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# **TEMPLATE ELASTIC-PLASTIC COMPUTATIONS IN GEOMECHANICS**

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## Template Elastic–Plastic Computations in Geomechanics

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### SUMMARY

In this paper we present a new approach to computations in elasto–plastic geomechanics. The approach is based on the object oriented design philosophy and observations on similarity of most incremental elastic–plastic material models. This new approach to elastic–plastic computations in geomechanics allows for creation of template material models. The analysis of template material models will in turn allow for an easy implementation of other elastic–plastic material models based on the object oriented design principles. Furthermore we present some illustrative implementation details. Finally we present analysis results that emphasize features of template elastic–plastic computations in geomechanics.

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## 1. INTRODUCTION

Current approach to the development and implementation of elastic–plastic material models in computational geomechanics relies heavily on a finite number of existing yield functions, flow potential rules and evolution laws. The yield function definitions are usually based on a set of already existing shapes. We mention a few of the most prominent examples of yield functions: von Mises , Drucker–Prager, Mohr–Coulomb, Cam–Clay (Roscoe et al. [39], Schofield and Wroth [40], Roscoe et al. [38] and Roscoe and Burland [37]), Parabolic model (Menetrey and Willam [31]) and Lade’s yield functions (Lade [21, 24]).

In addition to that, plastic flow direction is in most cases defined as normal to the potential surface, which is usually quite similar to the yield surface (function). There are some exceptions in which the plastic flow direction is directly defined in stress space. Nevertheless all of the defined yield surfaces can be used, with small changes, as plastic potential surfaces.

The hardening and/or softening behavior of the material model is controlled by the evolution laws. These laws provide functional relationship between the internal variable and the size and shape of yield surface and plastic flow directions (or potential surface)

It is important to note that a large majority of incremental elastic–plastic material models developed for geomechanics consist of the three main elements: (a) the yield functions (surfaces); (b) the plastic flow direction (directly or through the potential function); and (c) the evolution hardening–softening rules (functions describing the evolutions of the yield function and plastic flow directions with the inelastic deformations). This observation has

practical consequence in that it is possible to unify the implementation of the incremental elastic-plastic equations under a single framework. This paper describes the theoretical basis and the implementation details for the *template elastic-plastic computations in geomechanics*.

The object oriented philosophy has been extensively used in computational mechanics recently. To this end we mention early experimental developments and implementations of Donescu and Laursen [6], Zimmermann et al. [48, 7, 47, 10], Forde et al. [14], Miller [32], Pidaparti and Hudli [36], Scholz [41], Zeglinski et al. [45], Fenves [12], Foerch et al. [13], and Menéndrey and Zimmermann [30].

While the early works have focused on basic issues in the object oriented design of programs, they were hindered by the lack of standard for C++, which is most of the time programming language of choice. Recent acceptance of the standard [1] has provided developers of the object-oriented finite element programs based on C++ with a stable platform. More recent work has focused on designing and implementing large finite element programs for sequential and parallel platforms. There was far less work in the general area of object oriented design and implementation for material non-linear analysis of solids. We mention recent work by Dubois-Pélerin and Pegon [8], Eyheramendy and Zimmermann [11], and by Jeremić and Sture [19]. We also note an excellent bibliography of recent works in object oriented finite element by Mackerle [27].

The developments we present in this paper are part of much larger endeavor currently underway within the Pacific Earthquake Engineering Research Center and led by Professor Fenves (Archer et al. [2], Archer [3] and McKenna [29]). This endeavor has recently resulted in a public release of the OpenSees platform [33] for Open Seismic Earthquake Engineering Simulations.

The paper is organized as follows: In section 2 we review common elastic and elastic–plastic models used in computational geomechanics. Section 3 reviews basic elastic–plastic formulations. This section also presents two commonly used algorithms for integrating constitutive equations. In section 4 we present the object–oriented design and implementation. Section 5 present a number of illustrative elastic–plastic simulations. Finally, section 6 presents a set of conclusions and future work directions.

## 2. ELASTIC–PLASTIC MATERIAL MODELS

In this section we present elements of general elastic–plastic material models for geomaterials. We describe various forms of the yield functions, plastic flow directions and hardening and softening laws.

