u}{r^2} + \frac{\cos^2 \theta}{\sin^2 \theta} \frac{u}{r^2}, \end{aligned}$$

$$\nabla_\phi^2 u = \frac{R\Theta}{r^2 \sin^2 \theta} \frac{\partial^2}{\partial \phi^2} (e^{i\phi}) = -\frac{u}{r^2 \sin^2 \theta}.$$

Hence

$$\nabla^2 u = \frac{2u}{r^2} - \frac{u}{r^2} + \frac{\cos^2 \theta}{\sin^2 \theta} \frac{u}{r^2} - \frac{u}{r^2 \sin^2 \theta} = \frac{u}{r^2} \left( 1 + \frac{\cos^2 \theta - 1}{\sin^2 \theta} \right) = 0.$$

Here each individual term is non-zero, but their sum is zero. Further,  $\ell(\ell+1) = 2$  and so  $\ell = 1$ , and from  $\nabla_\phi^2 u = -\frac{u}{r^2 \sin^2 \theta}$  it follows that  $m^2 = 1$ . In fact, from the part  $e^{i\phi}$  in  $u$ ,  $m = 1$ .

## Associated Legendre Polynomials

When Helmholtz's equation is separated in spherical polar coordinates, one of the separated ODE's is the associated Legendre equation

Differential equation

$$\left[ (1-x^2) \frac{d^2}{dx^2} - 2x \frac{d}{dx} + \left\{ n(n+1) - \frac{m^2}{(1-x^2)} \right\} \right] P_n^m(x) = 0$$

Definition

$$P_n^m(x) = (1-x^2)^{m/2} \frac{d^m}{dx^m} P_n(x); \quad n = 0, 1, 2, 3, \dots$$

$$m = 0, 1, 2, \dots, n$$

$$P_n^0(x) = P_n(x)$$

$$P_n^{-m}(x) = (-1)^m \frac{(n-m)!}{(n+m)!} P_n^m(x)$$

$$P_n^m(-x) = (-1)^{n+m} P_n^m(x)$$

$$P_n^m(x) = 0 \quad \text{if } m > n$$

Generating function

$$g(x, h) = (2m-1)!! \frac{(1-x^2)^{m/2} h^m}{(1-2xh+h^2)^{m+1/2}} = \sum_{n=0}^{\infty} h^n P_n^m(x), \quad |h| < 1, \quad |x| \leq +1$$

Recurrence relations

$$(2n+1)xP_n^m(x) = (n+m)P_{n-1}^m(x) + (n-m+1)P_{n+1}^m(x);$$

$$(2n+1)\sqrt{1-x^2}P_n^m(x) = P_{n-1}^{m+1}(x) - P_{n+1}^{m+1}(x)(x);$$

Orthogonality relation

$$\int_{-1}^1 P_n^m(x) P_\ell^m(x) dx = \frac{2}{2n+1} \frac{\Gamma(n+m+1)}{\Gamma(n-m+1)} \delta_{n\ell}$$

H.W. Check the following table

$m$	$n$	$P_n^m(x)$
<b>1</b>	<b>1</b>	$\sqrt{1-x^2} = \sin \theta$
<b>1</b>	<b>2</b>	$3x\sqrt{1-x^2} = 3\cos \theta \sin \theta$
<b>2</b>	<b>2</b>	$3(1-x^2) = 3\sin^2 \theta$
<b>1</b>	<b>3</b>	$\frac{3}{2}(5x^2-1)\sqrt{1-x^2} = \frac{3}{2}(5\cos^2 \theta - 1)\sin \theta$

## Spherical Harmonic Function $Y_{\ell,m}(\theta, \varphi)$

Definition

$$Y_{\ell,m}(\theta, \varphi) = \Theta(\theta) \frac{e^{im\varphi}}{\sqrt{2\pi}}$$

$$= (-1)^m \left[ \frac{(2\ell+1)(\ell-m)!}{4\pi(\ell+m)!} \right]^{1/2} P_\ell^m(\cos\theta) e^{im\varphi}; \quad m \geq 0$$

$$Y_{\ell,-m}(\theta, \varphi) = (-1)^m Y_{\ell,m}^*(\theta, \varphi);$$

where  $\ell = 0, 1, 2, \dots$ ;  $m = -\ell, -\ell+1, \dots, +\ell$ , and

$$\Theta(\theta) = \left[ \frac{(2\ell+1)(\ell-m)!}{2(\ell+m)!} \right]^{1/2} P_\ell^m(\cos\theta)$$

is the normalized angular function. An asterisk \* indicates complex conjugation.

