

# **King Fahd University of Petroleum & Minerals Computer Engineering Dept**

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**COE 540 –Computer Networks  
Term 071  
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## **Lecture Contents**

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1. Channels and Models
2. Error Detection
3. ARQ: Retransmission Strategies
4. Framing
5. Standard DLCs

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## Reading Assignment #2

- You are required to read the following Sections:
  - 2.7, 2.8, 2.9 and 2.10 of Gallager's textbook
- The material is required for subsequent quizzes and exam

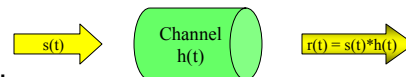
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## Channels and Models

- Channels
  - Digital – accepts/generates bit stream
  - Analog – accepts waveforms
- Modem: a box that maps digital information into an analog waveform
- Conventionally,
  - $s(t)$  – analog channel input
  - $r(t)$  – analog channel output
    - Could be distorted, delayed, attenuated version of  $s(t)$
- A good modulation/scheme maps the digital info into  $s(t)$  such that the signal impairments are minimal!



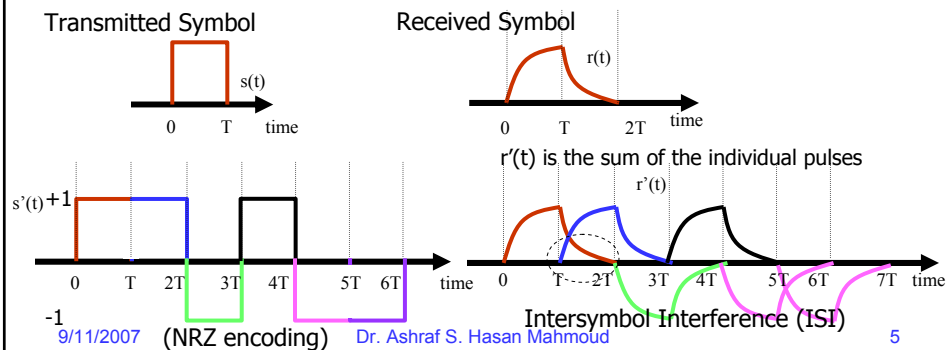
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## Filtering

- The medium works as a filter – it has its own  $h(t)$
- Properties of Linear-Time Invariant Filter:
  - If input  $s(t)$  yields output  $s(t)$ , then for any  $\tau$ , input  $s(t-\tau)$  yields  $s(t-\tau)$
  - If  $s(t)$  yields  $r(t)$ , then for any real number  $a$ ,  $as(t)$  yields  $ar(t)$ , and
  - If  $s_1(t)$  yields  $r_1(t)$  and  $s_2(t)$  yields  $r_2(t)$ , then  $s_1(t)+s_2(t)$  yields  $r_1(t)+r_2(t)$

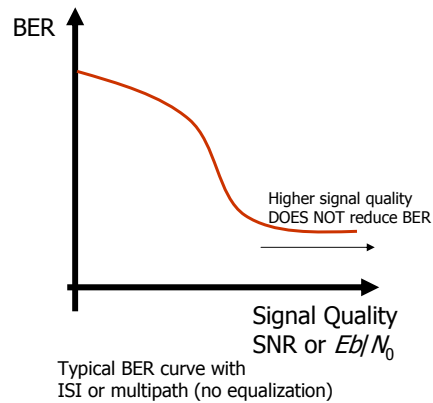
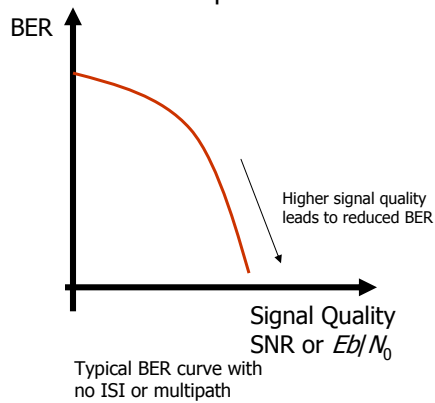


## Intersymbol Interference

- One symbol is being received while the tail(s) of the preceding symbols are not finished
  - A limit on channel bit rate
  - Irreducible error floor
- A similar phenomena appears if there are multiple delayed copies of the same single transmitted symbol
  - Multipath
  - A real-problem for high speed transmission over wireless links – Why?

## Convolution Relation

- BER – a curve that determines the relation between signal power and bit error rate
  - Very important characterization tool for modulation/encoding techniques



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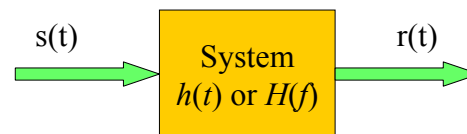
## Convolution Integral

- **For linear Systems:**
  - **$h(t)$  is the system's impulse response – i.e.  $r(t) = h(t)$  when  $s(t) = \delta(t)$**
  - **$s(t)$  is system input signal**
  - **$r(t)$  is system output signal**

$$r(t) = \int_{-\infty}^{\infty} s(\tau)h(t - \tau)d\tau$$

$$r(t) = s(t) * h(t)$$

$$R(f) = S(f)H(f)$$



convolution NOT multiplication

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A good introduction into linear systems is found at [http://www.ece.utexas.edu/~bevans/courses/ee313/lectures/04\\_Convolution/lecture4.pdf](http://www.ece.utexas.edu/~bevans/courses/ee313/lectures/04_Convolution/lecture4.pdf)

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## Example 1: Convolution

- If  $h(t) = ae^{-at}$  for  $t > 0$   
 $= 0$  otherwise

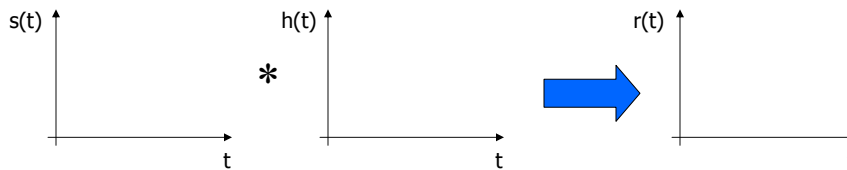
where  $a = 2/T$

A) Compute analytically and plot  $r(t)$  for  $s(t) = \Pi((t-T/2)/T)$

B) Use Matlab to compute the required convolution – Plot the results and list your code

Hint:  $\Pi(t/T)$  is the square pulse function of unit height, width equal to  $T$ , and centered around 0.

**Solution:**



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## Revision – Fourier Transform

- A “transformation” between the time domain and the frequency domain

Time (t)                      Frequency (f)  
 $s(t)$                        $\leftrightarrow$                        $S(f)$

$$S(f) = \int_{-\infty}^{\infty} s(t) e^{-j2\pi ft} dt \quad \text{Fourier Transform}$$

$$s(t) = \int_{-\infty}^{\infty} S(f) e^{+j2\pi ft} df \quad \text{Inverse Fourier Transform}$$

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## Revision – Fourier Transform (2)

- **F.T. can be used to find the BANDWIDTH of a signal or system**
  - **Bandwidth - system:** range of frequencies passed (perhaps scaled) by system
  - **Bandwidth – signal:** range of (+ve) frequencies contained in the signal

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## Revision – Fourier Transform (3)

- **Remember for periodic signals (i.e.  $s(t) = s(t+T)$  where  $T$  is the period) → Fourier Series expansion:**

$$s(t) = \frac{A_0}{2} + \sum_{n=1}^{\infty} [A_n \cos(2\pi n f_0 t) + B_n \sin(2\pi n f_0 t)]$$

$$A_0 = \frac{2}{T} \int_0^T s(t) dt \quad B_n = \frac{2}{T} \int_0^T s(t) \sin(2\pi n f_0 t) dt$$

$$A_n = \frac{2}{T} \int_0^T s(t) \cos(2\pi n f_0 t) dt$$

$f_0$  is the fundamental frequency and is equal to  $1/T$

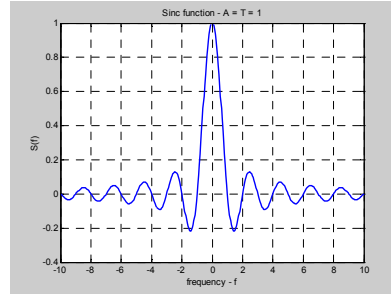
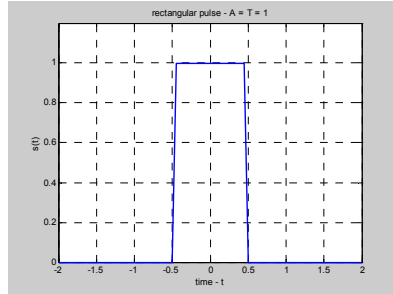
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Remember:  
 $\text{sinc}(x) = \sin(\pi x)/(\pi x)$

## Revision – Fourier Transform (4-a)

- Famous pairs – rectangular pulse (  $A = T = 1$  )



$$s(t) = \Pi(t/T)$$

$$S(f) = AT \frac{\sin(\pi f T)}{\pi f T}$$

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$$S(f) = AT \text{ for } f=0 \\ = 0 \text{ for } f = n/T; n = \pm 1, 2, \dots$$

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## Revision – Fourier Transform (4-b)

- Famous pairs – sinc pulse (  $A = T = 1$  )
- The plots for the  $s(t)$  and the corresponding  $S(f)$  are the blue curves on the next slide
- The sinc pulse is a special case of the raised cosine pulse!
- Note  $T = 1/W$

$$s(t) = A \frac{\sin(\pi W t)}{(\pi W t)}$$

$$S(f) = \frac{A}{W} \Pi(f/W)$$

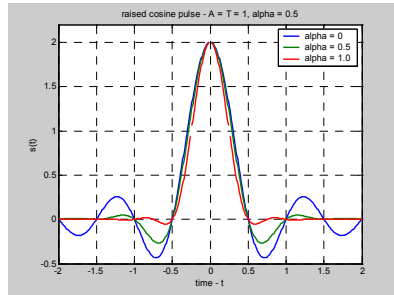
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$$S(f) = A/W \text{ for } |f| \leq W/2 \\ = 0 \text{ for } |f| > W/2$$

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## Revision – Fourier Transform (5)