### 2.1. Elasticity

In elasticity the relationship between the stress tensor  $\sigma_{ij}$  and the strain tensor  $\epsilon_{kl}$  can be represented in the following form:

$$\sigma_{ij} = \sigma(\epsilon_{ij}) \quad (1)$$

In it's simplest form it reads

$$\sigma_{ij} = E_{ijkl}\epsilon_{kl} \quad (2)$$

where  $E_{ijkl}$  is the fourth order elastic stiffness tensor with 81 independent components in total. The elastic stiffness tensor features both minor symmetry  $E_{ijkl} = E_{jikl} = E_{ijlk}$  and major symmetry  $E_{ijkl} = E_{klij}$  (e.g. Jeremić and Sture [18]). The number of independent components for such elastic stiffness tensor is 21 (cf. Spencer [42]).

Most of the models used to describe elastic behavior of soils assume isotropic behavior. The most general form of the isotropic elastic stiffness tensor of rank 4 has the following representation:

$$E_{ijkl} = \lambda \delta_{ij} \delta_{kl} + \mu (\delta_{ik} \delta_{jl} + \delta_{il} \delta_{jk}) \quad (3)$$

where  $\lambda$  and  $\mu$  are the *Lamé coefficients*:

$$\lambda = \frac{\nu E}{(1 + \nu)(1 - 2\nu)} \quad ; \quad \mu = \frac{E}{2(1 + \nu)} \quad (4)$$

and  $E$  and  $\nu$  are *Young's Modulus* and *Poisson's ratio* respectively. Equation (3) can be written in terms of  $E$  and  $\nu$  as:

$$E_{ijkl} = \frac{E}{2(1 + \nu)} \left( \frac{2\nu}{1 - 2\nu} \delta_{ij} \delta_{kl} + \delta_{ik} \delta_{jl} + \delta_{il} \delta_{jk} \right) \quad (5)$$

The same relation in terms of *bulk modulus*  $K$  and *shear modulus*  $G$  is:

$$E_{ijkl} = K \delta_{ij} \delta_{kl} + G \left( -\frac{2}{3} \delta_{ij} \delta_{kl} + \delta_{ik} \delta_{jl} + \delta_{il} \delta_{jk} \right) \quad (6)$$

where  $K$  and  $G$  are given as:

$$K = \lambda + \frac{2}{3}\mu \quad ; \quad G = \mu \quad (7)$$

The elastic isotropic behavior of soils obeys Hooke's law with a constant Poisson's ratio. The variation of the Young's modulus  $E$  is usually assumed to be a function of the stress state. To this end we use four different elastic laws.

**Linear Elastic Model.** Linear elastic law is the simplest one and assumes constant Young's modulus  $E$  and constant Poisson's Ratio  $\nu$ .

**Non-linear Elastic Model #1.** This nonlinear model (cf. Janbu [16], Duncan and Chang [9]) assumes dependence of the Young's modulus on the minor principal stress  $\sigma_3 = \sigma_{min}$  in the form

$$E = K p_a \left( \frac{\sigma_3}{p_a} \right)^n \quad (8)$$

Here,  $p_a$  is the atmospheric pressure in the same units as  $E$  and stress. The two material constants  $K$  and  $n$  are constant for a given void ratio.

**Non-linear Elastic Model #2.** If Young's modulus and Poisson's ratio are replaced by the shear modulus  $G$  and bulk modulus  $K$  the non-linear elastic relationship can be expressed in terms of the normal effective mean stress  $p$  as

$$G \quad \text{and/or} \quad K = AF(e, OCR)p^n \quad (9)$$

where  $e$  is the void ratio,  $OCR$  is the overconsolidation ratio and  $p = \sigma_{ii}/3$  is the mean effective stress (Hardin [15]).

**Lade's Non-linear Elastic Model.** Lade and Nelson [25] and Lade [23] proposed a nonlinear elastic model based on Hooke's law in which Poisson ratio  $\nu$  is kept constant. According to this model, Young's modulus can be expressed in terms of a power law as:

$$E = M p_a \left( \left( \frac{I_1}{p_a} \right)^2 + \left( 6 \frac{1+\nu}{1-2\nu} \right) \frac{J_{2D}}{p_a^2} \right)^\lambda \quad (10)$$

where  $I_1 = \sigma_{ii}$  is the first invariant of the stress tensor and  $J_{2D} = (s_{ij}s_{ij})/2$  is the second invariant of the deviatoric stress tensor  $s_{ij} = \sigma_{ij} - \sigma_{kk}\delta_{ij}/3$ . The parameter  $p_a$  is atmospheric pressure expressed in the same unit as  $E$ ,  $I_1$  and  $\sqrt{J_{2D}}$  and the modulus number  $M$  and the exponent  $\lambda$  are constant, dimensionless numbers.