$l$	$m$	$Y_{lm}(\theta, \varphi)$
0	0	$\frac{1}{\sqrt{4\pi}}$
1	0	$\sqrt{\frac{3}{4\pi}} \cos\theta$
1	$\pm 1$	$\mp \sqrt{\frac{3}{8\pi}} \sin\theta e^{\pm i\varphi}$
2	0	$\sqrt{\frac{5}{16\pi}} (3\cos^2\theta - 1)$
2	$\pm 1$	$\mp \sqrt{\frac{15}{8\pi}} \cos\theta \sin\theta e^{\pm i\varphi}$
2	$\pm 2$	$\sqrt{\frac{15}{32\pi}} \sin^2\theta e^{\pm 2i\varphi}$

Differential equation

$$\left[ \frac{1}{\sin\theta} \frac{\partial}{\partial\theta} \left( \sin\theta \frac{\partial}{\partial\theta} \right) + \frac{1}{\sin^2\theta} \frac{\partial^2}{\partial\varphi^2} + \ell(\ell+1) \right] Y_{\ell,m}(\theta, \varphi) = 0$$

Orthogonality relation

$$\langle \ell m | \ell' m' \rangle = \int_0^{2\pi} d\varphi \int_0^\pi \sin\theta d\theta Y_{\ell,m}^*(\theta, \varphi) Y_{\ell',m'}(\theta, \varphi) = \int Y_{\ell,m}^*(\theta, \varphi) Y_{\ell',m'}(\theta, \varphi) d\Omega = \delta_{\ell\ell'} \delta_{mm'}$$

Also

$$\sum_{m=-\ell}^{\ell} |Y_{\ell,m}(\theta, \varphi)|^2 = \frac{2\ell+1}{4\pi}$$

### Recurrence relations

$$\begin{aligned} \cos \theta Y_{\ell,m}(\theta, \varphi) &= \left[ \frac{(\ell+1+m)(\ell+1-m)}{(2\ell+1)(2\ell+3)} \right]^{1/2} Y_{\ell+1,m}(\theta, \varphi) \\ &\quad + \left[ \frac{(\ell+m)(\ell-m)}{(2\ell+1)(2\ell-1)} \right]^{1/2} Y_{\ell-1,m}(\theta, \varphi); \\ \sin \theta Y_{\ell,m}(\theta, \varphi) &= \left[ \frac{(\ell+1-m)(\ell+2-m)}{(2\ell+1)(2\ell+3)} \right]^{1/2} Y_{\ell+1,m-1}(\theta, \varphi) \\ &\quad + \left\{ \left[ \frac{(\ell+m)(\ell+m-1)}{(2\ell+1)(2\ell-1)} \right]^{1/2} Y_{\ell-1,m-1}(\theta, \varphi) \right\} e^{i\varphi} \end{aligned}$$

The statement of completeness is that any function  $f(\theta, \varphi)$  can be represented as a sum over spherical harmonics:

$$f(\theta, \phi) = \sum_{\ell=0}^{\infty} \sum_{m=-\ell}^{\ell} f_{\ell m} Y_{\ell m}(\theta, \phi) \quad (2.5.8)$$

for some coefficients  $f_{\ell m}$ . By virtue of Eq. (2.5.7), these can in fact be calculated as

$$f_{\ell m} = \int f(\theta, \phi) Y_{\ell m}^*(\theta, \phi) d\Omega. \quad (2.5.9)$$

Equation (2.5.8) means that the spherical harmonics form a complete set of *basis functions* on the sphere.