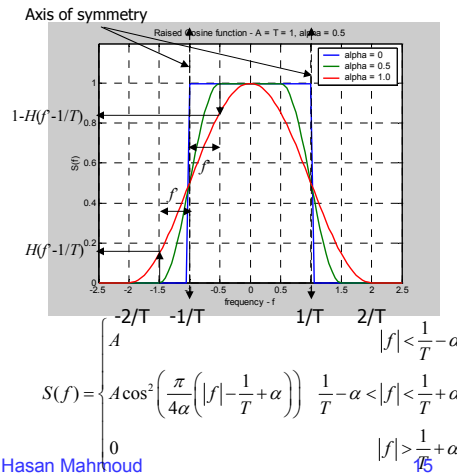
- **Famous pairs – Raised Cosine pulse ( A = T = 1 ), as a function of  $\alpha$**



$$s(t) = \frac{(2A)}{T} \frac{\cos(2\pi\alpha t)}{1-(4\alpha t)^2} \frac{\sin(2\pi/T)}{2\pi/T}$$

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$$S(f) = \begin{cases} A & |f| < \frac{1}{T} - \alpha \\ A \cos^2\left(\frac{\pi}{4\alpha}\left(|f| - \frac{1}{T} + \alpha\right)\right) & \frac{1}{T} - \alpha < |f| < \frac{1}{T} + \alpha \\ 0 & |f| > \frac{1}{T} + \alpha \end{cases}$$

## Revision – Fourier Transform (6)

- **Raised Cosine Pulse:  $0 < \alpha < 1/T$**
- **Note that  $s(t) = 0$  for  $t = nT/2$  where  $n = +/- 1, 2, \dots$** 
  - **Very good for forming pulses**
  - **ZERO ISI for ideal situation**
- **BW for  $s(t) = 1/T + \alpha$** 
  - **Maximum =  $2 \times 1/T$  (for  $\alpha = 1/T$ )**
  - **Minimum =  $1/T$  (for  $\alpha = 0$ )**

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## Revision – Fourier Transform (7)

- **Matlab code: Raised Cosine Pulse**

```
clear all % clear all variables

A = 1;
T = 1;
alphas = [0 0.5 1];

for k = 1:length(alphas)
    alpha = alphas(k);

    t = -2:0.01:2; % define the time axis
    s_t(k,:) = ((2*A)/T) * (cos(2*pi*alpha*t) ./ ...
        (1-(4*alpha*t).^2)) .* (sin(2*pi*t/T) ./ ...
        (2*pi*t/T)); % define s(t)

    f = -2.5:0.05:2.5; % define the freq axis
    S_f(k,:) = zeros(size(f));
    i = find(abs(f) <= (1/T-alpha));
    S_f(k,i) = A;
    i = find((abs(f) <= (1/T+alpha)) & ...
        (abs(f) > (1/T-alpha)));
    S_f(k,i) = A*(cos(pi/(4*alpha)* ...
        (abs(f(i))-1/T+alpha))).^2;% define S(f)
end

figure(1);
plot(t, s_t); % plot s(t)
title('raised cosine pulse - A = T = 1');
xlabel('time - t');
ylabel('s(t)');
legend('alpha = 0', 'alpha = 0.5', 'alpha = 1.0');
axis([-2 2 -0.5 2.2]);
grid

figure(2);
plot(f, S_f); % plot S(f)
title('Raised Cosine function - A = T = 1');
xlabel('frequency - f');
ylabel('S(f)');
legend('alpha = 0', 'alpha = 0.5', 'alpha = 1.0');
axis([-2.5 2.5 0 1.2]);
grid
```

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## Frequency Response

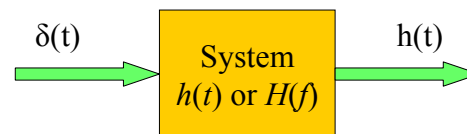
- **H(f)** is known as the frequency response of the channel or system
- **h(t)** is known as the impulse response of the channel or system

$$h(t) = \int_{-\infty}^{\infty} \delta(\tau)h(t-\tau)d\tau$$

$$h(t) = \delta(t) * h(t)$$

$$H(f) = \Delta(f)H(f)$$

This means  $\Delta(f) = 1 \forall f$



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## Example 2: Frequency Response

- A) For  $s(t) = \Pi(t/T)$ , compute  $S(f)$  – Use Matlab to plot  $|S(f)|$   
B) For  $h(t) = \alpha e^{-\alpha t}$  for  $t > 0$  and equal to 0 otherwise, compute  $H(f)$  – Use Matlab to plot  $|H(f)|$

Hint: (A) is solved on slide 13 – Part (B)'s answer is in the textbook equation (2.3). For these two parts you have to be able to derive the results.

Solution:

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## Sampling Theorem

- **Theorem:** if a waveform  $s(t)$  is low-pass limited to frequencies at most  $W$  (i.e.  $S(f) = 0$  for  $|f| > W$ ), then  $s(t)$  is completely determined by its values each  $1/(2W)$  seconds
- One can write

$$s(t) = \sum_{i=-\infty}^{\infty} s\left(\frac{i}{2W}\right) \frac{\sin\left[2\pi W\left(t - i/(2W)\right)\right]}{2\pi W\left(t - i/(2W)\right)}$$

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## More on Sinc and Raised Cosine Pulses

- Consider the sinc pulse and the raised cosine pulse shown on slides 14 and 15
- Both of these  $s(t)$ s (the ideal sinc function and the raised cosine function) satisfies Nyquist criterion – i.e. zero ISI
  - i.e.  $s(i/(2W)) = 0 \forall i \neq 0$
- However, raised cosine is a more “practical pulse” – can be easily generated in the lab!
- Figure 2.6 (Gallager) – shows that  $s(t)$  is equal to weighted shifted copies of the sinc function – graphical representation of the sampling theorem

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## Bandpass Channels

- Definition: ?
- This means 
$$H(f) = \int_{-\infty}^{\infty} h(t) dt = 0$$
- The impulse response for these channels fluctuates around 0 – i.e. +ve area = -ve area
- This phenomenon is called “ringing”
- NRZ is not appropriate for bandpass channels
  - Manchester encoding is a better option
- Another way of looking at this: NRZ has a DC component which DOES NOT pass through the bandpass channel

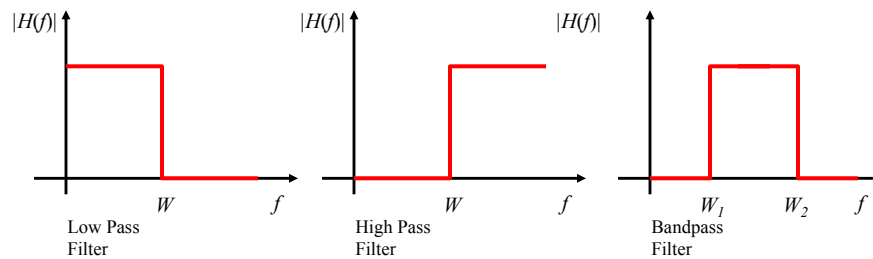
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## Signals and Systems

- System bandwidth is determined by examining the Fourier transfer of the system function  $h(t)$ ,  $H(f)$
- Example (transmission) systems:



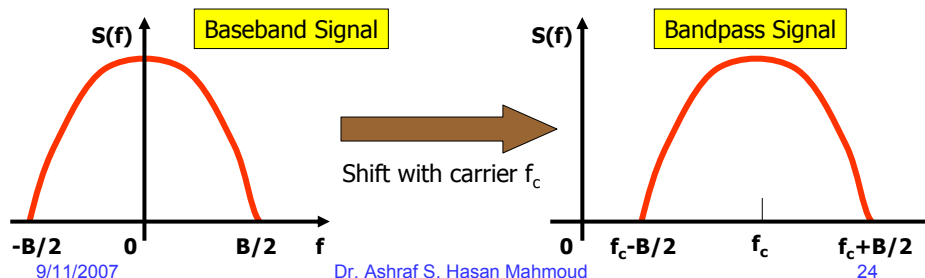
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## Baseband vs. Bandband

- **Baseband Signal:**
  - Spectrum not centered around non zero frequency
  - May have a DC component
- **Bandpass Signal:**
  - Does not have a DC component
  - Finite bandwidth around or at  $f_c$



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## Modulation

- Is used to shift the frequency content of a baseband signal
  - Basis for AM modulation
  - Basis for Frequency Division Multiplexing (FDM)

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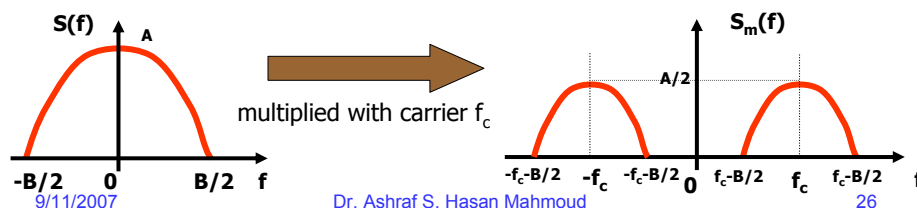
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Analog Communications

## Modulation

- Consider the signal  $s(t)$ ,  
$$s_m(t) = s(t) \times \cos(2\pi f_c t)$$
  
The spectrum for  $s_m(t)$  is given by

$$S_m(f) = \frac{1}{2} \times \{S(f-f_c) + S(f+f_c)\}$$

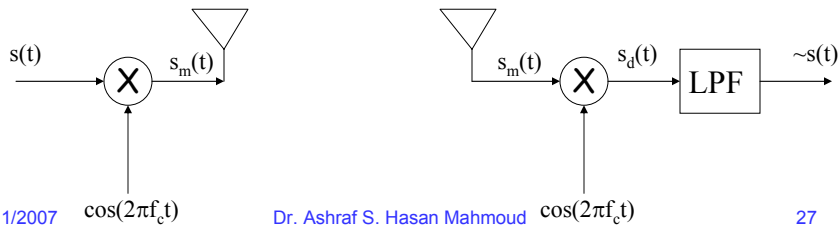


## Modulation – Txer/Rxer

- At the receiver side:

$$\begin{aligned}
 s_d(t) &= s_m(t) \times \cos(2\pi f_c t) \\
 &= s(t) \times \cos(2\pi f_c t) \times \cos(2\pi f_c t) \\
 &= \underbrace{1/2 s(t)}_{\text{desired term}} + \underbrace{1/2 s(t) \times \cos(2\pi 2f_c t)}_{\text{undesired term – signal centered around } 2f_c}
 \end{aligned}$$

undesired term – signal centered around  $2f_c$   
filtered out using the LPF



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$\cos(2\pi f_c t)$

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$\cos(2\pi f_c t)$

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## Nyquist Bandwidth

- For a noiseless channels of bandwidth  $B$ , the maximum attainable bit rate (or capacity) is given by

$$C = 2B \log_2(M)$$

Where  $M$  is the size of the signaling set

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## Shannon Capacity

- Capacity of a channel of bandwidth  $B$ , in the presence of noise is given by

$$C = B \log_2(1 + \text{SNR})$$

where SNR is the ratio of signal power to noise power – a measure of the signal quality

## Example 3: Shannon Capacity

- Consider a GSM system with  $BW = 200$  kHz. If SNR is equal to 15 dB, find the channel capacity?

- Solution:

$$\text{SNR} = 15 \text{ dB} = 10^{(15/10)} = 31.6$$

$$C = 200 \times 10^3 \times \log_2(1 + 31.6)$$

$$= 1005.6 \text{ kb/s}$$

Note GSM operates at 273 kb/s which is ~27% of maximum capacity at SNR = 30 dB.

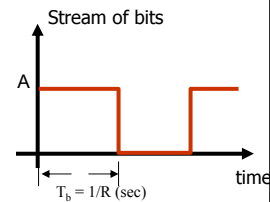
## Eb/No Expression

- An alternative representation of SNR
- Consider the bit stream shown in figure – for bit of rate R, then each bit duration is equal to  $T_b = 1/R$  seconds
- Energy of signal for the bit duration is equal to  $A^2 \times T_b$ , where its power is equal to bit energy /  $T_b$  or  $A^2$ .
- Noise power is equal to  $N_0 \times B$  (refer to thermal noise section)
- Hence, SNR is given by signal power / noise power or

$$SNR = \frac{\text{signalpower}}{N_0 B} = \frac{E_b \times R}{N_0 \times B}$$

- One can also write

$$\left( \frac{E_b}{N_0} \right)_{dB} = \text{SignalPower}(dBW) - 10 \log R - 10 \log k - 10 \log T$$



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## Signal Elements or Pulses

- Unit of transmission – repeated to form the overall signal
- **Shape** of pulse determines the bandwidth of the transmitted signal
- Digital data is mapped or encoded to the different pulses or units of transmission
- **Baud/Modulation or Symbol Rate ( $R_s$ )**
  - The bit rate  $R_b = R_s \log_2(M)$
- Please refer to earlier examples of pulses and the corresponding BW

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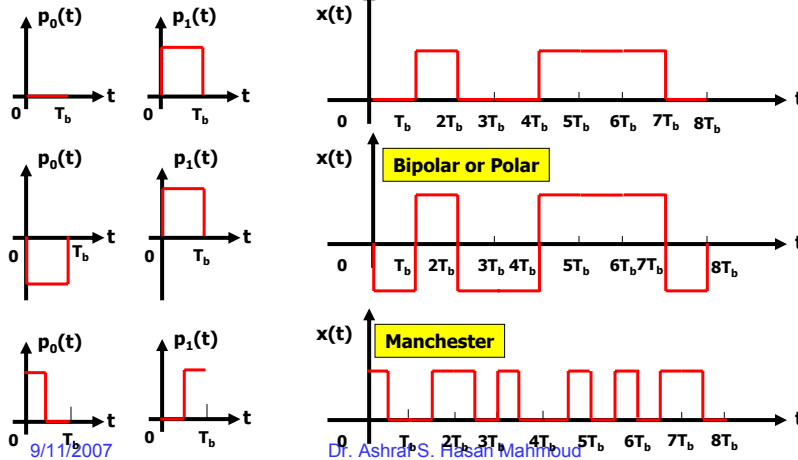
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# Signal Elements or Pulses

Definitions of Pulses      Encoded Signal: 0 1 0 0 1 1 1 0



Examples of Digital Signaling

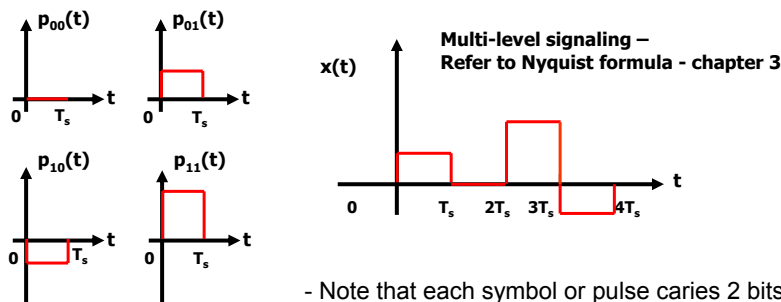
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# Signal Elements or Pulses

Pluses Definitions      Encoded Signal: 0 1 0 0 1 1 1 0



Example of Digital Signaling

- Note that each symbol or pulse carries 2 bits
- Symbol duration is  $T_s = 2T_b$
- Bit rate  $R$  equal to  $1/T_b$
- Symbol rate or *baud rate*  $R_s$  equal to  $1/T_s \rightarrow R = 2R_s$
- In general to encode  $n$  bits per pulse, you need  $2^n$  pulses

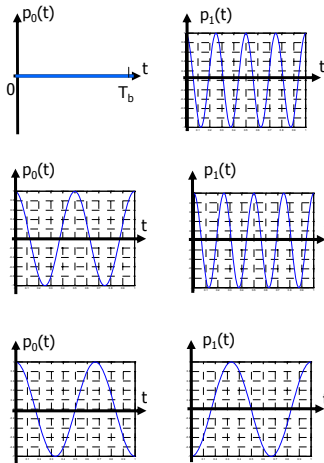
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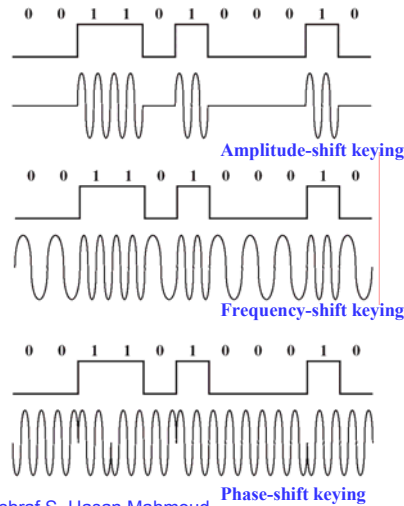
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## Signal Elements or Pulses

### Definitions of Pulses



### Encoded Signal:



Example of Analog Signaling

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## Digital Signal Encoding Formats

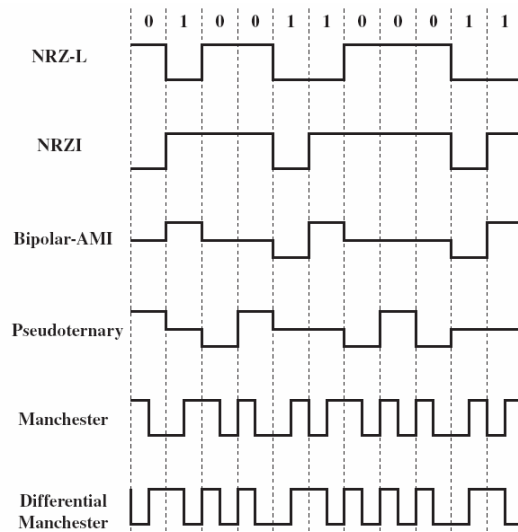
- **Nonreturn to Zero-Level (NRZ-L)**
  - 0 = high level
  - 1 = low level
- **Nonreturn to Zero Inverted (NRZI)**
  - 0 = no transition at beginning of interval
  - 1 = transition at beginning of interval
- **Bipolar-AMI**
  - 0 = no line signal
  - 1 = +ve or -ve level; alternating successive ones
- **Pseudoternary**
  - 0 = +ve or -ve level; alternating for successive ones
  - 1 = no line signal
- **Doubinary**
  - 0 = no line signal
  - 1 = +ve or -ve level; depending on number of separating 0s (even – same polarity, odd – opposite polarity)
- **Manchester**
  - 0 = transition from high to low in middle of interval
  - 1 = transition from low to high in middle of interval
- **Differential Manchester: Always transition in middle of interval**
  - 0 = transition at beginning of interval
  - 1 = no transition at beginning of interval

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## Digital Signal Encoding Formats

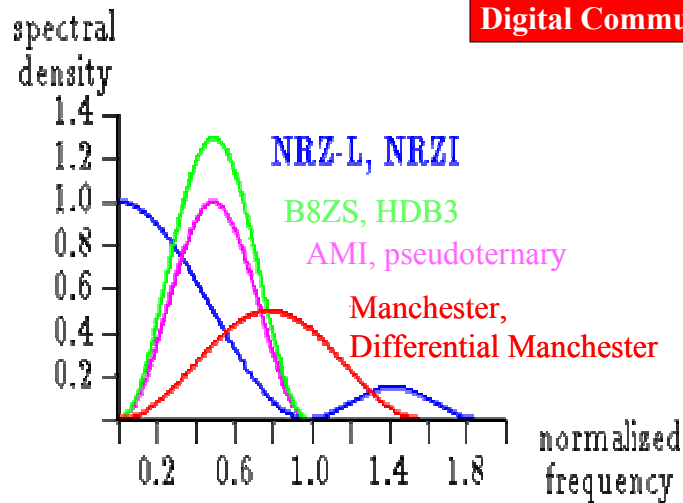


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## Spectrum Characteristics of Digital Encoding Schemes



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## Digital Data – Analog Signals

- Digital data (bits) transmitted using analog signals:
  - E.g. computer-modem-PSTN
- Subscriber-to-PSTN connection designed to carry analog (voice) signal from 300 Hz to 3400 Hz
- 56K Modem – encodes data and generates a signal occupying the same range for voice signals → one line - one signal
- DSL Modem – encodes data and generates signal occupying higher range than that usually occupied by voice → one line – two signals

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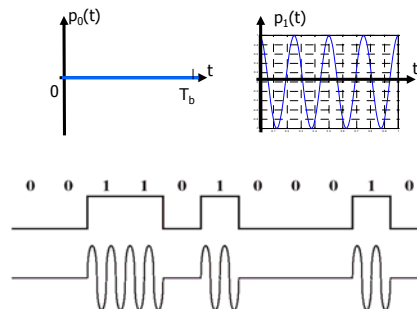
## Amplitude Shift Keying (ASK)

- Analog pulses (signal elements) used are:

$$s(t) = \begin{cases} A \cos(2\pi f_c t) & \text{bit} = 1 \\ 0 & \text{bit} = 0 \end{cases}$$

- Spectrum of overall signal is centered around  $f_c$

- Application: on voice-grade lines used up to 1200 bps



This is called BASK

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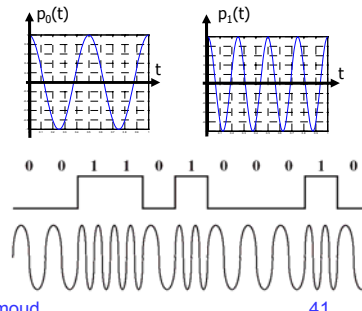
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## Frequency Shift Keying (FSK)

- Analog pulses (signal elements) used are:

$$s(t) = \begin{cases} A \cos(2\pi f_1 t) & \text{bit} = 1 \\ A \cos(2\pi f_2 t) & \text{bit} = 0 \end{cases}$$

- Spectrum of overall signal is centered around  $f_1$  and  $f_2$



This is called BFSK

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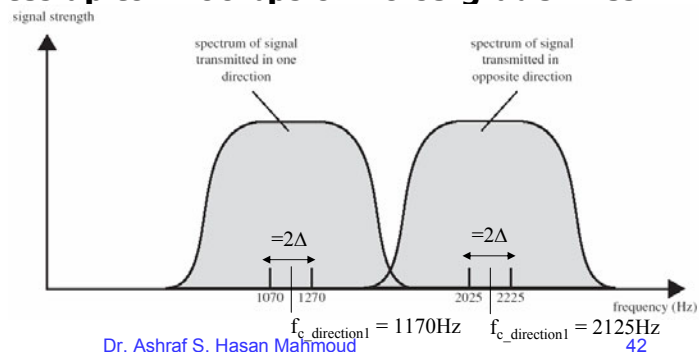
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## Frequency Shift Keying (FSK) (2)

- **Application: full duplex**
  - Direction 1:  $f_1 = 1070$  Hz,  $f_2 = 1270$  Hz
  - Direction 2:  $f_1 = 2025$  Hz,  $f_2 = 2225$  Hz
- **Less susceptible to errors (compared to ASK) – used for rates up to 1200 bps on voice-grade lines**

- Also used for high frequency (3 to 30 MHz) radio transmission
- LANs – coaxial cables



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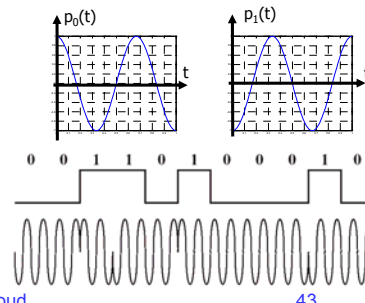
## Phase Shift Keying (PSK)

- Analog pulses (signal elements) used are:

$$s(t) = \begin{cases} A \cos(2\pi f_c t + \pi) & \text{bit} = 1 \\ A \cos(2\pi f_c t) & \text{bit} = 0 \end{cases}$$

- Spectrum of overall signal is centered around  $f_c$

- Example of 2-phase (binary) system



This is called BPSK

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## Multi-Level ASK

- ASK is also known as digital PAM – refer to PAM used for PCM encoding
- The transmitted symbols:

$$s_i(t) = A_i \cos(2\pi f_c t), \quad i = 1, 2, \dots, M \quad 0 \leq t \leq T_s$$

where

$$A_i = (2i-1-M)d, \quad i = 1, 2, \dots, M$$

$2d$  is distance between adjacent signal amplitudes

$M$  is number of different signal elements (the alphabet size) =  $2^L$

$L$  is number of bits per signal element or symbol

$T_s$  is the symbols duration.

- The energy for  $s_i(t)$ ,  $E_{i,r}$  is given by  $A_i^2 T_s / 2$

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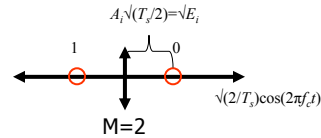
44

## Multi-Level ASK – Examples

- **Examples:**

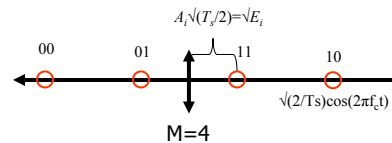
- **M = 2 – Binary ASK**

$$A_1 = -d, A_2 = d$$



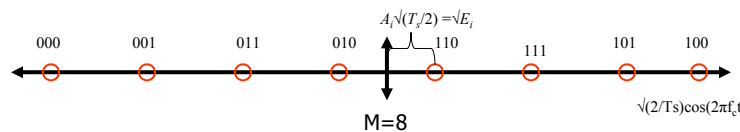
- **M = 4 – 4-level ASK**

$$A_1 = -3d, A_2 = -d, A_3 = d, A_4 = 3d$$



- **M = 8 – 8 level ASK**

$$A_1 = -7d, A_2 = -5d, A_3 = -3d, A_4 = -d, \\ A_5 = d, A_6 = 3d, A_7 = 5d, A_8 = 7d$$



Note the grey coding!

Adjacent symbols are different by 1 bit only.

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## Multi-Level PSK

- **The transmitted symbols:**

$$s_i(t) = A \cos(2\pi f_c t + \theta_i), \quad i = 1, 2, \dots, M \quad 0 \leq t \leq T_s \\ = A \{ \cos(\theta_i) \cos(2\pi f_c t) - \sin(\theta_i) \sin(2\pi f_c t) \}$$

where

$$\theta_i = 2\pi(i-1)/M, \quad i=1, 2, \dots, M.$$

**M** is number of different signal elements (the alphabet size) =  $2^L$

**L** is number of bits per signal element or symbol

**T<sub>s</sub>** is the symbols duration.

- **The energy for  $s_i(t)$ ,  $E_i$ , is given by  $A^2 T_s / 2$**

- **i.e. all symbols have equal energy  $\rightarrow E = A^2 T_s / 2!!$**

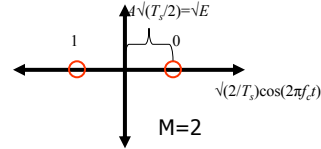
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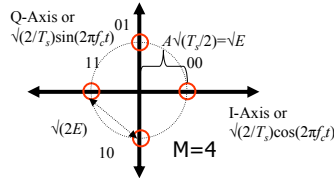
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## Multi-Level PSK - Examples

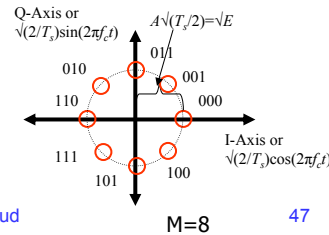
- **M = 2 – BPSK**  
 $\theta_1 = 0, \theta_2 = \pi$



- **M = 4 – QPSK**  
 $\theta_1 = 0, \theta_2 = \pi/2,$   
 $\theta_3 = \pi, \theta_4 = 3\pi/2,$



- **M = 8 – 8-PSK**  
 $\theta_1 = 0, \theta_2 = \pi/4, \theta_3 = \pi/2, \theta_4 = 3\pi/4,$   
 $\theta_5 = \pi, \theta_6 = 5\pi/4, \theta_7 = 3\pi/2, \theta_8 = 7\pi/4$



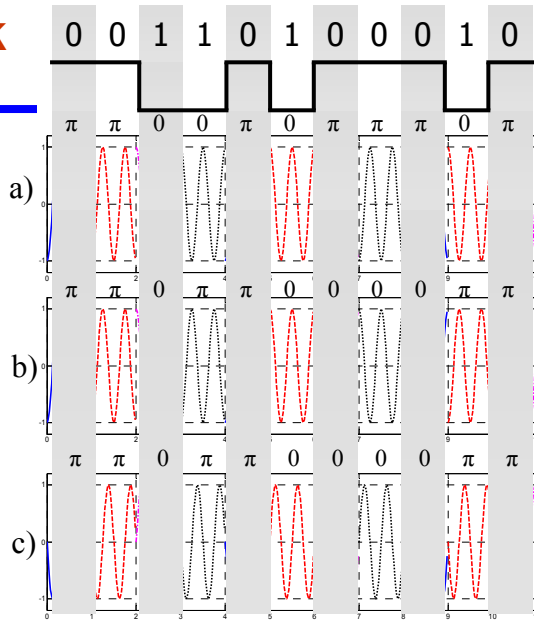
Note the grey coding!  
 Adjacent symbols are different by 1 bit only.

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## Differential PSK Waveform

- (a) BPSK  
 $0 - A\cos(2\pi f_c t + \pi),$   
 $1 - A\cos(2\pi f_c t),$
- (b) DBPSK  
 same (a) symbols but  
 $0 -$  uses the same phase as previous bit  
 $1 -$  use opposite phase to the previous bit
- (c) DBPSK  
 same as (b) except the  $\sin()$  is used instead of  $\cos()$



Compare (c) with Figure 5.10 of Stallings textbook

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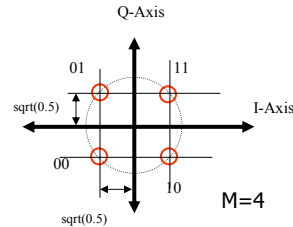
Dr. Asl



## Four Level PSK – (QPSK)

- On slide 45 we used:  
 $\theta_1 = 0, \theta_2 = \pi/2, \theta_3 = \pi, \theta_4 = 3\pi/2$
- But we could use (as in the textbook page 146):  
 $\theta_1 = \pi/4, \theta_2 = 3\pi/4, \theta_3 = -3\pi/4, \theta_4 = -\pi/4$
- Therefore the transmitted symbols are:

$$s_i(t) = \begin{cases} A \cos(2\pi f_c t + \pi/4) & 11 \\ A \cos(2\pi f_c t + 3\pi/4) & 01 \\ A \cos(2\pi f_c t - 3\pi/4) & 00 \\ A \cos(2\pi f_c t - \pi/4) & 10 \end{cases}$$

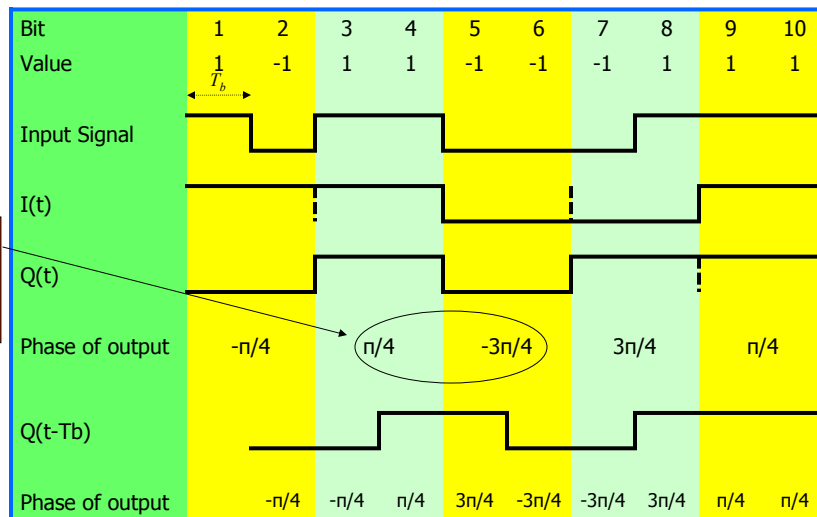
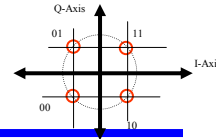


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## QPSK/OQPSK Waveform



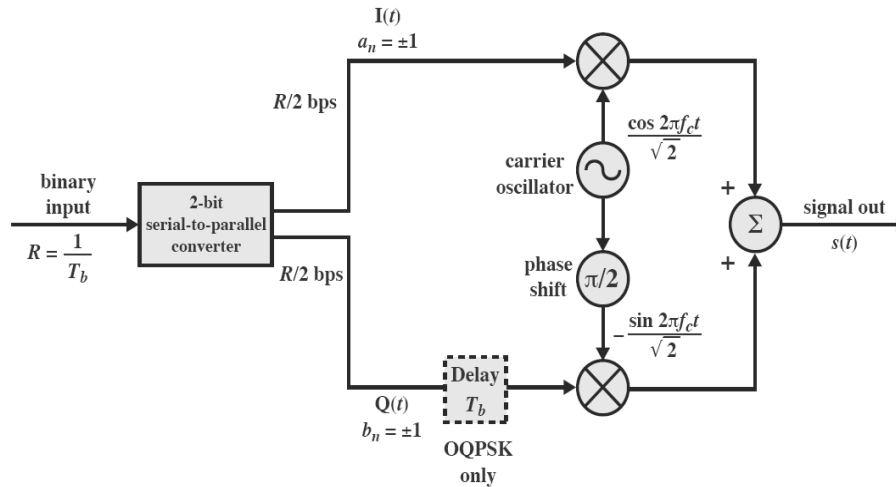
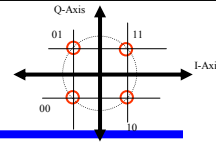
A change in phase equal to  $\pi$  - Can not occur with OQPSK!!

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## QPSK/OQPSK Modulator



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## Multi-Level FSK (MFSK)

- Analog pulses (signal elements) used are:

$$s_i(t) = A \cos(2\pi f_i t) \quad 1 \leq i \leq M$$

- Where

- $f_i = f_c + (2i-1-M)f_d$
- $f_c$ : carrier frequency
- $f_d$ : the difference frequency
- $M$ : number of different signal elements (the alphabet size) =  $2^L$
- $L$ : number of bits per signal element or symbol

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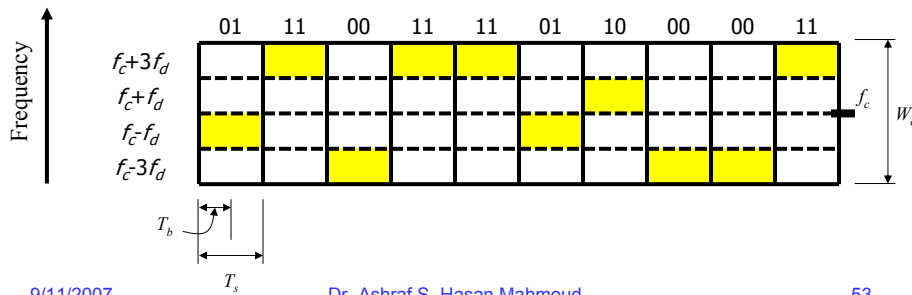
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## MFSK Example – M = 4

- **Example – M = 4**

- $f_1 = f_c - 3f_d \rightarrow 00$
- $f_2 = f_c - f_d \rightarrow 01$
- $f_3 = f_c + f_d \rightarrow 10$
- $f_4 = f_c + 3f_d \rightarrow 11$

Note this scheme does not utilize grey coding!!



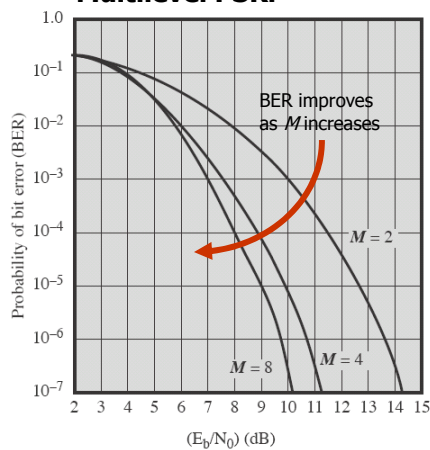
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## Performance – cont'd

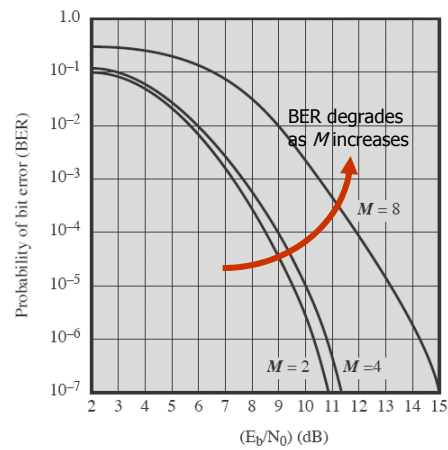
- **Theoretical bit error rate for (a) Multilevel FSK and (b) Multilevel PSK.**



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(a)

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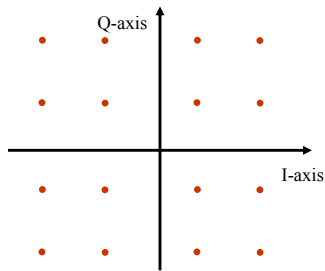


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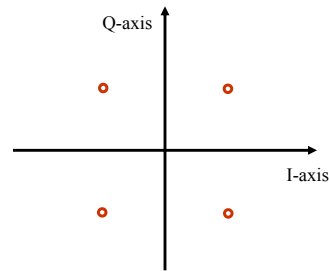
(b)

## Quadrature Amplitude Modulation (QAM)

- Popular analog signaling technique – used in ADSL
- A combination of ASK and PSK
- Example signal constellations:



16 QAM



4 QAM  
(similar to QPSK with  
 $\theta_1 = \pi/4$ ,  $\theta_2 = 3\pi/4$ ,  
 $\theta_3 = -3\pi/4$ ,  $\theta_4 = -\pi/4$  –  
refer to slide 47

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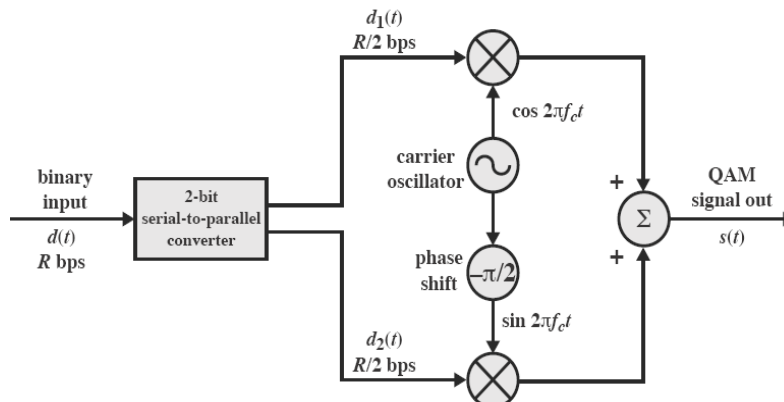
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## Quadrature Amplitude Modulation (QAM)

- Signal given by:

$$s(t) = d_1(t) \cos(2\pi f_c t) + d_2(t) \sin(2\pi f_c t)$$



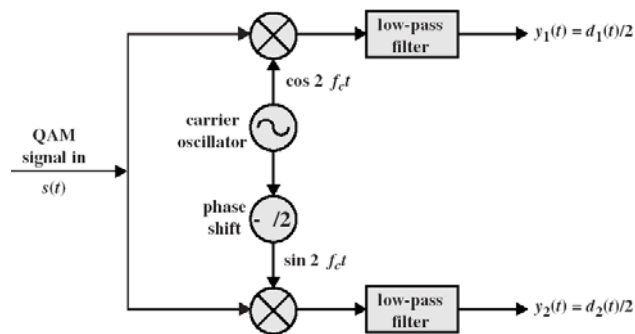
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## Example 4: QAM

**Problem:** The figure below shows the QAM demodulator corresponding to the QAM modulator shown in previous slide. Show that this arrangement DOES recover the two signals  $d_1(t)$  and  $d_2(t)$ , which can be combined to recover the original signal.



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## Example: QAM - Solution

**Solution:**

$$s(t) = d_1(t)\cos(\omega_c t) + d_2(t)\sin(\omega_c t)$$

Use the following identities:

$$\cos(2\alpha) = 2\cos^2(\alpha) - 1; \sin^2(\alpha) = 2\sin(\alpha)\cos(\alpha)$$

For upper branch:

$$\begin{aligned} s(t) \times \cos(\omega_c t) &= d_1(t)\cos(2\omega_c t) + d_2(t)\sin(\omega_c t)\cos(\omega_c t) \\ &= (1/2)d_1(t) + (1/2)d_1(t)\cos(2\omega_c t) + (1/2)d_2(t)\sin(2\omega_c t) \end{aligned}$$

Use the following identities:

$$\cos(2\alpha) = 1 - 2\sin^2(\alpha); \sin^2(\alpha) = 2\sin(\alpha)\cos(\alpha)$$

For lower branch:

$$\begin{aligned} s(t) \times \sin(\omega_c t) &= d_1(t)\cos(\omega_c t)\sin(\omega_c t) + d_2(t)\sin(2\omega_c t) \\ &= (1/2)d_1(t)\sin(2\omega_c t) + (1/2)d_2(t) - (1/2)d_2(t)\cos(2\omega_c t) \end{aligned}$$

All terms at  $2\omega_c$  are filtered out by the low-pass filter, yielding:

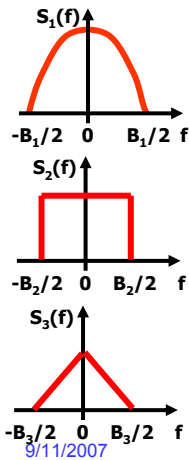
$$y_1(t) = (1/2)d_1(t); \quad y_2(t) = (1/2)d_2(t)$$

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# Frequency Division Multiplexing (FDM)



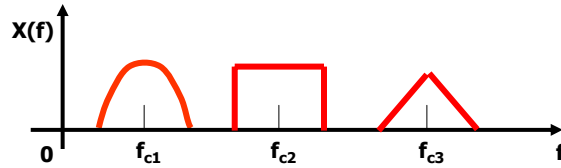
Shift with carrier  $f_{c1}$

Shift with carrier  $f_{c2}$

Shift with carrier  $f_{c3}$

$$x(t) = s_1(t) \times \cos(2\pi f_{c1}t) + s_2(t) \times \cos(2\pi f_{c2}t) + s_3(t) \times \cos(2\pi f_{c3}t)$$

- $x(t)$  is transmitted on the media
- The three spectra are not overlapping if  $f_{c1}$ ,  $f_{c2}$ , and  $f_{c3}$  are chosen appropriately
- Original composite signals  $s_1(t)$ ,  $s_2(t)$ , and  $s_3(t)$  can be recovered using bandpass filters with appropriate bandwidths centered at  $f_{c1}$ ,  $f_{c2}$ , and  $f_{c3}$ , respectively.

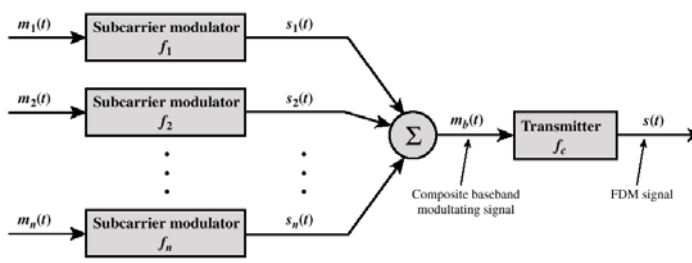


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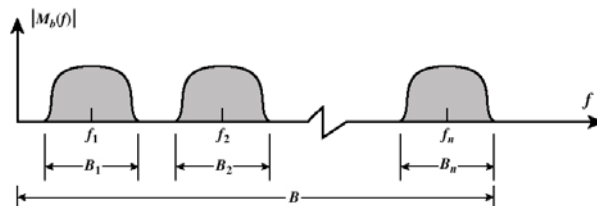
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# Frequency-Division Multiplexing - Transmitter

- $m_i(t)$ : analog or digital information
- Modulated with subcarrier  $f_i \rightarrow s_i(t)$
- $m_b(t)$  composite baseband modulating signal
- $m_b(t)$  modulated by  $f_c \rightarrow$  The overall FDM signal  $s(t)$



(a) Transmitter



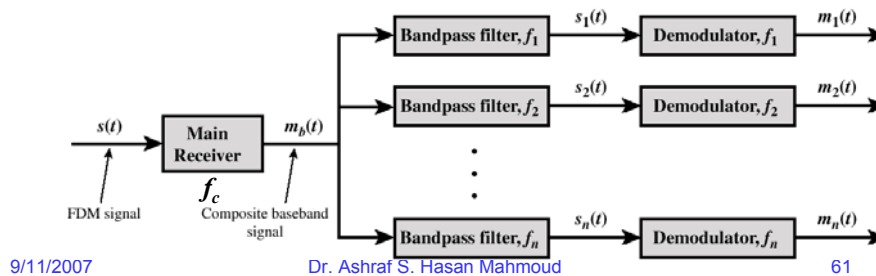
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Spectrum function of composite baseband modulating signal  $m_b(t)$

## Frequency-Division Multiplexing - Receiver

- $m_b(t)$  is retrieved by demodulating the FDM signal  $s(t)$  using carrier  $f_c$
- $m_b(t)$  is passed through a parallel bank of bandpass filters – centered around  $f_i$
- The output of the  $i^{\text{th}}$  filter is the  $i^{\text{th}}$  signal  $s_i(t)$
- $m_i(t)$  is retrieved by demodulating  $s_i(t)$  using subcarrier  $f_i$



## Frequency-Division Multiplexing – Example 5: Cable TV – cont'd

- Cable has BW  $\sim$  500 MHz  $\rightarrow$  10s of TV channels can be carried *simultaneously* using FDM
- Table: Cable Television Channel Frequency Allocation (partial): 61 channels occupying bandwidth up to 450 MHz

Channel No	Band (MHz)	Channel No	Band (MHz)	Channel No	Band (MHz)
2	54-60	22	168-174	42	330-336
3	60-66	23	216-222	43	336-342
4	66-72	24	222-234	44	342-348
5	76-82	...	...	...	...
6	82-88				
7	174-180				
8	180-186				
9	186-192				
10	192-198				
11	198-204				
12	204-210				
13	210-216				
FM	88-108				
14	120-126				
15	126-132				
16	...				
...	...				

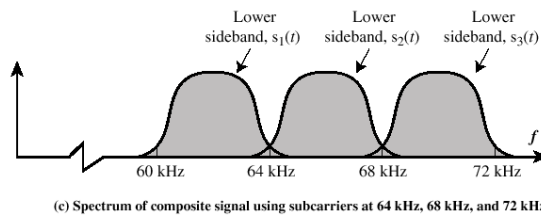
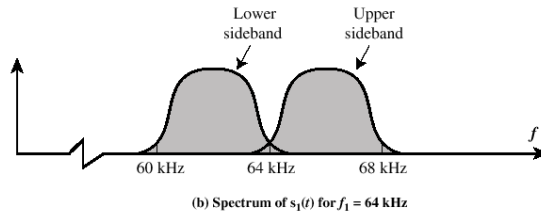
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### Frequency-Division Multiplexing – Example 6: Voiceband Signals

- $m_1(t)$ : voiceband signal – bandwidth = 4000 Hz
- When modulated by a carrier  $f_1 = 64$  KHz  $\rightarrow$  two identical sidebands; overall bandwidth =  $2 \times 4\text{KHz} = 8$  KHz
- Information of  $m_1(t)$  is preserved if one of the sidebands is eliminated (filtered out)  $\rightarrow$  bandwidth of modulated signal = 4 KHz
- (c) shows spectrum for composite signal using three subcarriers

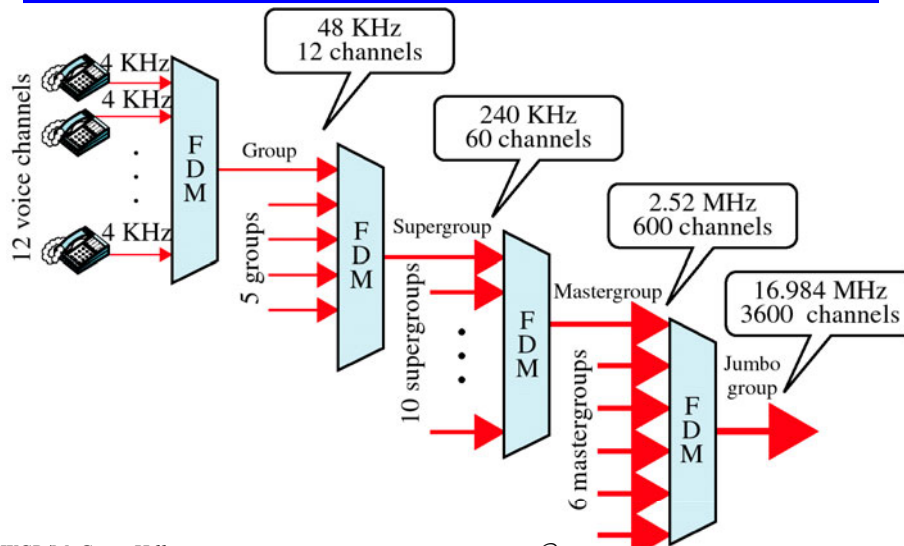


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## Frequency-Division Multiplexing – Analog Carrier Systems



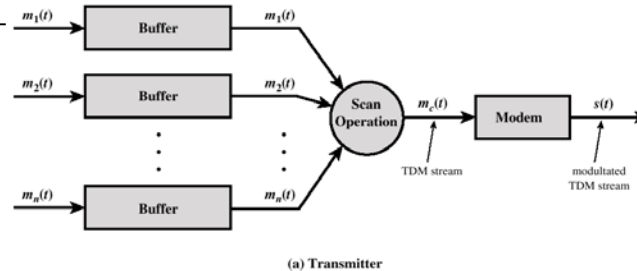
WCB/McGraw-Hill

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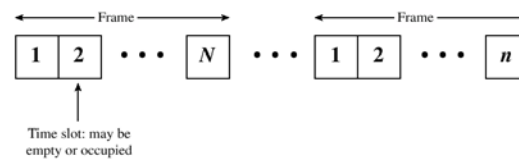


## Synchronous Time-Division Multiplexing - Transmitter

- Digital sources  $m_i(t)$  – usually buffered
- A scanner samples sources in a cyclic manner to form a frame
- $m_c(t)$  is the TDM stream or frame  $\rightarrow$  frame structure is fixed
- Frame  $m_c(t)$  is then transmitted using a modem  $\rightarrow$  resulting analog signal is  $s(t)$



(a) Transmitter



(b) TDM Frames

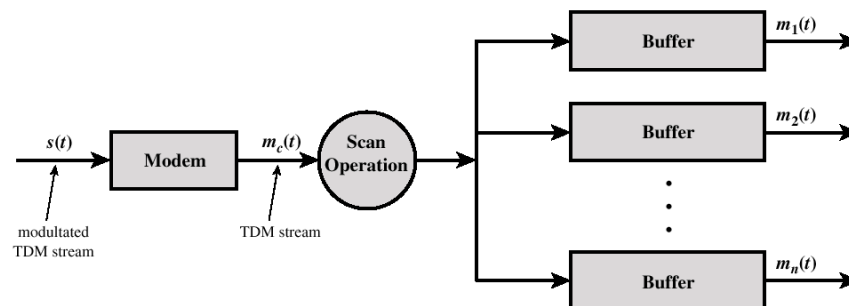
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## Synchronous Time-Division Multiplexing - Receiver

- TDM signal  $s(t)$  is demodulated  $\rightarrow$  result is TDM digital frame  $m_c(t)$
- $m_c(t)$  is then scanned into n parallel buffers;
- The  $i^{\text{th}}$  buffer correspond to the original  $m_i(t)$  digital information



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## Synchronous Time-Division Multiplexing – Bit/Character Interleaving

- TDM frame: sequence of slots – fixed structure – NOTE: no header/error control for this frame
  - One or more slots per digital source
  - The order of the slots dictated by the scanner control
  - The slot length equals the transmitter buffer length:
    - Bit: bit interleaving
      - Used for synchronous sources – but can be used for asynchronous sources
    - Character: character-interleaving
      - Used for asynchronous sources
      - Start/stop bits removed at tx-er and re-inserted at rx-er
- Synchronous TDM: time slots are pre-assigned to sources and FIXED
  - If there is data, the slot is occupied
  - If there is no data, the slot is left unoccupied

This is a cause of inefficiency!

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## TDM Link Control

- TDM frame:
  - No header and no error detection/control – these are per connection procedures
  - Frame synchronization is required – to identify beginning and end of frame
    - Added-digit framing: One control bit is added to each start of frame – all these bits from consecutive frame form an identifiable pattern (e.g. 1010101...)
    - These added bits for framing are inserted by system → control channel
    - Frame search mode: Rx-er parses incoming stream until it recognizes the pattern → then TDM frame is known
- Pulse stuffing:
  - Different sources may have separate/different clocks
  - Source rates may not be related by a simple rational number
  - Solution: inflate lower source rates by inserting extra dummy bits or pulses to match the locally generated clock speed

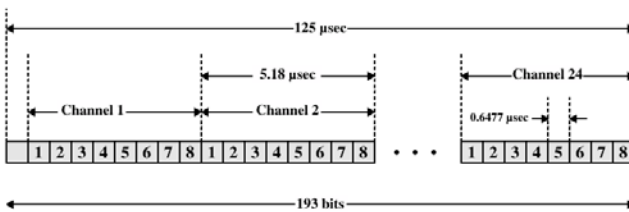
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# TDM – Example 7: Digital Carrier Systems

- Voice call is PCM coded  $\rightarrow$  8 b/sample
- DS-0: PCM digitized voice call – R = 64 Kb/s
- Group 24 digitized voice calls into one frame as shown in figure  $\rightarrow$  DS-1: 24 DS-0s
- Note channel 1 has a digitized sample from 1<sup>st</sup> call; channel 2 has a digitized sample from 2<sup>nd</sup> calls; etc.



Notes:

1. The first bit is a framing bit, used for synchronization.
2. Voice channels:
  - 8-bit PCM used on five of six frames.
  - 7-bit PCM used on every sixth frame; bit 8 of each channel is a signaling bit.
3. Data channels:
  - Channel 24 is used for signaling only in some schemes.
  - Bits 1-7 used for 56 kbps service
  - Bits 2-7 used for 9.6, 4.8, and 2.4 kbps service.

Figure 8.9 DS-1 Transmission Format

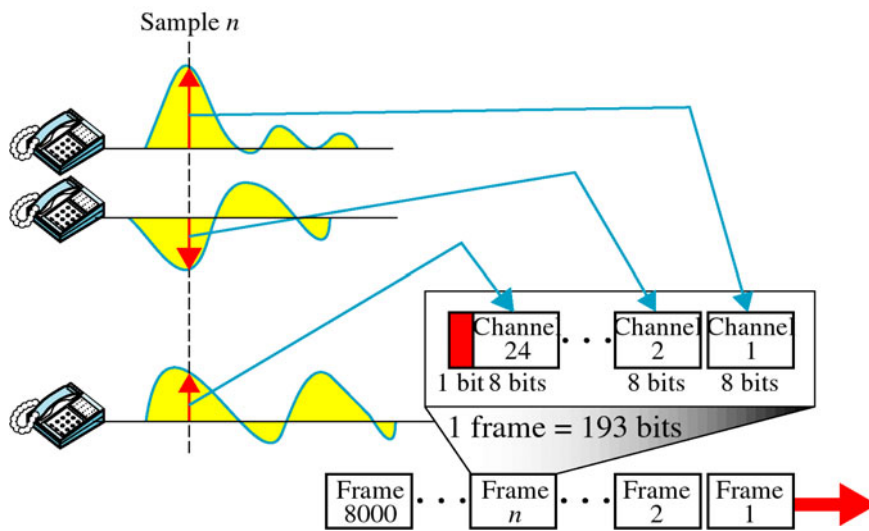
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Figure 8-28

## T-1 Frame



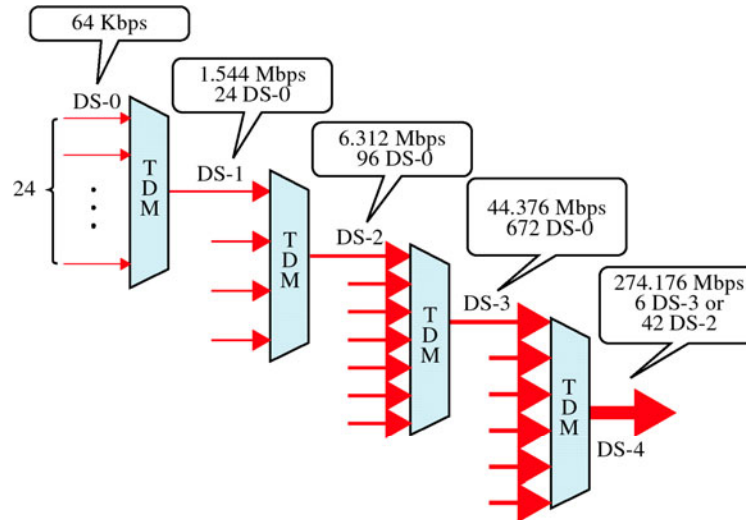
$$T-1 = 8000 \text{ frames/s} = 8000 \times 193 \text{ bps} = 1.544 \text{ Mbps}$$

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## TDM – Example 8: Digital Carrier Systems (2)

- TDM



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## Propagation Media

- Wired Media:
  - Twisted pair
  - Cable
  - Optical fiber
- Wireless Media – microwave links, satellite, etc.
- Signal attenuation – loss of power due to media resistance
  - Attenuation (dB) inversely proportional to distance
  - Trade-off: repeater (to extend distance) and Bit rate
- Refer to textbook for characteristics of TP, coaxial, optical, radio frequency communications

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## Error Detection

- Error control over links involves:
  - Error detection
  - Error correction
    - ARQ
    - FEC
- Remember – DLC responsibility is to provide an error-free reliable packet stream to the next layer up.
- Error detection depends on PARITY CHECK

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## Single Parity Checks

- One bit added to the "data" string → c bit
  - 1 if the number of 1's in the data string is odd
  - 0 if the number of 1's in the data string is even
- c is the sum, modulo 2, of the data string bits
- Example:
  - ASCII characters: 7 bits (code) + 1 parity bit

$s_1$	$s_2$	$s_3$	$s_4$	$s_5$	$s_6$	$s_7$	c
1	0	1	1	0	0	0	1

- Why type of errors does this scheme detect?
  - All odd number of errors – Does that depend on the length of the "data" string?
  - All even number of errors are not detected

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## How Appropriate Single Parity Checks?

- What "type" of errors are expected in communication generally?

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## VRC/LRC Parity Check

- Extension of simple parity: Vertical Redundancy Check (VRC) and Longitudinal Redundancy Check (LRC)

Original data to send

Char 1	1	0	0	1	1	0	0	1
Char 2	0	1	1	1	0	1	0	0
Char 3	1	1	0	0	1	1	0	0
Char 4	1	0	0	0	1	0	0	0
Char 5	0	1	0	0	1	1	1	0
Checking char	1	1	1	0	0	1	1	1

Parity check

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## VRC/LRC Parity Check (2)

- Can detect all odd errors – same as the simple parity check
- Can detect any combination of even error in characters that DO NOT result in even number of errors in a column
- Excess Redundancy:  $13/(35+14) =$
- There could be undetected errors – How?

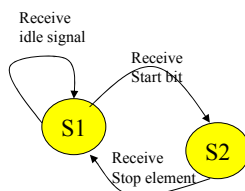
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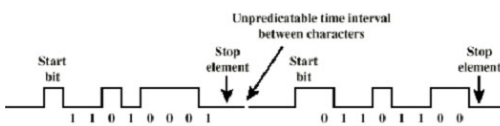
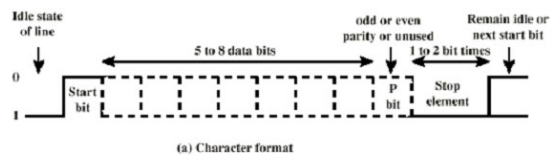
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## Asynchronous Transmission

- Simple / Cheap
- Efficiency: transmit 1 start bit + 8 bit of data + 2 stop bits → Efficiency =  $8/11 = 72\%$  (or overhead =  $3/11 = 28\%$ )
- Good for data with large gaps (e.g. keyboard, etc)



S1: receiver in idle state  
S2: receiver is receiving character



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## Synchronous Transmission

- What if there is a STEADY STREAM of bits between Tx-er and Rx-er
  - Still use the start/stop bits → low efficiency
  - Use synchronous transmission
- Synchronous Techniques:
  - Provide SEPARATE clock signal
    - Expensive and only good for short distances
  - Depend on data encoding to extract clock info
    - E.g. Manchester encoding

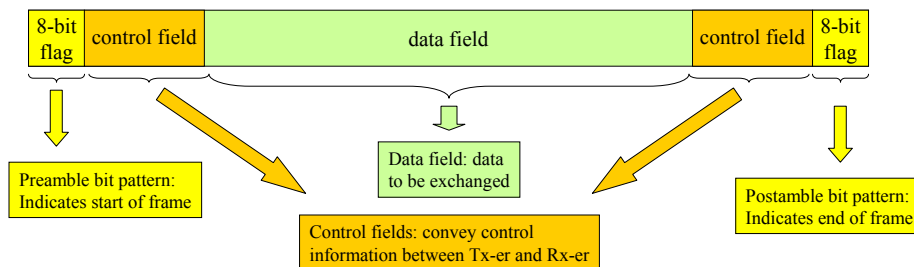
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## Synchronous Frame Format

- Typical Frame Structure



- For large data blocks, synchronous transmission is far more efficient than asynchronous:
  - E.g. HDLC frame 48 bits are used for control, preamble, and postamble – if 1000 bits are used for data → efficiency = 99.4% (or overhead = 0.6%)

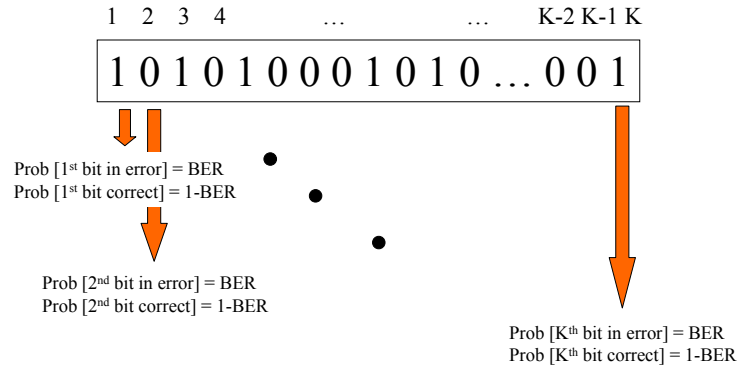
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## Error Detection



$$\text{Prob [ n bits in error in frame ]} = \binom{K}{n} (BER)^n (1-BER)^{K-n}$$

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## Error Detection – cont'd

- Hence, for a frame of K bits,

$$\begin{aligned} \text{Prob [frame is correct]} &= \text{Prob [ 0 bits in error ]} \\ &= (1-BER)^K \end{aligned}$$

$$\begin{aligned} \text{Prob [frame is erroneous]} &= \text{Prob[ 1 OR MORE bits in error]} \\ &= 1 - \text{Prob[ 0 bits in error]} \\ &= 1 - (1-BER)^K \end{aligned}$$

Or

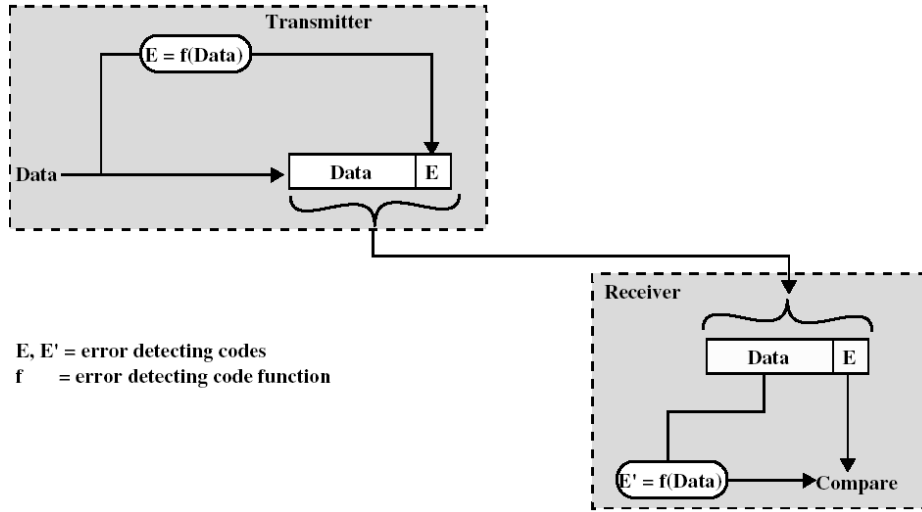
$$\begin{aligned} \text{Prob [frame is erroneous]} &= \text{Prob [1 bit in error]} + \\ &\quad \text{Prob[2 bits in error]} + \dots + \\ &\quad \text{Prob[K bits in error]} \\ &= 1 - \text{Prob[ 0 bits in error]} \\ &= 1 - (1-BER)^K \end{aligned}$$

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## Error Detection (2)



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## Cyclic Redundancy Check (CRC)



Processing: compute FCS (for some given an  $L+1$  bit polynomial  $g$ )



K+L bit frame to be transmitted = x

- Modulo 2 arithmetic (like XOR) is used to generate the FCS:
  - $0 \pm 0 = 0$ ;  $1 \pm 0 = 1$ ;  $0 \pm 1 = 1$ ;  $1 \pm 1 = 0$
  - $1 \times 0 = 0$ ;  $0 \times 1 = 0$ ;  $1 \times 1 = 1$

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## CRC – Mapping Binary Bits into Polynomials

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- Consider the following K-bit word or frame and its polynomial equivalent:

$$s_{K-1} s_{K-2} \dots s_2 s_1 s_0 \rightarrow s_{K-1}D^{K-1} + s_{K-2}D^{K-2} + \dots + s_1D^1 + s_0$$

where  $s_i$  ( $K-1 \leq i \leq 0$ ) is either 1 or 0

- Example1: an 8 bit word  $s = 11011001$  is represented as  $s(D) = D^7 + D^6 + D^4 + D^3 + 1$

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## CRC – Mapping Binary Bits into Polynomials - cont'd

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- Example2: What is  $D^4M(D)$  equal to?

$D^4M(D) = D^4(D^7 + D^6 + D^4 + D^3 + 1) = D^{11} + D^{10} + D^8 + D^7 + D^4$ ,  
the equivalent bit pattern is 110110010000 (i.e. four zeros added to the left of the original M pattern)

- Example3: What is  $D^4M(D) + (D^3 + D + 1)$ ?

$D^4M(D) + (D^3 + D + 1) = D^{11} + D^{10} + D^8 + D^7 + D^4 + D^3 + D + 1$ ,  
the equivalent bit pattern is 110110011011 (i.e. pattern 1011 =  $D^3 + D + 1$  added to the left of the original M pattern)

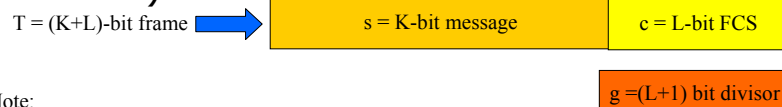
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## CRC Calculation

- $x = (K+L)$ -bit frame to be tx-ed,  $L < K$
- $s = K$ -bit message, the first  $K$  bits of frame  $T$
- $c = L$ -bit FCS, the last  $L$  bits of frame  $T$
- $g =$  pattern of  $L+1$  bits (a predetermined divisor)



Note:

- $x(D)$  is the polynomial (of  $K+L-1^{\text{st}}$  degree or less) representation of frame  $x$
- $s(D)$  is the polynomial (of  $K-1^{\text{st}}$  degree or less) representation of message  $s$
- $c(D)$  is the polynomial (of  $L-1^{\text{st}}$  degree or less) representation of FCS
- $g(D)$  is the polynomial (of  $L^{\text{th}}$  degree or less) representation of the divisor  $P$
- $x(D) = D^L s(D) + c(D)$  – refer to previous example

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## CRC Calculation (2)

- **Design:** frame  $x$  such that it divides the pattern  $g$  with no remainder?
- **Solution:** Since the first component of  $x$ ,  $s$ , is the data part, it is required to find  $c$  (or the FCS) such that  $x$  divides  $g$  with no remainder

Using the polynomial equivalent:

$$x(D) = D^L s(D) + c(D)$$

One can show that  $c(x) =$  remainder of  $[D^L s(D)] / g(D)$

i.e if  $D^L s(D) / g(x)$  is equal to  $z(D) + r(D)/g(D)$ , then  $c(D)$  is set to be equal to  $r(x)$ .

Note that:

Polynomial of degree  $k+n$

----- = polynomial of degree  $k$  + remainder polynomial of degree  $n-1$

Polynomial of degree  $n$

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## CRC Calculation – The previous example BUT using Polynomials – cont'd

- Message  $s = 1010001101$  (10 bits)
- $s(D) = D^9 + D^7 + D^3 + D^2 + 1$
- $D^5s(D) = D^{14} + D^{12} + D^8 + D^7 + D^5$
- Pattern  $g = 110101$
- $g(D) = D^5 + D^4 + D^2 + 1$
- $c(D) = D^3 + D^2 + D$
- $z(D) = D^9 + D^8 + D^6 + D^4 + D^2 + D$
- $x(D) = D^5s(D) + c(D)$   
 $= D^{14} + D^{12} + D^8 + D^7 + D^5 + D^3 + D^2 + D,$   
or  
 **$T = 101000110101110$**
- **Exercise:** Verify that  $z(D)g(D) + c(D) = D^5s(D)$

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## CRC – Receiver Procedure

- Tx-er transmits frame  $x$
- Channel introduces error pattern  $E$
- Rx-er receives frame  $y = x \oplus E$  (note that if  $E = 000..000$ , then  $y$  is equal to  $x$ , i.e. error free transmission)
- $y$  is divided by  $g$ , Remainder of division is  $R$
- if  $R$  is ZERO, Rx-er assumes no errors in frame; else Rx-er assumes erroneous frame
- If an error occurs and  $y$  is still divisible by  $P \rightarrow$  **UNDETECTABLE error** (this means the  $E$  is also divisible by  $g$ )

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## Some Properties

- All single-bit errors are detected
  - Proof in textbook page 63 (problem 2.3)
- All double-bit errors are detected, if  $g(D)$  is chosen to be primitive polynomial and the string  $s$  is of length less or equal to  $2^l-1$ 
  - Proof in the textbook page 63/64
- Any odd number of errors, as long as  $P(x)$  contains a factor  $(D+1)$ 
  - See problem 2.14

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## Some Popular CRC Polynomials

- CRC-12:  $D^{12}+D^{11}+D^3+D^2+D+1$
- CRC-16:  $D^{16}+D^{15}+D^2+1$
- CRC-CCITT:  $D^{16}+D^{12}+D^5+1$
- CRC-32:  
 $D^{32}+D^{26}+D^{23}+D^{22}+D^{16}+D^{12}+D^{11}+D^{10}+D^8+D^7+D^5+D^4+D^2+D+1$
- CRC-12 – used for transmission of streams of 6-bit characters and generates a 12-bit FCS
- CEC-16 and CRC-CCITT – used for transmission of 8-bit characters in USA and Europe – result in 16-bit FCS
- CRC-32 – used in IEEE802 LAN standards

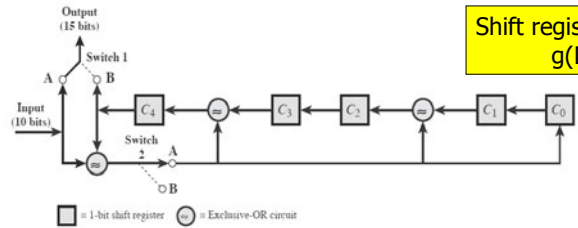
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# CRC – Shift Register Implementation – Example

Shift register circuit for dividing by  $g(D) = D^5 + D^4 + D^2 + 1$



Refer to previous example:

$s = 1010001101$  ( $k=10$ )

$g = 110101$  ( $n=5$ )

$c = 01110$

	$C_4$	$C_3$	$C_2$	$C_1$	$C_0$	$C_4 \oplus C_3 \oplus I$	$C_4 \oplus C_1 \oplus I$	$C_4 \oplus I$	$I = \text{input}$
Initial	0	0	0	0	0	1	1	1	1
Step 1	1	0	1	0	1	1	1	1	0
Step 2	1	1	1	1	1	1	1	0	1
Step 3	1	1	1	1	0	0	0	1	0
Step 4	0	1	0	0	1	1	0	0	0
Step 5	1	0	0	1	0	1	0	1	0
Step 6	1	0	0	0	1	0	0	0	1
Step 7	0	0	0	1	0	1	0	1	1
Step 8	1	0	0	0	1	1	1	1	0
Step 9	1	0	1	1	1	0	1	0	1
Step 10	0	1	1	1	0				

MSB  
Message to be sent  
**What are the effects of the switch positions A and B?**

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