2.2. Yield Functions

The typical plastic behavior of frictional materials is influenced by both normal and shear stresses. It is usually assumed that there exists a yield surface  $F$  in the stress space that encompasses the elastic region. States of stress inside the yield surface are assumed to be elastic (linear or nonlinear). Stress states on the surface are assumed to produce plastic deformations. Yield surfaces for geomaterials are usually shaped as asymmetric tar drops with smoothly rounded triangular cross sections. Yield surface shown in Figure 1 (Lade [22]) represent typical meridian plane trace for an isotropic granular material. Line BC represents stress path for conventional triaxial compression test. Figure 2 represents the view of the yield surface traces

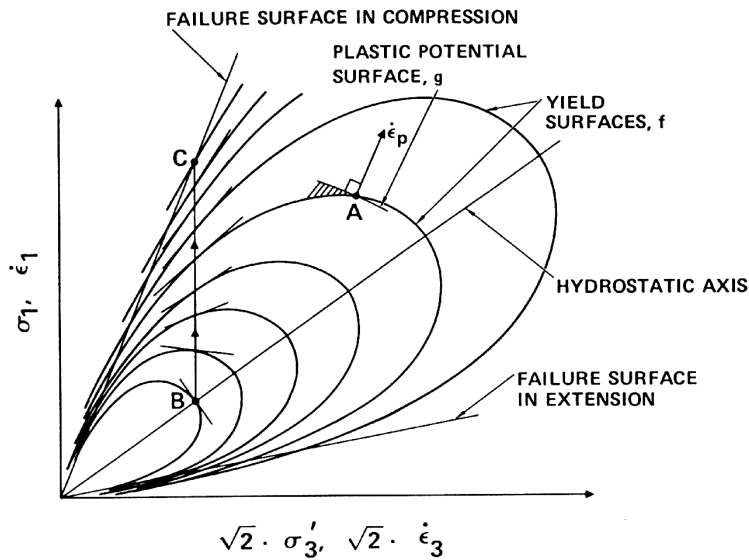


Figure 1. Yield surface patterns in the meridian plane for isotropic granular materials (from Lade [22])

in the deviatoric plane.



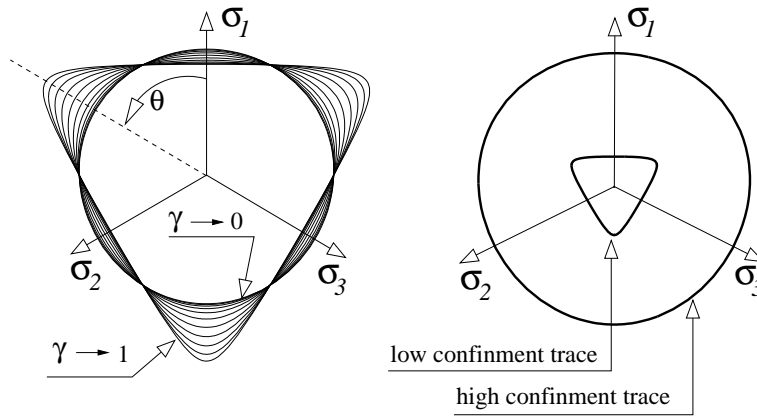


Figure 2. Deviatoric trace of typical yield surface for pressure sensitive materials.

### 2.3. Plastic Flow Directions

Plastic flow directions are traditionally derived from a potential surface which to some extent reassembles the yield surface. Potential surfaces for metals are the same as their yield surfaces but experimental evidence suggests that it is not the case for geomaterials. The non-associated flow rules, used in geomechanics, rely on the potential surface, which is different from the yield surface, to provide the plastic flow directions. It should be noted that the potential surface is used for convenience and there is no physical reason to assume that the plastic strain rates are related to a potential surface  $Q$  (e.g. Vardoulakis and Sulem [44]). Instead of defining a plastic potential, one may assume that the plastic flow direction is derived from an tensor function which does not have to possess a potential function.

### 2.4. Hardening–Softening Evolution Laws

The change in size and/or shape of the yield and potential surfaces is controlled by the hardening–softening evolution laws. Physically, these laws control the hardening and/or

softening process during loading. Depending on the evolution type they control, these laws can be in general separated into isotropic and kinematic (also called anisotropic). The isotropic evolution laws control the size of the yield surface through a single scalar variable. This is usually related to the Coulomb friction or to the mean stress values at isotropic yielding. The non-isotropic evolution laws can be further specialized to rotational, translational kinematic and distortional. It should be noted that all of the kinematic evolution laws can be treated as special case of the general, distortional laws (Baltov and Sawczuk [5]). Figure 3 depicts various types of evolution laws (for the control of hardening-softening) in the meridian plane<sup>†</sup>.

### 3. ELASTIC-PLASTIC FORMULATION

#### 3.1. Preliminaries

A wide range of elasto-plastic materials can be characterized by means of a set of constitutive relations of the general form:

$$\Delta \epsilon_{ij} = \Delta \epsilon_{ij}^e + \Delta \epsilon_{ij}^p \quad (11)$$

$$\Delta \sigma_{ij} = E_{ijkl} \Delta \epsilon_{kl}^e \quad (12)$$

$$\Delta \epsilon_{ij}^p = \Delta \lambda \frac{\partial Q}{\partial \sigma_{ij}} = \Delta \lambda m_{ij}(\sigma_{ij}, q_*) \quad (13)$$

$$\Delta q_* = \Delta \lambda h_*(\tau_{ij}, q_*) \quad (14)$$

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<sup>†</sup>The meridian plane is chosen just for illustration purposes, similar sketch can be produced in deviatoric plane as well.

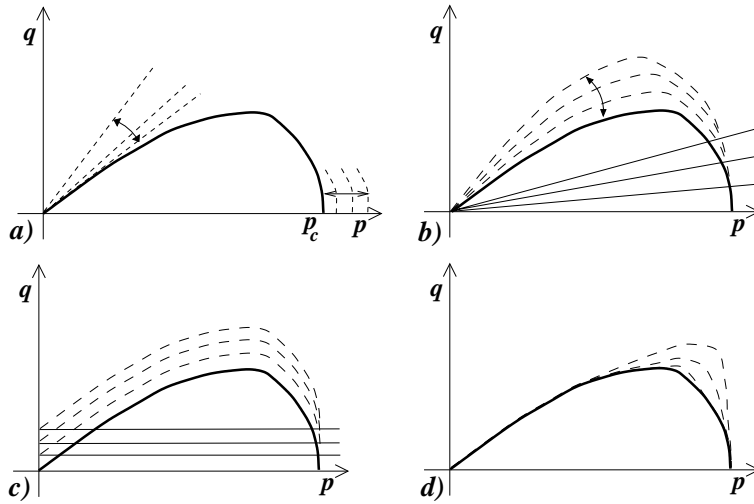


Figure 3. Various types of evolution laws that control hardening and/or softening of elastic–plastic material models: (a) Isotropic (scalar) controlling equivalent friction angle and isotropic yield stress. (b) Rotational kinematic hardening (second order tensor) controlling pivoting around fixed point (usually stress origin) of the yield surface. (c) Translational kinematic hardening (second order tensor) controlling translation of the yield surface. (d) Distortional (fourth order tensor) controlling the shape of the yield surface.

where, following standard notation  $\Delta\epsilon_{ij}$ ,  $\Delta\epsilon_{ij}^e$  and  $\Delta\epsilon_{ij}^p$  denotes the increment in total, elastic and plastic strain tensor,  $\Delta\sigma_{ij}$  is the increment in Cauchy stress tensor, and  $\Delta q_*$  signifies increments in some suitable set of internal variables<sup>‡</sup>. The asterisk in the place of indices in  $q_*$  replaces  $n$  indices<sup>§</sup>. Equation (11) expresses the commonly assumed additive decomposition of the infinitesimal strain tensor into elastic and plastic parts. Equation (12) represents the

<sup>‡</sup>In the simplest models of plasticity the internal variables are taken as either incremental plastic strain components  $\Delta\epsilon_{ij}^p$  or the hardening variables  $\kappa$  defined, for example as a function of inelastic (plastic) work, i.e.

$\kappa = f(W^p)$ . See Lubliner [26], page 115.

<sup>§</sup>for example  $ij$  if the variable is  $\epsilon_{ij}^p$ , or nothing if the variable is a scalar value, i.e.  $\kappa$ .

generalized Hooke's law which linearly relates stresses and elastic strains through a stiffness modulus tensor  $E_{ijkl}$ . Equation (13) expresses a generally associated or non-associated flow rule for the incremental plastic strain and (14) describes a suitable set of hardening/softening laws, which govern the evolution of the plastic variables. In these equations,  $m_{ij}$  is the plastic flow direction,  $h_*$  the plastic moduli and  $\Delta\lambda$  is a plastic (consistency) parameter to be determined with the aid of the loading–unloading criterion, which can be expressed in terms of the Karush–Kuhn–Tucker ([20]) conditions as:

$$F(\sigma_{ij}, q_*) \leq 0 \quad (15)$$

$$\Delta\lambda \geq 0 \quad (16)$$

$$F \Delta\lambda = 0 \quad (17)$$

In the previous equations  $F(\sigma_{ij}, q_*)$  denotes the yield function of the material and (15) characterizes the corresponding elastic domain, which is presumably *convex*. Along any process of loading, conditions (15), (16) and (17) *must hold simultaneously*. For  $F < 0$ , equation (17) yields  $\Delta\lambda = 0$ , i.e. elastic behavior, while plastic flow is characterized by  $\Delta\lambda > 0$ , which with (17) is possible only if the yield criterion is satisfied, i.e.  $F = 0$ . From the latter constraint, in the process of plastic loading the plastic consistency conditions is obtained in the form:

$$dF = \frac{\partial F}{\partial \sigma_{ij}} d\sigma_{ij} + \frac{\partial F}{\partial q_*} dq_* = n_{ij} d\sigma_{ij} + \xi_* dq_* = 0 \quad (18)$$

where :

$$n_{ij} = \frac{\partial F}{\partial \sigma_{ij}} \quad (19)$$

$$\xi_* = \frac{\partial F}{\partial q_*} \quad (20)$$

Equation (18) has the effect of confining the stress trajectory to the yield surface. Since it is only linear expansion stress trajectory is confined to the tangential plane only. It is worthwhile noting that  $n_{ij}$  and  $\xi_*$  are normals to the yield surface in stress space and the plastic variable space respectively.

### 3.2. Explicit and Implicit Formulations

Two of the most widely used algorithms in computational plasticity (from the family of generalized mid-point rule algorithms (Ortiz and Popov [34], Jeremić and Sture [18]) are the forward Euler (explicit) algorithm and the backward Euler (implicit) algorithm.

**Explicit Formulation.** The explicit algorithm (Forward Euler) is based on using the starting point in the stress and internal variable space for finding all the relevant derivatives and variables. To this end, resulting increment in stress tensor and internal variables read:

$$\Delta\sigma_{mn} = E_{mnpq} \Delta\epsilon_{pq} - E_{mnpq} \frac{{}^{cross}n_{rs} E_{rstu} \Delta\epsilon_{tu}}{{}^{cross}n_{ab} E_{abcd} {}^{cross}m_{cd} - \xi_* h_*} {}^{cross}m_{pq} \quad (21)$$

$$\Delta q_* = \left( \frac{{}^{cross}n_{mn} E_{mnpq} \Delta\epsilon_{pq}}{{}^{cross}n_{mn} E_{mnpq} {}^{cross}m_{pq} - \xi_* h_*} \right) h_* \quad (22)$$

where  ${}^{cross}()$  denotes the starting elastic–plastic point for that increment where the combined stress–internal variable state crosses the yield surface. It should be noted that the explicit algorithm performs only one step of the computation and does not check on the convergence of the provided solutions. This usually results in the slow drift of the stress–internal variable point from the yield surface for monotonic loading. It also results in spurious plastic deformations during elastic unloading during cycles of loading–unloading.

**Implicit Formulation.** The implicit algorithm (Backward Euler) is based on using the final point in the stress–internal variable space for finding all the relevant derivatives and variables.

Since this point is not known in advance, a set of Newton iterations is used to advance the solution toward the final solution for each increment.