It is interesting to see what happens when Eq. (2.5.9) is substituted into Eq. (2.5.8). To avoid confusion we change the variables of integration to  $\theta'$  and  $\phi'$ :

$$\begin{aligned} f(\theta, \phi) &= \sum_{\ell} \sum_{m} Y_{\ell m}(\theta, \phi) \int f(\theta', \phi') Y_{\ell m}^*(\theta', \phi') d\Omega' \\ &= \int f(\theta', \phi') \left[ \sum_{\ell} \sum_{m} Y_{\ell m}^*(\theta', \phi') Y_{\ell m}(\theta, \phi) \right] d\Omega'. \end{aligned}$$

The quantity within the large square brackets is such that when it is multiplied by  $f(\theta', \phi')$  and integrated over the primed angles, it returns  $f(\theta, \phi)$ . This must therefore be a product of two  $\delta$ -functions, one for  $\theta$  and the other for  $\phi$ . More precisely stated,

$$\sum_{\ell=0}^{\infty} \sum_{m=-\ell}^{\ell} Y_{\ell m}^*(\theta', \phi') Y_{\ell m}(\theta, \phi) = \frac{\delta(\theta - \theta') \delta(\phi - \phi')}{\sin \theta}, \quad (2.5.10)$$

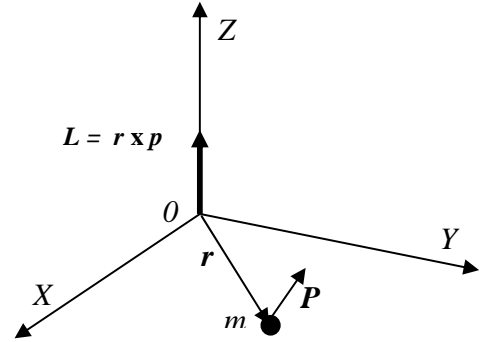
where the factor of  $1/\sin\theta$  was inserted to compensate for the factor of  $\sin\theta'$  in  $d\Omega'$  (the  $\delta$ -function is enforcing the condition  $\theta' = \theta$ ). Equation (2.5.10) is known as the *completeness relation* for the spherical harmonics. This is analogous to a well-known identity,

$$\int \left( \frac{1}{\sqrt{2\pi}} e^{ikx'} \right)^* \left( \frac{1}{\sqrt{2\pi}} e^{ikx} \right) dk = \delta(x - x'),$$

in which the integral over  $dk$  replaces the discrete summation over  $\ell$  and  $m$ ; the basis functions  $(2\pi)^{-1/2} e^{ikx}$  are then analogous to the spherical harmonics.

### Angular Momentum Operators

$$\mathbf{L} = \mathbf{r} \times \mathbf{p} = \begin{vmatrix} \mathbf{e}_x & \mathbf{e}_y & \mathbf{e}_z \\ x & y & z \\ p_x & p_y & p_z \end{vmatrix}$$



Use the spherical coordinates to prove the following expressions:

$$\hat{L}_x = -i\hbar \left( y \frac{\partial}{\partial z} - z \frac{\partial}{\partial y} \right) = i\hbar \left( \sin\theta \frac{\partial}{\partial\theta} + \cot\theta \cos\phi \frac{\partial}{\partial\phi} \right)$$

$$\hat{L}_y = -i\hbar \left( z \frac{\partial}{\partial x} - x \frac{\partial}{\partial z} \right) = i\hbar \left( -\cos\theta \frac{\partial}{\partial\theta} + \cot\theta \sin\phi \frac{\partial}{\partial\phi} \right)$$

$$\hat{L}^2 = \hat{L}_x^2 + \hat{L}_y^2 + \hat{L}_z^2 = -\hbar^2 \left[ \frac{1}{\sin\theta} \frac{\partial}{\partial\theta} \left( \sin\theta \frac{\partial}{\partial\theta} \right) + \frac{1}{\sin^2\theta} \frac{\partial^2}{\partial\phi^2} \right], \quad \hat{L}_z = -i\hbar \frac{\partial}{\partial\phi}$$

$$\begin{aligned} \hat{L}^2 Y_{\ell,m}(\theta, \phi) &= \hat{L}^2 |\ell, m\rangle = \ell(\ell+1) |\ell, m\rangle; \\ \hat{L}_z Y_{\ell,m}(\theta, \phi) &= m |\ell, m\rangle = m |\ell, m\rangle \\ \hat{L}_{\pm} |\ell, m\rangle &= C_{\pm} |\ell, m\rangle = \sqrt{\ell(\ell+1) - m(m \pm 1)} |\ell, m \pm 1\rangle \\ \hat{L}_{\pm} &\equiv \hat{L}_x \pm i\hat{L}_y = \pm e^{\pm i\phi} \left[ \frac{\partial}{\partial\theta} \pm i \frac{\cos\theta}{\sin\theta} \frac{\partial}{\partial\phi} \right] \end{aligned}$$

