

Multi-Layered Space Frequency Time Codes

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Outline

- Introduction and background
- Motivation and contribution
- Multi-layered space time block codes
- Performance of MLSTBC-OFDM
- IQ- space frequency time codes
- Uplink MIMO scheduling

Introduction: Multiple Input Multiple Output (MIMO) Channels

- A MIMO channel is a wireless link between *MT* transmit and *MR* receive antennas.
- MIMO channels boost the information capacity of wireless systems by order of magnitude [Telater95][Foschini98].



Introduction: Open Loop MIMO Communication Systems



Dissertation Scope and Motivation



Our contributions are:

- Developing joint, interference nulling and cancellation, and spatial sequence estimation detection algorithms for multi-layered space time trellis codes.
- Outage capacity study of multi-layered space time block codes and comparison to other open loop MIMO systems.
- A modified sphere decoder for nonrectangular and rotated constellations.

Contributions

- Comparison study of detection algorithms for multi-layered space time block coded OFDM systems.
- A reduced complexity space-frequency-time code, based on IQ-trellis codes, for MIMO-OFDM systems.
- Proposing and comparing scheduling criteria for uplink spatial multiplexing systems.

Publications

- S. Al-Ghadhban, B. Woerner, "Iterative Joint and Interference Nulling/Cancellation Decoding Algorithms for Multi-Group Space Time Trellis Coded Systems," WCNC. 2004 IEEE, vol. 4 , pp.2317 – 2322, 21-25 March 2004.
- M. Mohammad, S. Al-Ghadhban, B. Woerner, and W. Tranter. "Comparing Decoding Algorithms for Multi-Layer Space-Time Block Codes," *SoutheastCon, 2004. Proceedings. IEEE,* Pages:147 – 152
- S. Al-Ghadhban, M. Mohammad, B. Woerner. "Iterative Spatial Sequence Estimator for Multi-Group Space Time Trellis Coded Systems" VTC2004-Fall. 2004 IEEE 60th, vol. 2, pp.1353 – 1357, 26-29 Sept. 2004.

Publications

- **S. Al-Ghadhban**, M. Mohammad, B. Woerner and M. Buehrer. "Performance Evaluation of Decoding Algorithms for Multi-Layered STBC-OFDM system" *Signals, Systems and Computers, 2004. Conference Record of the Thirty-Eighth Asilomar Conference on,* vol. 1, pp.1208 – 1212, Nov. 7-10, 2004.
- M. Mohammad, S. Al-Ghadhban and B. Woerner. "Spatial Sequence Estimator Based Decoding Algorithm for V-BLAST". VTC2004-Fall. 2004 IEEE 60th , vol. 3, pp. 1875 – 1879, 26-29 Sept. 2004.
- S. Al-Ghadhban, R. M. Buehrer and B. Woerner. "Outage Capacity Comparison of Multi-Layered STBC and V-BLAST Systems," Presented at VTC Fall 2005, Dallas, Tx.

Recent submissions

- S. Al-Ghadhban, R. M. Buehrer and M. Robert, "Uplink Scheduling Criteria for Multiuser V-BLAST Systems," Submitted to IEEE Communications Letter.
- **S. Al-Ghadhban**, R. M. Buehrer and B. D. Woerner, "IQ Space Frequency Time Codes for MIMO-OFDM Systems," Submitted to IEEE VTC Spring 2006.
- S. Al-Ghadhban, R. M. Buehrer and M. Robert, "Uplink Scheduling Criteria Comparison for V-BLAST Users," Submitted to IEEE VTC Spring 2006.

In the preliminary exam, we presented our work on MLSTTC

- We proposed and compared multi-layered detection algorithms that are based on:
 - Group interference nulling and cancellation algorithms
 - Joint iterative detection
 - Spatial sequence estimation

Multi-Layered Space Time Block Codes [MLSTBC]

- Information theoretic comparison of MLSTBC and other open loop MIMO systems.
- Spectral efficiency MLSTBC detection algorithms.
- Performance evaluation of MLSTBC detection algorithms over MIMO-OFDM systems.

Multi-layered STBC is a single user system that consists of *K* parallel STBC

- It combines spatial multiplexing with transmit diversity.
- It is a V-BLAST system with STBC on each layer.



How does MLSTBC compare to V-BLAST and STBC?



