# **CHAPTER 2**

# **ISOMERIZATION OF OLEFINS**



Professor Bassam El Ali



# INTRODUCTION

- The first step, conversion of a branched chain to a linear chain, involves cleavage of a C-C bond.
- The second step is a more common type in which an internal olefin equilibrates with a terminal olefin by hydrogen migration without disruption of the carbon skeleton of the olefin.
- This example is notable in that the C-C isomerization occurs in the presence of another potentially reactive, functional group.
- In recent years, several isomerizations of functionally substituted olefins have become important in the manufacture of specialty chemicals.

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# INTRODUCTION

- Isomerization of unconjugated to conjugated polyenes appeals to be a key step in the selective hydrogenation of compounds such as I,5-cyclooctadiene to monoenes (e.g., cyclooctene).
- The isomerization mechanisms are believed to be analogous to those of simple olefins.

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### CHAPTER 2 OBJECTIVES

■ INTRODUCTION

- ISOMERIZATION OF SIMPLE OLEFINS
- OLEFIN ISOMERIZATION MECHANISMS
  - Hydride Addition-Elimination
  - 1,3-Hydrogen Shift
- ENANTIOSELECTIVE ISOMERIZATIONS
- ISOMERIZATION OF FUNCTIONAL OLEFINS
  - Fragrance Chemicals
  - Food Additives

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- This conversion of a terminal olefin to a near-equilibrium mixture of internal olefins (both cis and trans isomers) is carried out on a massive scale as one step in the SHOP process.
- In industrial practice, a heterogeneous catalyst (potassium supported on alumina) is used, but a wide variety of soluble catalysis are effective for isomerization of simple olefins.

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# ISOMERIZATION OF SIMPLE OLEFINS

- The SHOP process which produces both linear α-olefins and linear detergent alcohols, also involves equilibration of internal and terminal olefins by a soluble catalyst.
- Linear aldehydes call be prepared from internal olefins such as 2decene by using a catalyst that is active for both isomerization and hydroformylation of olefins.
- If the catalyst generates a terminal olefin rapidly and hydroformylates the terminal olefin preferentially, respectable yields of linear aldehyde form by the sequence.

 $C_7H_{15}CH=CHCH_3 \longrightarrow C_8H_{17}CH=CH_2 \xrightarrow{H_2} C_8H_{17}CH_2CH_2CHO$ Professor Bassam EI All

- The linear aldehyde undecanal is of interest both as a perfume intermediate and as a precursor to the C<sub>11</sub> fatty alcohol, which is an intermediate in detergent manufacture.
- A standard hydroformylation catalyst, HCo(CO)<sub>4</sub>, is moderately effective in the sequential isomerization and hydroformylation of olefins.

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# ISOMERIZATION OF SIMPLE OLEFINS

- This catalyst can also isomerize olefins without hydroformylation when the partial pressures of CO and H<sub>2</sub> are reduced to the minimum amount necessary to stabilize the complex.
- In the SHOP process practiced by Shell, it appears that a modified catalyst, HCo(CO)<sub>4</sub> is used to effect hydrogenation of the product aldehyde as well as isomerization and hydroformylation.

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- VinyInorbornene 1 is produced by the uncatalyzed Diels-Alder reaction of butadiene with cyclopentadiene, which collies from thermolysis of dicyclopentadiene, a refinery by-product.
- The isomerization of 1 to 2 is catalyzed either with a strong base (Na on Al<sub>2</sub>O<sub>3</sub> or potassium-tert-butoxide) or with a Ti-based Ziegler catalyst.
- The desired product 2 is formed to the extent of 98% or inure at equilibrium.
- It is likely that the basic catalysts abstract an allylic proton from 1 to a delocalized anion that is reprotonated to give 2

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# ISOMERIZATION OF SIMPLE OLEFINS The Ziegler-type catalysts are prepared by reducing TiCl<sub>4</sub> or Ti(OR)<sub>4</sub> with LiAlH<sub>4</sub> or R<sub>2</sub>AlH to form a titanium hydride species. The isomerization is presumed to occur by an M-H addition/elimination reaction like those discussed in the next section. Addition of a Ti-H bond to i forms an alkyltitanium compound 3. β-Hydrogen elimination from 3 either regenerates 1 or yields the desired product 2.



# ISOMERIZATION OF SIMPLE OLEFINS

- Double-bond migration catalyzed by soluble metal complexes is also useful in laboratory scale organic synthesis. Some difficultly accessible unsaturated steroids have been prepared by RhCl<sub>3</sub> isomerization reactions.
- This salt forms a soluble hydrate, RhCl<sub>3</sub>.3H<sub>2</sub>O, which is probably the most convenient olefin isomerization catalyst for laboratory use. It is air-stable, commercially available, and easy to use.
- Typically, the olefin is heated with an ethanol solution of RhCl<sub>3</sub>.3H<sub>2</sub>O. After several hours, the mixture is cooled and diluted with water and the olefin is isolated by conventional means.

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Another commercially available isomerization catalyst is Wilkinson's compound, RhCl(PPh)<sub>3</sub>.

- It has been used in several syntheses of natural products and is faster than the simple RhCl<sub>3</sub> system.
- A closely related rhodium complex bearing a chiral ligand is used commercially in an commercially isomerization of an allylic amine.

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# ISOMERIZATION OF SIMPLE OLEFINS

- Other commercially available materials such as Fe(CO)<sub>5</sub>, Fe<sub>3</sub>(CO)<sub>12</sub> and PdCl<sub>2</sub> are also useful isomerization catalysts for the synthesis laboratory.
- These compounds catalyze double-bond migration by a different mechanism than do the rhodium or nickel catalysts and, hence, different products may be isolated in kinetically controlled experiments.
- Iron pentacarbonyl is the catalyst in a potentially attractive synthesis of anethole, a licorice fragrance chemical.

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# ISOMERIZATION OF SIMPLE OLEFINS

- The isomerization of estragole 4 (p-allylanisole) to the arene-conjugated olefin anethole 5 is accomplished by simply heating 4 with a catalytic amount of Fe(CO)<sub>5</sub> at 140°C.
- A conversion of 96-99% is attained in eight hours.
- The desired trans isomer of anethole predominates over cis by approximately 87:13.



# CHAPTER 2 OBJECTIVES

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  - Hydride Addition-Elimination

- 1,3-Hydrogen Shift

- ENANTIOSELECTIVE ISOMERIZATIONS
- ISOMERIZATION OF FUNCTIONAL OLEFINS
  - Fragrance Chemicals
  - Food Additives

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# OLEFIN ISOMERIZATLON MECHANISMS

- Extensive studies of olefin isomerization catalysis in the 1960s and 1970s identified two major families of catalysts which function by different reaction mechanisms.
- The largest family is that of transition <u>metal hydrides</u>, which may be either preformed catalysts or catalytic species generated in situ.
- These species catalyze C=C bond migration by addition and elimination of an M-H bond, as described for the Tibased Ziegler catalyst.
- A less common, but well documented, alternative mechanism involves a metal-mediated <u>1,3-hydrogen</u> <u>shift</u>, which may involve allylic intermediates.

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# OLEFIN ISOMERIZATLON MECHANISMS

- In addition to isomerizing olefins by moving hydrogens, metal ions can also catalyze olefin isomerization by moving a substituent such as Cl, OH, OAc, or CN.
- If reactions are allowed to proceed to completion, equilibrium mixtures of olefins form.
- For instance, the ultimate product of 1-butene isomerization is an equilibrium mixture of 69% trans-2-butene, 25% cis-2-butene, and 6% 1-butene.

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# OLEFIN ISOMERIZATLON MECHANISMS

- With many catalysts, however, the cis-2-butene is formed more rapidly than the trans isomer and can be isolated as a major product early in the reaction.
- Some of the more active catalysts facilitate equilibration of cis and trans isomers of olefins such as stilbene for which double-bond migration is impossible.

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#### OLEFIN ISOMERIZATLON MECHANISMS Hydride Addition-Elimination

- The most common mechanism for moving a C=C bond involves addition of all M-H bond to give an alkylmetal complex that then undergoes nondegenerate β-hydrogen elimination to form a new C=C bond.
- The function may be a preformed metal hydride complex such as HCo(CO)<sub>4</sub> mentioned earlier, or it may be formed in the reaction mixture.
- Some of the more important catalytic species are tabulated in Table 2.1.

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**OLEFIN ISOMERIZATLON MECHANISMS** Hydride Addition-Elimination Table 2.1 Isomerization Catalysts Based o Metal Hydrides Catalyst Type Examples HCo(CO)<sub>4</sub> HRuCl(PPh<sub>3</sub>)<sub>3</sub> HPt(SnCl<sub>3</sub>)(PPh<sub>3</sub>)<sub>2</sub> Preformed M-H bond formed by reduction with a hydride reagent M-H formed by reduction HTiX<sub>3</sub> species with a hydride reagent M-H formed by H+ addition HNi[P(OEt)3]3+ HRh<sup>III</sup>Cl<sub>x</sub> M-H formed by reduction and (ex RhCl3•3H2O) protonation in situ 24

#### OLEFIN ISOMERIZATLON MECHANISMS Hydride Addition-Elimination

- Cationic nickel species formed by protonation of nickel(0) complexes are also commercially significant.
- Du Pont's hydrocyanation technology is based on isomerization and HCN addition reactions catalyzed by HNiL<sub>3</sub><sup>+</sup> and HNiCNL<sub>3</sub> species in which L is a triaryl phosphite ligand.
- Studies of the analogous triethyl phosphite complex have shed much light on the mechanism of olefin isomerization.

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#### OLEFIN ISOMERIZATLON MECHANISMS Hydride Addition-Elimination

- A catalytic reaction of potential industrial importance is the selective reduction of a molecule containing multiple C=C bonds to monoolefins (e.g., 1,5,9-cyclododecatriene to cyclododecene or linolenic acid esters to oleate esters).
- An initial step in the reaction is believed to be isomerization of the unconjugated polyene to a conjugated polyene, which undergoes hydrogenation in preference to an isolated C=C bond.
- The ruthenium and platinum hydrides are good catalysts for this kind of reaction and, as expected, are good isomerization catalysts.

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#### OLEFIN ISOMERIZATLON MECHANISMS Hydride Addition-Elimination

- The metal hydride addition-elimination mechanism is nicely illustrated by RuHCl(PPh<sub>3</sub>) a hydrogenation catalyst that catalyzes isomerization of simple olefins as well as polyenes.
- The mechanism of isomerization of 1-pentene by this catalyst is shown in Figure 2.1.
- A coordinatively unsaturated complex labeled H-Ru in the figure reacts with 1-pentene to form the olefin complex 6.
- Migratory insertion can occur in either of two ways.

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#### OLEFIN ISOMERIZATLON MECHANISMS Hydride Addition-Elimination

- Readditions of Ru-H to 2-pentene can occur to give a 3pentyl complex.
- This step is unproductive for pentenes because the 3 group can only yield 2 hut, for long-chain olefins, repeated addition and elimination steps can move the double bond along the chain at random.

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#### OLEFIN ISOMERIZATLON MECHANISMS Hydride Addition-Elimination

- The β-hydrogen elimination to give cis-2-pentene is faster than that which gives the trans isomer.
- Early in the reaction (50°C in benzene), a 60:40 ratio of cis- and trans-2-pentene is observed.
- The factor that determines which isomer is formed in a single catalytic cycle is almost certainly the conformation of the 2-pentyl group at the time that α-hydrogen elimination occurs.

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#### OLEFIN ISOMERIZATLON MECHANISMS Hydride Addition-Elimination

- These mechanistic principles seem to apply to metal hydride-based catalysts generally, but the details will vary with the catalyst species.
- In particular, the kinetic distribution of cis and trans isomers will depend on the steric and electronic factors that c the β-hydride elimination process.