**Example:**  $Y_{l,0} = \sqrt{\frac{2l+1}{4\pi}} P_l(\cos\theta)$

**Example:**

$$\begin{aligned} Y_{3,0} &= \sqrt{\frac{7}{4\pi}} P_3(\cos\theta) = \sqrt{\frac{7}{4\pi}} \frac{1}{2} (5\cos^2\theta - 3\cos\theta) = \frac{1}{4} \sqrt{\frac{7}{\pi}} \cos\theta (5\cos\theta - 3) \\ &= \frac{1}{4} \sqrt{\frac{7}{\pi}} \frac{z}{r} (5\frac{z^2}{r^2} - 3) = \frac{1}{4} \sqrt{\frac{7}{\pi}} \frac{z}{r^3} (5z^2 - 3r^2) \end{aligned}$$

**Example:**

$$\begin{aligned} \psi &= x + iy = r \sin\theta (\cos\phi + i \sin\phi) = r \sin\theta e^{i\phi} \\ &= -\sqrt{\frac{8\pi}{3}} r Y_{1,1} \end{aligned}$$

**Example:**

$$\sin\theta (\sin\phi + \cos\theta \cos\phi) = -\frac{1}{2i} \sqrt{\frac{8\pi}{3}} Y_{1,1} - \frac{1}{2i} \sqrt{\frac{8\pi}{3}} Y_{1,-1} - \frac{1}{2} \sqrt{\frac{8\pi}{15}} Y_{2,1} + \frac{1}{2} \sqrt{\frac{8\pi}{15}} Y_{2,-1}$$

**Example:**  $\sin \theta (1 - \cos \theta) e^{i\varphi} = -\sqrt{\frac{8\pi}{3}} Y_{1,1} - \sqrt{\frac{8\pi}{15}} Y_{2,1}$

**Example:**  $\sqrt{\pi} - \sqrt{3\pi} \cos^2 \theta = -\pi \sqrt{\frac{16}{5}} Y_{2,0}$

**Example:**  $\sin \theta \cos \varphi = -\sqrt{\frac{2\pi}{3}} Y_{1,1} + \sqrt{\frac{2\pi}{3}} Y_{1,-1}$

**Homework:** Establish the following equations

(i)  $\frac{x}{r} = \sin \theta \cos \varphi = \sqrt{\frac{2\pi}{3}} [-Y_{1,1} + Y_{1,-1}]$  (ii)  $\frac{y}{r} = i \sqrt{\frac{2\pi}{3}} [Y_{2,2} - Y_{2,-2}]$

(iii)  $xz = r^2 \sqrt{\frac{2\pi}{15}} [-Y_{2,1} + Y_{2,-1}]$  (iv)  $x^2 - y^2 = r^2 \sqrt{\frac{8\pi}{15}} [Y_{2,2} + Y_{2,-2}]$

(v)  $xy = \frac{r^2}{i} \sqrt{\frac{2\pi}{15}} [-Y_{2,2} - Y_{2,-2}]$  (vi)  $\sin^2 \theta \cos 2\varphi = \sqrt{\frac{8\pi}{15}} [Y_{2,2} + Y_{2,-2}]$

**Example:** for  $l = 1$ ; find the matrix form of  $\langle \hat{L}^2 \rangle$ , and  $\langle \hat{L}_z \rangle$

Answer:

$$\langle \hat{L}^2 \rangle = \langle l' | \hat{L}^2 | l \rangle = l(l+1)\hbar^2 \underbrace{\langle l' | l \rangle}_{\delta_{l'l}} = 2\hbar^2 \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{matrix} |11\rangle \\ |10\rangle \\ |1-1\rangle \end{matrix}$$

$$\langle \hat{L}^2 \rangle = \begin{pmatrix} \langle 11 | \hat{L}^2 | 11 \rangle & \langle 11 | \hat{L}^2 | 10 \rangle & \langle 11 | \hat{L}^2 | 1-1 \rangle \\ \langle 10 | \hat{L}^2 | 11 \rangle & \langle 10 | \hat{L}^2 | 10 \rangle & \langle 10 | \hat{L}^2 | 1-1 \rangle \\ \langle 1-1 | \hat{L}^2 | 11 \rangle & \langle 1-1 | \hat{L}^2 | 10 \rangle & \langle 1-1 | \hat{L}^2 | 1-1 \rangle \end{pmatrix} = 2 \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix};$$

$$\langle \hat{L}_z \rangle = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & -1 \end{pmatrix}$$