The discrete received signal over *T* time slots is



Due to the short code length of STBC, the received signals over *T* slots are rearranged into a vector



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receiver.

Serial Group Interference Nulling and Cancellation (SGINC)

• *Group interference nulling*: Based on an ordering criterion, assume that the first detected group is the *I*th group. Then, the algorithm calculates the orthonormal bases of the null space of:

$$H_i = \begin{bmatrix} \hat{\mathbf{H}}_1 & \cdots & \hat{\mathbf{H}}_{i-1} & \hat{\mathbf{H}}_{i+1} & \cdots & \hat{\mathbf{H}}_K \end{bmatrix}$$

- Denote the orthonormal bases of the null space of ${\rm H}_i$ by N_i , then the received signal for the $\it l^{\rm th}$ group after nulling is:

$$\tilde{\mathbf{y}}_i = N_i \mathbf{y} = \tilde{\mathbf{H}}_i \mathbf{x}_i + \tilde{\mathbf{\eta}}_i$$

Where $\tilde{\mathbf{H}}_{i}$ is the post-processing channel matrix.

SGINC

- STBC Combiner: $\tilde{\mathbf{X}}_i = \tilde{\mathbf{H}}_i^H \tilde{\mathbf{y}}_i$
- *Group interference cancellation*: After detecting the *i*th group, its contribution is subtracted from the received signal and the processing is repeated serially for each group.
- Ordering is based on the Frobenius norm (FN) of $\tilde{\mathbf{H}}_i$, the layer with the maximum FN is detected first.
- Number of receive antennas should be greater than or equal to number of layers.

Outage Capacity

• V-BLAST [Papadias and Foschini 2002]:

$$C_{VBLAST}^{ZF} = K \cdot \min_{i=1,2,\dots,K} \left\{ \log_2 \left(1 + \frac{\rho}{K \left\| \mathbf{W}_{ZF,i} \right\|^2} \right) \right\}$$

• STBC [Sandhu and Paulraj 2000]:

$$C_{STBC} = r_c \log_2 \left(1 + \frac{\rho}{N_G} \left\| \mathbf{H} \right\|_F^2 \right)$$

• MLSTBC $C_{MLSTBC}^{GNIC} = K \cdot \min_{i=1,2,\dots,K} \left\{ r_c \log_2 \left(1 + \frac{\rho}{K \cdot N_G} \left(\frac{\left\| \tilde{\mathbf{H}}_i \right\|_F^2}{T} \right) \right) \right\}$

Comparative Study

- Complementary cumulative distribution function (CCDF)
- Spectral efficiency
- Outage probability

Simulation Setup

- Each STBC in the multi-layered system is Alamouti's full-rate full-rank code with two transmit antennas.
- STBC used for four transmit antennas is fullrank orthogonal code of rate ³/₄.
- The capacity of the different systems is estimated by generating random complex Gaussian channel realizations from which the instantaneous capacity is calculated and then the capacity probability distribution function (pdf) is approximated.

The key difference between MLSTBC and V-BLAST at same number of antennas is

- MLSTBC has more spatial diversity while V-BLAST has more layers.
- For 4×4 MIMO system, MLSTBC has two layers and each layer has a transmit diversity of two. At the receiver, the first detected layer has a receive diversity of three since it needs one antenna to null out one interfering layer and the rest provide diversity.
- On the other hand, V-BLAST has four layers and no transmit diversity. In addition, the first detected layer has no receive diversity because the algorithm needs three antennas to null out three interfering layers.

CCDF at 4×4 MIMO Channels

At low outage probabilities, MLSTBC supports more capacity than V-BLAST and STBC.



Spectral Efficiency at 4×4 MIMO Channels

V-BLAST spatial multiplexing gain is parallel to optimal MIMO at high SNR
Although, MLSTBC has slower rate of increase in spectral efficiency, it supports more rate at low and moderate SNR and at low outages.



Outage Probability at 4 bps/Hz and over 4×4 MIMO Channels

• MLSTBC is more power efficient than V-BLAST and STBC.

 5dB far from optimal MIMO at 10⁻⁴ outage.



Therefore, the comparative study shows that at the same number of transmit and receive antennas,

- MLSTBC is more power efficient.
- Also, it is more spectral efficient than V-BLAST at low and moderate SNR and low outage probabilities.
- It is a good candidate for low power wireless data applications.

We also examine the spectral efficiency of PGINC and joint detection algorithms



- Joint detection
 - A joint detector detects all layers jointly using a maximum likelihood (ML) detector. A practical ML detector that has a cubic complexity at high SNR is the sphere decoder (SD).

Spectral efficiency of MLSTBC detection algorithms



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MLSTBC-OFDM

- Wide bandwidth and high data rates result in frequency selective channels (FSC) which cause ISI.
- OFDM is robust against FSC. It transforms FSC to parallel flat fading channels.
- WLANs such as IEEE 802.11a and Hyperlan2 are based on OFDM



Architecture

- *N_c* point FFT (*N_c* subcarriers)
- Two Layered STBC *k*=1,2



Comparison of MLSTBC and V-BLAST over 4x4 MIMO-OFDM, N_c =64 and L=4



Comparison of MLSTBC detection algorithms



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MLSTBC-OFDM conclusions

- We showed that the MLSTBC is more power efficient than V-BLAST over MIMO-OFDM channels.
- We compared different multi-layered detection algorithms. The sphere decoder performs very close to the optimal performance since it provides full receive diversity with a cubic complexity at high SNR.



Full spatial and frequency diversity design at low number of states
Interleaving effect on diversity

IQ-SFT codes outline

- Background and design criteria
- IQ-SFT code description and performance
- Effect of interleaving
- MLIQSFT codes

Background on SFT codes

- SFT codes apply spatial coding across multiple antennas, frequency coding across OFDM subcarriers, and temporal coding across successive OFDM symbols.
- [Agrawal98]: STTC-OFDM, not optimized for OFDM channels, designed for quasi-static channels.

OFDM Channel Model in the Frequency Domain

 N_c subcarriers L taps (FSC length)

Let
$$\mathbf{h}_{mn} = \begin{bmatrix} h_0 & h_1 & \cdots & h_{L-1} \end{bmatrix}^T$$

The OFDM channel in the frequency domain is $\mathbf{h}_{mn}^{f} = \mathbf{F}\mathbf{h}_{mn}$

$$\mathbf{F}_{k,l} = \frac{1}{\sqrt{N_c}} \exp\left[-i \frac{2\pi}{N_c} (k-1)(l-1)\right];$$

$$k = 0, 1, \dots, N_c - 1$$

$$l = 0, 1, \dots, L - 1$$

Let $\mathbf{h} \square N_c(\mathbf{0}, \mathbf{C}_{\mathbf{h}})$

The covariance matrix in the frequency domain is $C_{hf} = FC_{h}F^{H}$

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Design criteria of SFT codes

- The maximum diversity available in MIMO-OFDM systems is $M_T L M_R$ [Ben Lu 2000].
- The design criterion is to maximize the minimum effective length and break up channel correlation in frequency domain by interleaving.
- To achieve this diversity, the minimum effective length of the SFT code should be equal to at least M_TL , which needs large number of states for practical values.

Design criteria of SFT codes

- Our goal in this work is to simplify the design and reduce the number of states required to achieve the full spatial and frequency diversity.
- Our approach is to concatenate trellis coded modulation (TCM) and STBC.
- Spatial diversity is guaranteed by STBC and frequency diversity is provided by TCM.
- We further reduce the number of states of TCM by using IQ-TCM [AlSemari 97].

IQ-TCM [AlSemari97]

The minimum effective length of • TCM is upper bounded by:

$$l_{\min} \leq \lfloor v / k \rfloor + 1$$

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1 bps/Hz

1 bps/Hz

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IQ-SFT

Advantages of concatenated IQ-TCM-STBC at 2bps/Hz

FCS Length	Minimum number of states to achieve full diversity $(M_T L M_R)$		
L	Tarokh STTC QPSK	8PSK-STBC	IQ-16QAM-STBC
2	64	4	2
3	1024	16	4
4	16384	64	8
5	262144	256	16
6	4194304	1024	32
7	67108864	4096	64

Performance results over 2x1 MIMO-OFDM channels at 2bps/Hz 8-state TCM, N_c =64, W=4

Interleaving effect over 2x1 MIMO-OFDM channels at 2bps/Hz 8-state TCM

Effect of interleaving on subcarrier correlation

Multi-layered IQ-SFT codes

8x4 MIMO-OFDM 4 layers of IQ-16QAM-SFT

SFT coding conclusions

- Concatenated IQ-TCM-STBC-OFDM achieves full spatial and frequency diversity at much lower complexity than other codes.
- Appropriate block interleaver design is essential to maintain the performance and diversity of the code. Best performance is at W=L and W=2L.

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Uplink Scheduling for Multiuser Systems with Spatial Multiplexing

- In a multiuser environment, each user has different channel statistics.
- Scheduling transmission to the user with the best channel condition at each time leads to a form of selection diversity known as multiuser diversity.
- In SISO, MaxSNR scheduling maximizes the capacity of the uplink [Kno95] and downlink [Tse97].

Our focus is on

- Scheduling for uplink MIMO system.
- Scheduling and STBC aren't a good match [Gozali03].
- We focus on scheduling for spatial multiplexing systems selecting a single user at a time and we focus on practical detection algorithms, such as V-BLAST, SMZF and SMSD.

System Model

- Average SNR is assumed to be the same for all users.
- The base station scans the uses.
- The user with the best channel condition is allowed to transmit.
- •The received signal from user k is:

$$\mathbf{y}_k = \mathbf{H}_k \mathbf{x}_k + \mathbf{\eta}_k$$

Feedback

Scheduling Algorithms

Optimal MIMO capacity maximizing scheduler

$$C_{\max} = \max_{k=1,2,\dots,K} C_k \text{ ; where}$$
$$C_k = \log_2 \left(\det \left(\mathbf{I}_{M_R} + \frac{SNR}{M_T} \mathbf{H}_k \mathbf{H}_k^H \right) \right)$$

- MaxSNR scheduler selects the user with maximum MIMO channel power (*trace*(H_kH^H_k))
- RR: Round robin scheduling allows each user to transmit in a time-division fashion regardless of their channel condition.

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Scheduling Algorithms

• V-BLAST capacity maximizing scheduler

V-BLAST capacity is dominated by the weakest layer [Pap02]

$$C_{VBLAST}^{ZF} = M_T \cdot \min_{i=1,2,\dots,M_T} \left\{ \log_2 \left(1 + \frac{SNR}{M_T \left\| \mathbf{W}_{ZF,i} \right\|^2} \right) \right\}$$

Thus, the scheduler selects the user with $\min_{k=1,...,K} \{w_k\}$ where

$$w_{k} = \max_{i=1,2,\dots,M_{T}} \left\{ \left\| \mathbf{W}_{ZF,i}^{k} \right\|^{2} \right\}$$

Scheduling Algorithms

MinES: Minimum Eigenspread

$$k = \arg\min_{k=1,\dots,K} \left\{ s_k = \frac{\lambda_{\max,k}}{\lambda_{\min,k}} \right\}$$

 λ_{\max} and λ_{\min} are the largest and smallest eigenvalues of $\mathbf{H}_{k}\mathbf{H}_{k}^{H}$

MaxMinSV: Maximum Minimum Singularvalue

 $k = \arg \max_{k=1,\dots,K} \left\{ \rho_{\min,k} \right\}$

 ρ_{\min} is the smallest singular value of \mathbf{H}_k

Simulation results of V-BLAST uplink scheduling

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Effect of Suboptimal Detection

Scheduling for Spatial Multiplexing with Sphere Decoder

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Uplink MIMO Scheduling Conclusions

- We found the V-BLAST capacity maximizing scheduler.
- We showed that scheduling based on maximum MIMO capacity doesn't work well for a V-BLAST system.
- We compared several scheduling algorithms and found that MaxMinSV scheduling performs close to MaxVBLAST capacity scheduler.
- The difference between V-BLAST and SMZF performance is not substantial, especially at low number of antennas and large number of users.

Summary and Conclusions

Acknowledgment, Questions, Comments?

Gaussian RV in the frequency domain between the *n*th TX and the *m*th Rx at the *l*th subcarrier, S/P FFT $\begin{bmatrix} 1 \\ 2 \\ Y_2^{t_2} = \begin{bmatrix} y_{2,1}^{t_2} \\ y_{2,2}^{t_1} \\ \vdots \\ y_{2,L}^{t_1} \end{bmatrix}$ $Y_2^{t_1} = \begin{bmatrix} y_{2,1}^{t_1} \\ y_{2,2}^{t_1} \\ \vdots \\ y_{2,L}^{t_1} \end{bmatrix}$ then the channel matrix for all subcarriers can be arranged as

$$\mathbf{H}_{mn} = \begin{bmatrix} h_{mn,1} & 0 & \cdots & 0 \\ 0 & h_{mn,2} & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & h_{mn,L} \end{bmatrix}$$

The received vectors over two time periods at the output of the FFT is $\begin{bmatrix} \mathbf{Y}_{1}^{t_{1}} & \mathbf{Y}_{1}^{t_{2}} \\ \mathbf{Y}_{2}^{t_{1}} & \mathbf{Y}_{2}^{t_{2}} \end{bmatrix} = \begin{bmatrix} \mathbf{H}_{11} & \mathbf{H}_{12} & \mathbf{H}_{13} & \mathbf{H}_{14} \\ \mathbf{H}_{21} & \mathbf{H}_{22} & \mathbf{H}_{23} & \mathbf{H}_{24} \end{bmatrix} \begin{bmatrix} \mathbf{s}_{11} & -\mathbf{s}_{12}^{*} \\ \mathbf{s}_{12} & \mathbf{s}_{11}^{*} \\ \mathbf{s}_{21} & -\mathbf{s}_{22}^{*} \\ \mathbf{s}_{21} & \mathbf{s}_{22}^{*} \end{bmatrix} + \begin{bmatrix} \mathbf{\eta}_{1}^{t_{1}} & \mathbf{\eta}_{1}^{t_{2}} \\ \mathbf{\eta}_{2}^{t_{1}} & \mathbf{\eta}_{2}^{t_{2}} \end{bmatrix}$ Back to slide

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Transmitter

Architecture of one STBC-OFDM group. Each group transmits one layer of information using Alamouti code with two transmit antennas

Channel Model per Subcarrier

At the *I*th subcarrier,

$$\begin{bmatrix} y_{1,l}^{t_{1}} & y_{1,l}^{t_{2}} \\ y_{2,l}^{t_{1}} & y_{2,l}^{t_{2}} \end{bmatrix} = \begin{bmatrix} h_{11,l} & h_{12,l} & h_{13,l} & h_{14,l} \\ h_{21,l} & h_{22,l} & h_{23,l} & h_{24,l} \end{bmatrix} \begin{bmatrix} s_{11,l} & -s_{12,l}^{*} \\ s_{12,l} & s_{11,l}^{*} \\ s_{21,l} & -s_{22,l}^{*} \\ s_{22,l} & s_{21,l}^{*} \end{bmatrix} + \begin{bmatrix} \eta_{1}^{t_{1}} & \eta_{1}^{t_{2}} \\ \eta_{2}^{t_{1}} & \eta_{2}^{t_{2}} \end{bmatrix}$$

Rearranging the received vector over two periods into one received vector

$$\begin{bmatrix} y_{1,l}^{t_{1}} \\ y_{2,l}^{t_{2}} \\ y_{1,l}^{t_{2}} \\ y_{1,l}^{t_{2}^{*}} \\ y_{2,l}^{t_{2}^{*}} \end{bmatrix} = \begin{bmatrix} h_{11,l} & h_{12,l} & h_{13,l} & h_{14,l} \\ h_{21,l} & h_{22,l} & h_{23,l} & h_{24,l} \\ h_{12,l}^{*} & -h_{11,l}^{*} & h_{14,l}^{*} & -h_{13,l}^{*} \\ h_{12,l}^{*} & -h_{21,l}^{*} & h_{24,l}^{*} & -h_{23,l}^{*} \end{bmatrix} \cdot \begin{bmatrix} s_{11,l} \\ s_{12,l} \\ s_{21,l} \\ s_{22,l} \end{bmatrix} + \begin{bmatrix} \eta_{1,l}^{t_{1}} \\ \eta_{2,l}^{t_{2}^{*}} \\ \eta_{1,l}^{t_{2}^{*}} \\ \eta_{2,l}^{t_{2}^{*}} \end{bmatrix}$$
$$\mathbf{y}_{l} = \begin{bmatrix} H_{1,l} & H_{2,l} \end{bmatrix} \mathbf{s}_{l} + \mathbf{\eta}_{l}$$

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Multi-layered SFT codes

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Interleaving effect on SFT at L=2.

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Advantage of V-BLAST compared to SISO and SIMO systems

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Number of layers Effect on the Capacity at Eight Receive Antennas