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<b>OLEFIN ISOMERIZATLON MECHANISMS</b>
1,3-Hydrogen Shift

- The terminal olefin 10 is prepared on a large scale by BASF via condensation of isobutylene, acetone, and formaldehyde.
- 10 and 11 are versatile intermediates for a wide range of flavors and fragrances as well as Vitamin.
- Several detailed mechanisms have been proposed for the 1,3-hydrogen shift mechanism.
- The simplest is a 1,3-suprafacial shift in which a hydrogen ion migrates from C-3 to C-1 in a coordinated terminal olefin without any direct metal-hydrogen interaction.

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#### OLEFIN ISOMERIZATLON MECHANISMS 1,3-Hydrogen Shift

- Another conceptually simple proposal, metal-assisted proton migration, is shown in Figure 2.2.
- This mechanism is proposed to operate in Pd<sup>2+</sup> catalyzed isomerization in nonpolar media such as benzene, the solvent chosen for mechanistic study.
- Coordination of the chosen olefin (1-pentene) to the metal brings the allylic C-3 hydrogens close to the metal atom in an initial complex 12.

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# OLEFIN ISOMERIZATLON MECHANISMS 1,3-Hydrogen Shift

- Transfer of hydrogen to the metal gives a π-allyl palladium hydride 13.
- The metal-bound hydrogen may return to C-3 to reform 1pentene, or it may migrate to C-1 to form 2-pentene.
- With this catalyst, the trans isomer 14 shown in Figure 2.2 is favored kinetically as well as thermodynamically.

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#### OLEFIN ISOMERIZATLON MECHANISMS 1,3-Hydrogen Shift

- One objection to this π-allylic mechanism is that it involves oxidative addition of a C-H bond to a Pd(II) complex to form a species 13 that is formally Pd(IV), all uncommon oxidation state.
- This consideration, as well as differences in the relative isomerization rates with various Pd(II) catalysts, has led to proposal of an alternative mechanism.
- The alternative involves electrophilic attack of Pd<sup>2+</sup> on the olefin to give an incipient carbonium ion 15.

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#### OLEFIN ISOMERIZATLON MECHANISMS 1,3-Hydrogen Shift

- This species rearranges via a heterolytic cleavage of a C-H bond to form an allylic intermediate 16, which is in equilibrium with 17 Compound.
- 17 may be regarded as a partial carbonium ion that at is in equilibrium with the isomerized olefin.
- Clearly, solvent polarity will be a factor in determining whether this mechanism or that of Figure 2.2 will operate in a given situation.

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#### OLEFIN ISOMERIZATLON MECHANISMS 1,3-Hydrogen Shift

- A 1,3-hydrogen shift with a somewhat different intimate mechanism has been demonstrated for the [Rh(diphosphine)(solvent,]\* catalyst system.
- This type of catalyst is valuable for the isomerization of allylic alcohols to enols and of allylic amines to enamines.
- The latter reaction proceeds via 1,3-shift, if done with a chiral catalyst and a prochiral allylamine, it permits synthesis of a single optical isomer of the enamine.

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    - Professor Bassam El Ali

#### **ENANTIOSELECTIVE ISOMERIZATIONS**

- One of the major driving forces for the practical use of soluble catalysts is the need to make specific optical isomers of biologically active compounds.
- One of the most striking examples is the development of enantioselective catalysts for isomerization of olefins.
- In principle, a metal complex, which is coordinated preferentially to one face of a prochiral olefin should be able to effect a stereoselective 1,3-hydrogen shift to produce specifically one of the two optical isomers of the product olefin.

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- Work at the Takasago Perfumery first showed the possibility of enantioselective isomerization of an allylic amine.
- The catalyst, prepared by reducing a cobalt(II) compound with an organoaluminum in the presence of a chiral ligand, gave only modest enantioselectivity, but subsequent work with rhodium catalysts gave spectacular results.
- A key to success was use of the BINAP ligand, which has also been useful in enantioselective hydrogenations of olefins and ketones.



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# ENANTIOSELECTIVE ISOMERIZATIONS

- The most important commercial application of this chemistry is shown in Figure 2.3.
- β-Pinene, an abundant natural terpene, is pyrolyzed to form myrcene 18.
- Myrcene, in turn, is treated with diethylamine in the presence of lithium diethylamide to form diethylgeranylamine **19** and its Zisomer, diethylnerylamine.
- Either of these allylic amines may be isomerized to R(-)-diethyl-E-citronellalenamine 20, but the "handedness" of the product depends on the chirality of the BINAP ligand present ill the catalytic rhodium complex.

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### **ENANTIOSELECTIVE ISOMERIZATIONS**

- For example, **19** is boiled in tetrahydrofuran containing 0.1 mole % [Rh(-)-BINAP(COD(](CIO<sub>4</sub>) for **21** hours to give a 94% vield of **20**.
- If one starts with the isomeric nerylamine, it is necessary to use the R(+)-BINAP complex. Hydrolysis of 20 with cold aqueous acetic acid gives R(+)-citronellal 21 in 91% chemical yield with an optical purity of about 95%.
- The Lewis-acid-catalyzed ring closure to form 22 accomplishes formation of two more chiral centers, the stereochemistries of which are determined by the chirality at the carbon 3 to the aldehyde function.
- Hydrogenation of the remaining C=C bond in 22 over a Raney nickel catalyst generates L-menthol.





- This menthol synthesis is remarkable in that three chiral centers are created, all of which are necessary to produce the characteristic menthol odor and local anesthetic action.
- Even more remarkable is the fact that this complex multistep synthesis can be economically competitive with production of menthol from natural sources.
- The key seems to lie in the enantioselective isomerization, which creates the first chiral center.

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### **ENANTIOSELECTIVE ISOMERIZATIONS**

- The mechanism of the enantioselective isomerization has been studied extensively.
- As shown in Figure 2.4, it is critically dependent on the presence of the amine function, which provides the initial binding of the substrate to the catalyst.
- An allylic amine such as diethylgeranylamine coordinates to the BINAP rhodium(I) complex through N, as shown in 23.

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- Dissociation of a solvent molecule permits transfer of a hydrogen from the α carbon of the amine to rhodium, formally oxidizing the metal to Rh(III).
- The product of C-H cleavage may be viewed either as an iminium complex 24, as written, or as an allyl complex bearing a NR<sub>2</sub> substituent on C-1.
- If viewed as a π-ally complex, it must have syn stereochemistry because return of H from Rh to C gives the trans enamine in compound 25.

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ENANTIOSELECTIVE ISOMERIZATIONS
Displacement of the enamine from 25 by fresh allyl amine completes the catalytic cycle by reforming 24.
Stereochemical analysis of the transition slates leading to cleavage has rationalized the role of the BINAP ligand in determining which of the two α-hydrogens migrates to thodium.
The enantioselective isomerization reaction appears to have numerous applications in fragrance chemistry.
In a reaction closely related to the L-menthol synthesis, lie allylic amine 26 is isomerized to the enamine 27 using a BINAP rhodium catalyst:







- Oxidation and hydrolysis of the AI-C bond in 29 yields (+)-citronellol 30, which is convened to L-menthol by conventional organic reactions.
- This oxidative hydrolysis of an organoaluminum compound closely parallels that used in the Conoco process to convert an Al-terminated ethylene oligomer to a fatty alcohol.
- Citronellol 30, in addition to being a menthol intermediate, is also useful as a component of rose scent.

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### **ISOMERIZATION OF FUNCTIONAL OLEFINS**

- In addition to these two isomerizations, conducted on a scale of many thousands of tons per year, there are many small-scale specialized applications of allylic isomerization.
- In general, they involve the use of a soluble catalyst to move a hydroxyl or carboxylate group in the production of a biologically active compound.
- For example, in the BASF synthesis of vitamin **A**, there is all isomerization of a diacetoxybutene exactly analogous to the 1,4-dichloro-2-butene isomerization mentioned above.

AcOCH2CH=CHCH2OAc \_\_\_\_ AcOCH2CHCH=CH2 ÓAc Professor Bassam El Ali

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### ISOMERIZATION OF FUNCTIONAL OLEFINS

- A mixture of cis- and trans-diacetoxy-2-butene is heated with a PtCl<sub>4</sub> catalyst.
- A slow feed of oxygen and chlorine is added presumably to keep the catalyst in a high oxidation state, while the mixture is slowly distilled, distillate is enriched in the lower boiling 3,4-diacetoxy-1-butene, which is formed in 95% yield with 98-99.5% purity.

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#### ISOMERIZATION OF FUNCTIONAL OLEFINS Fragrance Chemicals

- Allylic isomerization is applied to a variety of terpenoid substrates in the perfume industry.
- These C<sub>10</sub> compounds which are nominally isoprene dimers, are produced industrially both from natural products such as turpentine and from petrochemicals.
- Linalool 32, a key intermediate in making a number of fragrance alcohols, is obtained both from pinenes and from the 6-methylheptenones 10 and 11.

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#### ISOMERIZATION OF FUNCTIONAL OLEFINS Fragrance Chemicals

- The network of chemistry involving linalool is sketched in Figure 2.5.
- Ethynylation of 11 with acetylene and a metal acetylide catalyst gives dehydrolinalool 31, which is of interest as a precursor to linalool 32, citral 33, and vitamin A.
- The conversion of 31 to 32 involves selective hydrogenation of the bond with a heterogeneous catalyst.

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#### **ISOMERIZATION OF FUNCTIONAL OLEFINS** Fragrance Chemicals

- The isomerization of propargylic alcohols ( $31 \rightarrow 33$ ) and allylic alcohols  $(32 \leftrightarrow 34 + 35)$  is catalyzed by alkyl vanadate esters.
- In the isomerization of dehydrolinalool **31**, the starting alcohol is heated at 140-160°C with tricyclohexyl vanadate in a paraffin solution.

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**ISOMERIZATION OF FUNCTIONAL OLEFINS** Fragrance Chemicals OH C≱<sub>CH</sub>  $C_2H_4$  $H_2$ 31 CHO ОU 35 33 Figure 2.5. The role of linalool in fragrance chemistry 66 Professor Bassam El Ali



#### ISOMERIZATION OF FUNCTIONAL OLEFINS Fragrance Chemicals

- The equilibrium nature of the isomerization process is more evident in the vanadium-catalyzed reaction of linalool 32.
- When linalool is heated at 160°C with tris(tetrahydrolinalyl) or tris(triphenylsilyl) vanadate, the mixture that forms contains approximately 30% of the primary alcohols, geraniol 34 and nerol 35, along with unchanged linalool.
- Starting from the other side of the equation, another vanadate-based catalyst system converts either geraniol or nerol to a mixture that contains 68-70% linalool.

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#### ISOMERIZATION OF FUNCTIONAL OLEFINS Fragrance Chemicals

- The equilibrium mixtures obtained in the linalool isomerization are not altogether satisfactory for perfumery use because separation of the desired geraniol (a rose scent constituent) is difficult.
- The reaction employs a trick to shift the equilibrium in favor of the terminal alcohols. The linalool is convened to a borate ester by ester exchange with tributyl borate. Equilibration of the borate esters yields 75-80% of the primary alcohols.

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#### ISOMERIZATION OF FUNCTIONAL OLEFINS Fragrance Chemicals

- The vanadium-catalyzed isomerization appears to occur within the coordination sphere of the metal as sketched in Figure 2.6.
- The allylic alcohol such as linalool enters the coordination sphere by ester exchange with the trialkyl vanadate catalyst.
- The fundamental rearrangement of the allylic vanadate ester 36 resembles the classical Claisen rearrangement.

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- Ester exchange with free tertiary alcohol (linalool) releases the isomerized product (geraniol or nerol).
- All steps in the process are reversible; hence, the composition of the equilibrated product mixture is determined by the relative thermodynamic stabilities of the products.

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#### ISOMERIZATION OF FUNCTIONAL OLEFINS Fragrance Chemicals

- The vanadate-catalyzed isomerization of allylic alcohols contrasts with the rhodium-catalyzed isomerization mentioned earlier in that vanadium produces a 1,3oxygen shift while rhodium shifts a hydrogen.
- This mechanistic difference leads to a difference in product. Vanadium makes an isomeric allyl alcohol, but rhodium produces an enolate of an aldehyde or ketone.

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