**Example:** Is the following function the eigenfunction of  $\hat{L}^2$ ? of  $\hat{L}_z$ ?

$$x^2 - y^2 = r^2 \sqrt{\frac{8\pi}{15}} [Y_{2,2} + Y_{2,-2}]$$

It is an eigen function of  $\hat{L}^2$ , not  $\hat{L}_z$

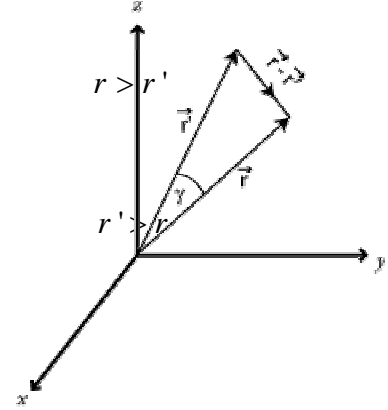
**Prove that:**  $\hat{L}_z (\cos^2 \varphi - \sin^2 \varphi + 2i \cos \varphi \sin \varphi) = 2\hbar e^{2i\varphi}$



**H. W. Multipole expansion: It easy to extend this expansion to the general one as:**

$$\frac{1}{|\vec{r} - \vec{r}'|} = \frac{1}{r \sqrt{1 + \left(\frac{r'}{r}\right)^2 - 2\left(\frac{r'}{r}\right) \cos \theta}} = \frac{1}{r} \sum_{\ell=0}^{\infty} \left(\frac{r'}{r}\right)^{\ell} P_{\ell}(x = \cos \theta),$$

$$= \frac{1}{r' \sqrt{1 + \left(\frac{r}{r'}\right)^2 - 2\left(\frac{r}{r'}\right) \cos \theta}} = \frac{1}{r'} \sum_{\ell=0}^{\infty} \left(\frac{r}{r'}\right)^{\ell} P_{\ell}(x = \cos \theta),$$



Answer:

$$\frac{1}{|\vec{r} - \vec{r}'|} = \frac{1}{\sqrt{r^2 - 2rr' \mu + r'^2}}, \quad \mu = \cos \gamma$$

Where  $\gamma$  is the angle between the two vectors  $\vec{r}$  and  $\vec{r}'$ .

Case I:  $r > r'$

$$\begin{aligned} \frac{1}{|\vec{r} - \vec{r}'|} &= \frac{1}{r} \left\{ 1 - \frac{2r'}{r} \mu + \left(\frac{r'}{r}\right)^2 \right\}^{-\frac{1}{2}} = \frac{1}{r} \left\{ 1 - \frac{r'}{r} \left( 2\mu - \frac{r'}{r} \right) \right\}^{-\frac{1}{2}} \\ &= \frac{1}{r} \left\{ 1 + \frac{1}{2} \frac{r'}{r} \left( 2\mu - \frac{r'}{r} \right) + \frac{3}{8} \left(\frac{r'}{r}\right)^2 \left( 2\mu - \frac{r'}{r} \right)^2 + \dots \right\} \\ &= \frac{1}{r} + \frac{r'}{r^2} \mu + \frac{r'^2}{r^3} \left( \frac{3\mu^2 - 1}{2} \right) + \dots \\ &= \frac{1}{r} P_0(\mu) + \frac{r'}{r^2} P_1(\mu) + \frac{r'^2}{r^3} P_2(\mu) + \dots \end{aligned}$$

$$\boxed{\frac{1}{|\vec{r} - \vec{r}'|} = \frac{1}{r} \sum_{\ell=0}^{\infty} \left(\frac{r'}{r}\right)^{\ell} P_{\ell}(\mu)}$$

Case I:  $r < r'$

$$\frac{1}{|\vec{r} - \vec{r}'|} = \frac{1}{r'} \sum_{\ell=0}^{\infty} \left(\frac{r}{r'}\right)^{\ell} P_{\ell}(\mu)$$

In general, we can write (see Jackson 3.38):

$$\frac{1}{|\vec{r} - \vec{r}'|} = \sum_{\ell=0}^{\infty} \frac{r_{<}^{\ell}}{r_{>}^{\ell+1}} P_{\ell}(\mu), :$$

In spherical coordinates, we can define the two vectors  $\vec{r}$  and  $\vec{r}'$  such as: