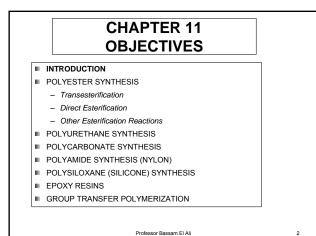
# **CHAPTER 11**

### ESTERIFICATION, POLYCONDENSATION, AND RELATED PROCESSES



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# INTRODUCTION

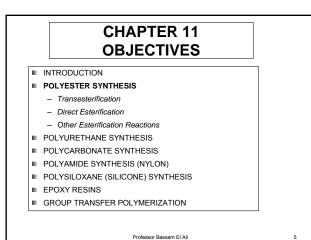
- Many of the industrial homogeneous catalytic processes produce intermediates for the synthesis of condensation polymers such as н. polyesters and polyamides.
- Some of the largest applications of homogeneous catalysis are in the production of intermediates having the high purity required for н. polymer formation.
- The selectivity of a homogeneous catalyst is a major advantage when the final product must be better than 99.9% pure. н.

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# INTRODUCTION

- Homogeneous catalysis is used in some polymerization processes
- Soluble metal compounds are used as catalysts in most н. processes for the manufacture of polyesters. Similar catalysts are often used for the production of polyurethanes and polycarbonates.
- Polyamide synthesis is not usually catalyzed by metal н. complexes, but the topic is considered as a result of the important role of homogeneous catalysis in the production of nylon intermediates.

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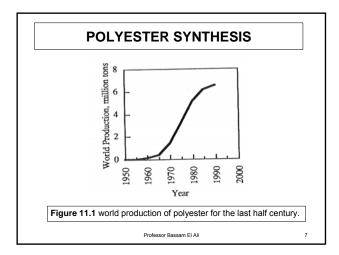
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# **POLYESTER SYNTHESIS** The most widely used synthetic fiber is polyester - poly(ethylene terephthalate) or PET - which is sold under such familiar trade names such as Du Pont's Dacron, ICI's Terylene, or Eastman's Kodak.

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PET is also commonly encountered in the familiar plastic bottles Ш. for soft drinks, and in films such as Du Pont's Mylar® which are used for food or liquid packaging, magnetic tapes, novelty balloons, and a host of other applications.

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### **POLYESTER SYNTHESIS**

The major process for the production of polyester was based on dimethyl terephthalate, DMT.

- This approach involves two steps, both of which are catalyzed by soluble metal compounds.
- Transesterification of the dimethyl ester with ethylene glycol gives methanol and bis(hydroxyethyl)terephthalate, BHET.
- The hydroxyethyl ester is then heated under vacuum to drive out one equivalent of ethylene glycol forming the polyester.
- For fiber applications, it is common to add a low percentage of the sodium salt of the 5-sulfo-bis(hydroxyethyl)isophthalate as a site for dying the fiber.

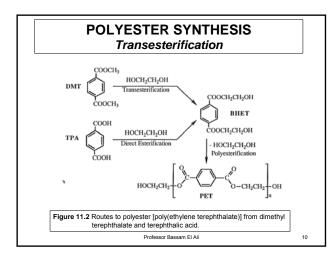
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### POLYESTER SYNTHESIS Transesterification

- The usual preparation of poly(ethylene terephthalate) uses two different catalyst systems.
- The first step in the process of Figure 11.2, the transesterification of dimethyl terephthalate with ethylene glycol, is catalyzed by a variety of metal ions.

 $Zu^{2+}>Pb^{2+},Cd^{2+}>Mn^{2+}>Co^{2+}>Ca^{2+}>Ba^{2+}>Na^{+}>Li^{+}>Hg^{2+}=Fe^{3+}>Ni^{2+}>Cu^{2+}$ 

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- Acetate salts of Zn and Mn are used commercially. Although these salts are very effective in the first step, they cause undesirable side reactions at the higher temperatures necessary for the second step.
- The polycondensation of bis(hydroxyethyl) terephthalate is carried out at 250-300°C under vacuum in order to remove ethylene glycol as it is produced.
- Antimony compounds almost always are used to catalyze this reaction, which is also a transesterification of sorts.
- Even though antimony and germanium do not catalyze transesterification at low temperatures, they cleanly polymerize the hydroxyethyl ester at about 275°C.

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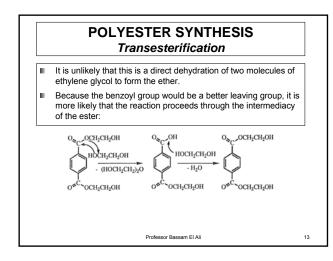
### POLYESTER SYNTHESIS Transesterification

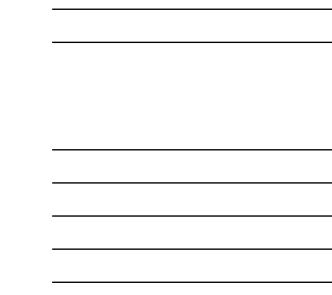
- Polyester synthesis is simple. In a laboratory preparation the transesterification catalyst, Ca(OAc)<sub>2</sub>.2H<sub>2</sub>O and the polycondensation catalyst, Sb<sub>2</sub>O<sub>3</sub> are mixed with dimethyl terephthalate and a slight excess of ethylene glycol.
- Industrial polymerization is carried out very similarly in either a batch or a continuous process.
- The transesterification catalyst is an acetate of cobalt, zinc, or manganese, all of which produce rapid reaction of ethylene glycol with the terephthalate ester.
- Unfortunately, they also catalyze the formation of diethylene glycol at the high temperatures used in polycondensation.

2 HOCH<sub>2</sub>CH<sub>2</sub>OH → (HOCH<sub>2</sub>CH<sub>2</sub>)<sub>2</sub>O + H<sub>2</sub>O

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- In fiber applications, the diethylene glycol can act as a <u>dye site</u>, so it may even be added to the polymerization in an effort to keep its concentration constant, thereby improving dye uniformity.
- In film applications, its presence is generally minimized.

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- It is common practice to add an "inhibitor" such as phosphoric acid or triphenyl phosphate before the polycondensation step.
- The inhibitor converts the metal salt to a complex phosphate that has little catalytic activity and does not interfere with the antimony-based polycondensation catalyst.

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# POLYESTER SYNTHESIS Transesterification

- In a commercial polymerization, ethylene glycol, dimethyl terephthalate, and the metal acetate catalyst may be added continuously to a transesterification reactor that is heated to 150-200°C.
- Methanol and some glycol distill out of the mixture. The molten bis(hydroxyethyl) terephthalate that results is added to a polycondensation reactor along with the inhibitor and antimony(III) oxide which has been predissolved in glycol.

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- Divalent metal ions are said to act as Lewis acids in the catalysis of transesterification.
- Coordination of the carbonyl group of the ester to the cation is believed to activate it to nucleophilic attack by the oxygen of an alcohol.
- The complexation and activation of dimethyl terephthalate by calcium acetate are depicted in Figure 11.3.
- When the carbonyl group coordinates to a calcium(2+) ion, electron density is transferred to the metal ion.

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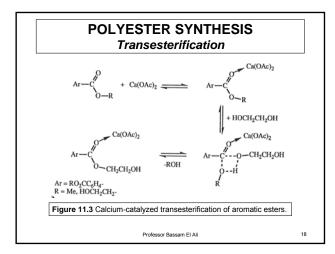
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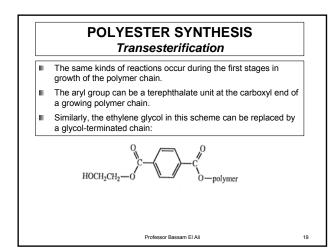
### POLYESTER SYNTHESIS Transesterification

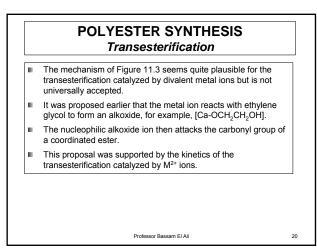
- The electron depletion of the carbonyl is most pronounced at the carbon atom.
- This effect makes the carbon especially susceptible to nucleophilic attack. The attack by a hydroxyl group is often written with a four-center transition state as shown.
- Regardless of the timing of events, the overall effect is breaking and making of C-O and O-H bonds to produce a glycol ester and free methanol.
- Dissociation of the metal ion from the carbonyl group completes the catalytic cycle.

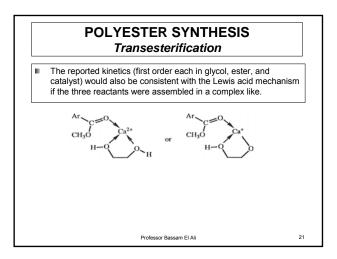
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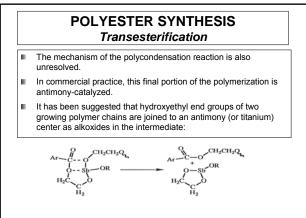




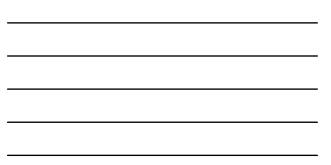


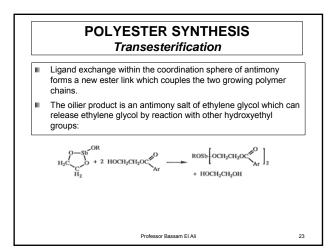


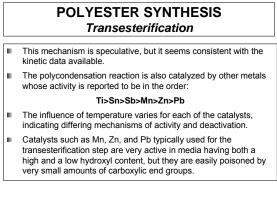




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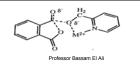




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- Antimony trioxide, which is typically used in the polycondensation step, is insensitive to the presence of acidic carboxylic end groups, but its activity is inversely proportional to the hydroxyl group concentration.
- Thus ethylene glycol must be removed rapidly.
- The importance of the chelation shown in the intermediates sketched above is highlighted by studies of the hydrolysis of pyridylmethyl hydrogen phthalates.
- Divalent metal ions such as Ni<sup>2+</sup>, Co<sup>2+</sup> and Zn<sup>2+</sup> are very good catalysts for the reaction.



### POLYESTER SYNTHESIS Direct Esterification

- The change from dimethyl terephthalate to terephthalic acid as a starting material for polyester production requires a change in catalyst.
- The divalent metal salts usually used as transesterification catalysts have little activity in the esterification of a carboxylic acid with an alcohol.
- Extensive screening of potential catalysts for esterification has led to the ordering of activities for reaction of ethylene glycol with benzoic acid to form hydroxyethyl benzoate, and for reaction of ethylene glycol with terephthalic acid to form bis(hydroxyethyl) terephthalate.

 $Ti^{4+}\!\!>\!\!Sn^{2+}\!\!>\!\!Sn^{4+}\!\!>\!\!Bi^{3+}\!\!>\!\!Zn^{2+}\!\!>\!\!Pb^{2+},\!Sb^{3+}\!\!>\!\!Al^{3+},\!Mn^{2+}\!\!>\!\!Co^{2+},\!Cd^{2+}\!\!>\!\!Mg^{2+}$ 

Sn<sup>2+</sup>>Ti<sup>4+</sup>>>Zn<sup>2+</sup>>Pb<sup>2+</sup>,Co<sup>2+</sup>,Cd<sup>2+</sup>

# POLYESTER SYNTHESIS Direct Esterification

The tin compounds that have good activity in direct esterification include tin(II) oxide and oxalate and organotin(IV) compounds such as dialkyltin(IV) oxides and carboxylates.

The titanium catalysts are typically titanium(IV) alkoxides.

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## POLYESTER SYNTHESIS Direct Esterification

- A slurry of terephthalic acid in excess ethylene glycol is fed continuously to a reactor along with titanium(IV) tetraisopropoxide.
- The hot (250-300°C) reaction mixture which contains bis(hydroxyethyl) terephthalate and low molecular weight polymer dissolves the terephthalic acid and permits esterification to proceed in a relatively homogeneous medium.
- Continuous distillation removes some excess glycol and the water as it is formed.

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### POLYESTER SYNTHESIS Direct Esterification

- The insolubility of terephthalic acid has impeded mechanistic studies on polyester synthesis by direct esterification.
- As an alternative, kinetic studies have been done on the reactions of benzoic acid, isophthalic acid, and substituted isophthalic acids with ethylene glycol.
- Once benzoic acid is added to one end of the ethylene glycol, the remaining OH group is more active toward esterification.
- Tin(II) and titanium(IV) show similar kinetic dependencies, although the order of the reaction varies with the acid that is being esterified.

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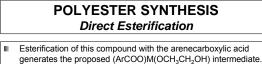
### POLYESTER SYNTHESIS Direct Esterification

- Since the rate depends on the concentrations of acid, glycol, and catalyst, it appears that all three components must be assembled in a reactive complex such as (ArCO<sub>2</sub>)xM(OCH<sub>2</sub>CH<sub>2</sub>OH)y.
- The role of such a complex in esterification of benzoic acids is illustrated in the catalytic cycle of Figure 11.4.
- Dimethyltin oxide is normally all oligomer, but much of its chemistry is that expected from the hypothetical monomer, Me<sub>2</sub>Sn=O.
- The initial reaction within the catalytic cycle is the addition of the alcohol O-H to form a hydroxy-alkoxy derivative.

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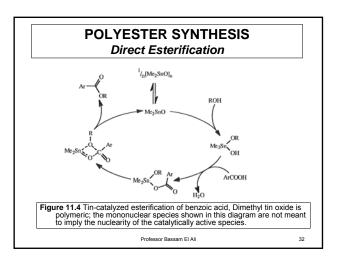
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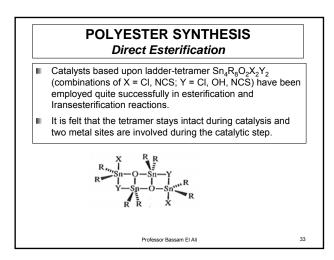


- Intramolecular nucleophilic attack on the carbonyl group by the alkoxide ligand produces ester and Me<sub>2</sub>SnO to complete the cycle.
- This mechanism seems quite plausible for the direct esterification, but much additional work is required to establish it conclusively.

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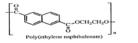


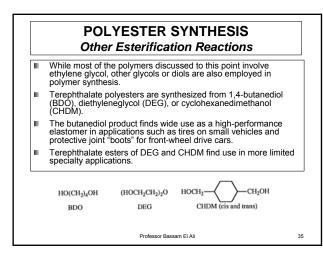


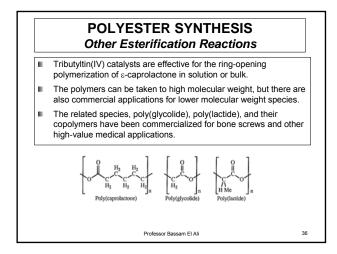


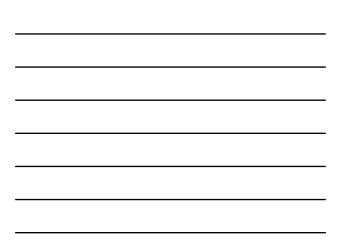


- The transesterification and direct esterification processes discussed above serve to illustrate the general principles involved in most metal-catalyzed esterification and transesterification reactions.
- These catalysts are also applied to a number of lower- volume polymers and chemicals.
- Du Pont and Teijin have introduced a polyester film based upon ethylene glycol and 2,6-naphthalenedicarboxylate for food packaging, magnetic tapes, and high- performance electrical applications.
- Advantages include higher transparency and better resistance to permeation of oxygen and moisture.









### POLYESTER SYNTHESIS Other Esterification Reactions

- Polyglycolides and polylactides are synthesized by ring-opening of their cyclic dimer esters using tin catalysts in solution or in the melt, but many other metal-based catalysts are also effective.
- The choice of metal for the catalyst can have a pronounced effect upon the rate of polymerization and also the subsequent thermal stability of the polymer.
- Because of their biological origin, lactide dimers can be obtained as the enantiomerically pure d,d-, l,l-, or racemic d,l-species, thus providing stereochemically "labeled" starting materials.

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### POLYESTER SYNTHESIS Other Esterification Reactions

- There is a substantial industry based upon the esterification and transesterification of organics.
- Phthalic acid esters of long-chain alcohols derived from oxo synthesis or ethylene oligomerization are used as plasticizers. They are often synthesized from the convenient anhydride.
- The mechanism of the titanium- catalyzed reaction has been explored, and the proximity of the two carboxylate groups has an influence on the course of the reaction.
- The degree of hydrolysis of titanium catalysts can have a pronounced effect on the catalyst activity.

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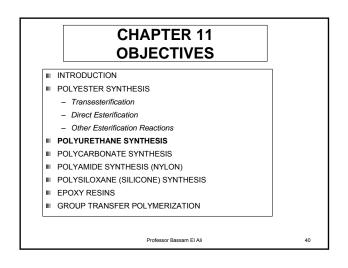
### POLYESTER SYNTHESIS Other Esterification Reactions

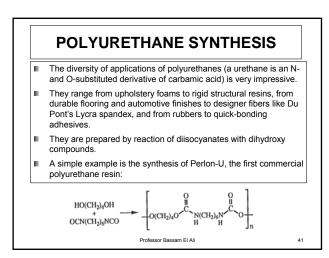
- Esters are widely used as fragrances, and there is a major industry built upon their synthesis.
- Esters are also employed in medicinals. Long-chain esters of aliphatic diacids are used as high-performance synthetic lubricants in demanding applications such as turbojet engines.
- While conventional strong-acid catalysis is most commonly used in the synthesis of these low-volume, high-value products, titanate catalysis has been explored.
- Chiral titanium catalysts can even be used to carry out stereoselective esterifications on α-arylcarboxylic acid derivatives and intermediates for insecticides.

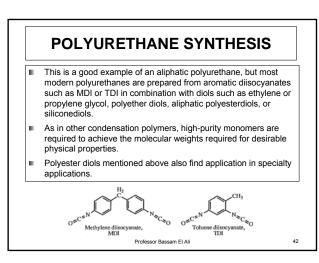
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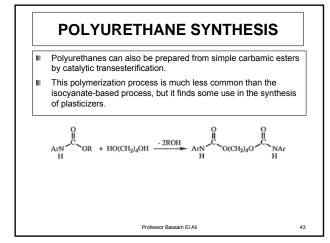
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# **POLYURETHANE SYNTHESIS**

- The reaction of an aromatic diisocyanate with a glycol occurs readily and no catalyst is required.
- However, catalysts are used to accelerate polyurethane formation in most commercial processes.
- For aliphatic isocyanates, catalysts are required. The most common catalysts are tertiary amines, but metal complexes are also widely used.
- The most commonly used metal catalysts are tin derivatives, although titanium tetraalkoxides are very effective with both isocyanates and carbamic esters.
- Polyurethane formation is also catalyzed by many of the other metal compounds that are used for polyester production.

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# **POLYURETHANE SYNTHESIS**

- Polyurethanes are used in many different ways fibers, coatings, adhesives - but one of the largest uses is in the preparation of foams.
- The polymerization is carried out in the presence of a "blowing agent" which releases a gas such as N<sub>2</sub> or CO<sub>2</sub> or a fluorocarbon. The gas forms bubbles in the viscous prepolymer.
- The foam structure is retained after the polymer attains high molecular weight and becomes solid.
- Trifunctional reactants such as triols provide crosslinks for rigidity. The process is illustrated by a patent in which a nonvolatile amine and tin(II) octoate are used as cocatalysts to produce both flexible and rigid foams.

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## **POLYURETHANE SYNTHESIS**

- A mixture of the polyalcohol (a propylene oxide adduct of glycerin), the catalysts, the blowing agent, and a trace of water are vigorously stired while 2,4-toluenediisocyanate is added.
- The foaming mixture is poured into a heated box and cured at 100-105°C for one hour in order to produce a cube of polyurethane foam.
- The rigidity of the foam depends on the number of polymer crosslinks provided by the alcohol component.

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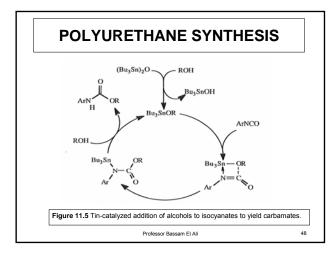
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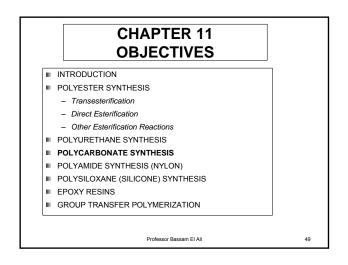
# **POLYURETHANE SYNTHESIS**

- The mechanism of catalytic polyurethane production may be analogous to that of polyester production.
- Lewis acidic M<sup>2+</sup> salts can activate the isocyanate function by coordination to oxygen as in transesterification.
- Organotin compounds act through alkoxide and carbamate intermediates as shown in Figure 11.5.
- Coordination of the isocyanate to the tin activates the C=N group to intramolecular nucleophilic attack by alkoxide to form a tin carbamate.
- Alcoholysis of the Sn-N bond liberates the carbamate-containing polymer and regenerates the tin alkoxide for another cycle of reaction.
- For reactions with glycols, intermolecular hydrogen bonding may play a role in the reaction.

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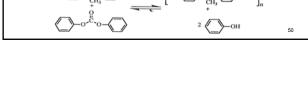


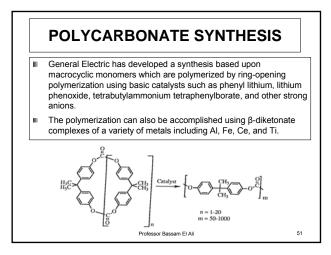




# POLYCARBONATE SYNTHESIS

- The original synthesis of polycarbonates was by transesterification of aromatic diesters of carbonic acid with dihydroxydiaryls such as bisphenol-A in the presence of basic catalysts.
- The reaction is also catalyzed by Ti(OBu)<sub>4</sub>, much like the polyesterifications.
- To achieve the high molecular weights necessary for desired properties, the equilibrium is shifted by distilling off the phenol at high temperature.
- This process was largely displaced by reaction of the sodium salt of bisphenol-A directly with phosgene.



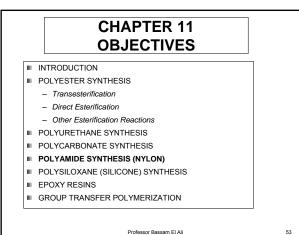




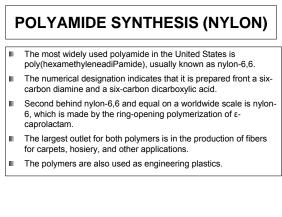
# POLYCARBONATE SYNTHESIS

- Ш. There are many advantages to this new synthesis. At equilibrium, almost no cyclics remain.
- Since no byproducts are formed in the reaction, the final molecular н. weight is determined solely by monomer purity and the level of initiator used.
- The molecular weights of 2-400,000 typically achieved are н. significantly higher than those obtained in conventional polymerizations.
- н. Because the melt viscosities of the cyclics are much lower than the polymers, composites, crosslinking, copolymerizations, and extruder polymerizations can now be considered.

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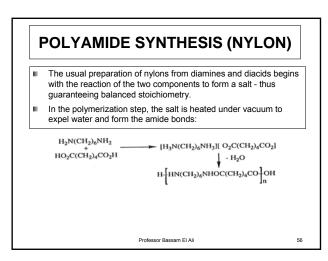
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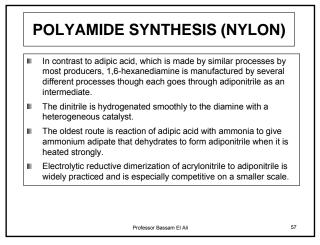
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# POLYAMIDE SYNTHESIS (NYLON)

- There is a growing use of nylon-6,12 and nylon-12,12 for more demanding applications because their changes in physical dimensions upon exposure to humidity are reduced because of the higher aliphatic content.
- Nylon-12,T, where T stands for terephthalic acid, is also under investigation.
- Nylon-46 is produced in Europe and Japan for its improved properties at higher temperatures.

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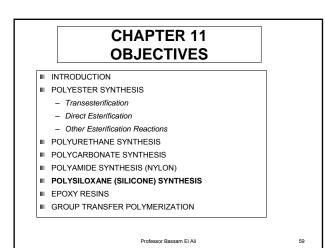




# POLYAMIDE SYNTHESIS (NYLON)

- The synthesis of nylon-6 from ε-caprolactam does not require the salt-forming step because the acid and amine function are combined into a single cyclic molecule, thereby assuring balance of the two functionalities.
- However, the polymerization is an equilibrium between polymer and monomer
- The monomer is only slightly less stable than polymer, so there is an appreciable equilibrium concentration.
- The uncatalyzed polymerization of pure monomer proceeds very slowly at temperatures as high as 250°C, and monomer becomes more favored at these higher temperatures.

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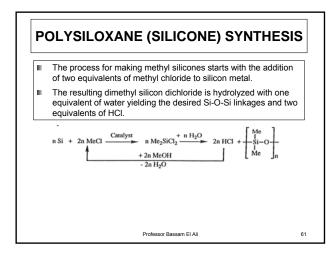


POLYSILOXANE (SILICONE) SYNTHESIS

- The silicon-oxygen bond is one of the most stable bonds in nature and, as a result, it confers a great deal of stability to the siloxane (-SiR<sub>2</sub>-O)x backbone of silicones.
- The physical properties of silicones, which range from mobile fluids to elastomers and highly crosslinked resins, are retained over a wide range of temperatures and conditions.
- They also have unique surface properties and low toxicity.
- These features make them useful in applications such as protective coatings, sealants, medical implants, hydraulic oils, and water-repellants.

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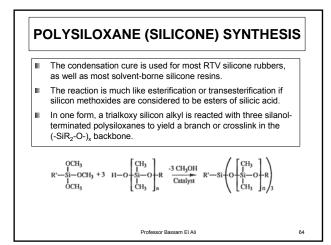


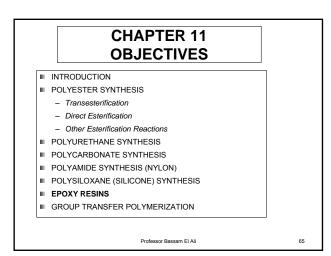
# POLYSILOCANE (SILICONE) SYNTHESIS 1 The HCI is recycled by reaction with methanol to give methyl chloride; thus the overall reaction is methanol plus silicon to give silicone and water. Cyclic oligomers are the simplest of the useful products obtained. Linear polymers are obtained by incorporating Me<sub>3</sub>SiCl or other monochlorides into the hydrolytic reaction, thereby controlling end-group functionality and molecular weight. The RSiCl<sub>3</sub> molecules provide branching points in the polymer to further adjust the desired properties.

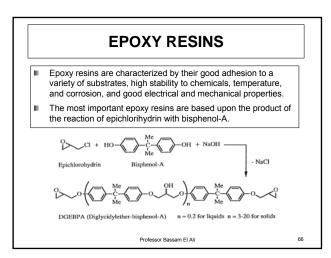
# POLYSILOXANE (SILICONE) SYNTHESIS

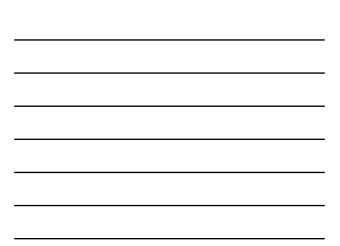
- In the 1960s, silicone elastomers that could undergo roomtemperature vulcanization (RTV), that is, cure or crosslink in situ without application of heat, were introduced.
- These products quickly became an appreciable fraction of the entire industry.
- Single-component RTV elastomers based upon an acetate cure are most familiar to consumers as bathtub caulks.
- Of the four commercially important curing processes to form silicone rubbers, three - the one- and two-component condensation cures and the silane/olefin-addition cure - utilize metallic catalysts.
- The fourth is a peroxide-induced, free-radical cure and is not covered here.

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## **EPOXY RESINS**

- Anhydride-cured systems usually rely upon a direct, uncatalyzed reaction, but the desire to increase the rate of cure while leaving the storage-life of the resin unaffected has led to the discovery of "latent accelerators" for the reaction.
- The complex BF<sub>3</sub>.NH<sub>2</sub>Et is effective for this application, but has an adverse effect on electrical properties.
- Transition metal β-diketonates catalyze the reaction and have no effect on the electrical or mechanical properties.

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# **EPOXY RESINS**

- The Co(acac)<sub>3</sub>-catalyzed curing reaction follows first-order kinetics, but surprisingly, it is 0.25 order in catalyst.
- A variety of chromium compounds exhibited excellent latent catalytic properties in the curing of bisphenol-A epoxy resins with 1-methyltetrahydrophthalic anhydride.
- Catalyst residues in a copper-catalyzed isomethyltetrahydrophthalic anhydride system catalyze the oxidative thermal degradation of the resulting epoxy polymer.

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### **GROUP TRANSFER POLYMERIZATION**

- Free-radical polymerization has been the standard method of preparation of poly(methyl methacrylate) polymers.
- It is a relatively inexpensive and simple procedure to get high molecular weight homopolymer.
- The method is equally effective for random copolymerization of mixed methacrylates.
- If one desires homopolymers having a low or narrow molecular weight or copolymers with customized sequences of the comonomers, one must use anionic or group transfer polymerization (GTP).
- Generally, anionic polymerization uses hindered lithium alkyl compounds as initiators and the polymerizations are carried out at -60°C.

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### **GROUP TRANSFER POLYMERIZATION**

- Group transfer polymerization is initiated by the Michael addition of a silylketene acetal to an α,β-unsaturated ketone.
- In the illustration for methylmethacrylate, the addition results in a new silyl ketene acetal which can undergo further additions.
   The polymerization is living the molecular weight distribution
- The polymerization is living, the molecular weight distribution approaches one, all of the initiating groups are consumed, and initiation is fast relative to propagation.
  The polymerization requires a catalyst to function. The original
- The polymerization requires a catalyst to function. The original catalyst was TAS bifluoride [tris(dimethylamino)sulfonium bifluoride], but tetrabutylammonium fluoride trihydrate is also effective.

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