To this end, resulting iterative change in stress tensor and internal variables space is obtained after some tensor algebra and reads (Jeremić and Sture [18]):

$$d\sigma_{mn} = - \left( {}^{old}r_{ij} + \frac{{}^{n+1}F^{old} - {}^{n+1}n_{mn} \text{ } {}^{old}r_{ij} \text{ } {}^{n+1}T_{ijmn}^{-1}}{{}^{n+1}n_{mn} E_{ijkl} \text{ } {}^{n+1}H_{kl} \text{ } {}^{n+1}T_{ijmn}^{-1} - {}^{n+1}\xi_* h_*} E_{ijkl} \text{ } {}^{n+1}H_{kl} \right) {}^{n+1}T_{ijmn}^{-1} \quad (23)$$

$$q_* = \left( \frac{{}^{n+1}F^{old} - {}^{n+1}n_{mn} \text{ } {}^{old}r_{ij} \text{ } {}^{n+1}T_{ijmn}^{-1}}{{}^{n+1}n_{mn} E_{ijkl} \text{ } {}^{n+1}H_{kl} \text{ } {}^{n+1}T_{ijmn}^{-1} - {}^{n+1}\xi_* h_*} \right) h_* \quad (24)$$

where we have introduced the fourth order tensors  $T_{ijmn}$  and  $H_{ijkl}$ :

$${}^{n+1}T_{ijmn} = \delta_{im}\delta_{nj} + (\Delta\lambda) E_{ijkl} \left. \frac{\partial m_{kl}}{\partial \sigma_{mn}} \right|_{n+1} ; \quad {}^{n+1}H_{kl} = {}^{n+1}m_{kl} + \lambda \left. \frac{\partial m_{kl}}{\partial q_*} \right|_{n+1} h_* \quad (25)$$

where  $n_{mn} = \partial F / \partial \sigma_{mn}$ ,  $\xi_* = \partial F / \partial q_*$  and  $dq_* = d\lambda h_*(\sigma_{ij}, q_*)$ . Iterative procedure is continued until the objective function is satisfied given a certain tolerance.

### 3.3. Finite Element Stiffness Matrix

The use of the constitutive stiffness tensor is essential in constructing the finite element matrices. Based on the explicit and implicit integration schemes, described above, two basic types of constitutive stiffness tensors are used.

**Continuum Tangent Stiffness Tensor.** The continuum tangent stiffness tensor ( ${}^{cont}E_{pqmn}^{ep}$ ) is obtained from the explicit (forward Euler) integration procedure (eg. Jeremić and Sture [18]):

$${}^{cont}E_{pqmn}^{ep} = E_{pqmn} - \frac{E_{pqkl} n_{kl} n_{ij} E_{ijmn}}{n_{ot} E_{otrs} n_{rs} + n_{\xi_*} h_*} \quad (26)$$

**Consistent Algorithmic Stiffness Tensor.** The consistent algorithmic stiffness tensor ( ${}^{cons}E_{pqmn}^{ep}$ ) is obtained from the implicit (backward Euler) integration procedure (eg. Jeremić and Sture [18]) and it reads

$${}^{alg}E_{pqmn}^{ep} = R_{pqmn} - \frac{R_{pqkl} {}^{n+1}H_{kl} {}^{n+1}n_{ij} R_{ijmn}}{n+1n_{ot}R_{otrs} {}^{n+1}H_{rs} + {}^{n+1}\xi_* h_*} \quad (27)$$

where

$$R_{mnkl} = ({}^{n+1}T_{ijmn})^{-1} E_{ijkl}$$

while  $T_{ijmn}$  and  $H_{kl}$  were defined in equation (25). If used properly, together with a global iterative method based on Newton scheme, it provides for very fast convergence<sup>¶</sup>.

**Finite Element Stiffness Matrix.** The finite element stiffness matrix can be obtained through the weak form of equilibrium (eg. Zienkiewicz and Taylor [46]) and reads (in indicial notation)

$$k_{aIcJ}^e = \int_{V^m} H_{I,b} {}^{C/A}E_{abcd} H_{J,d} dV^m$$

In the above equation, the constitutive stiffness tensor  ${}^{C/A}E_{abcd}$  can be either continuum or algorithmic.

#### 4. IMPLEMENTATION

In this section we describe the object oriented implementation of the Template elastic–plastic framework. To this end it is important to note that both explicit and implicit algorithms share

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<sup>¶</sup>The full quadratic convergence can only be achieved for material models described through quadratic functions, for example von Mises.

common set of material functions and their derivatives with respect to the stress or internal variable tensors. For example the first derivatives of the yield function  $n_{ij} = \partial F / \partial \sigma_{ij}$  appears in both explicit and implicit integration algorithms and can be implemented in general form without specifying particular material model to be used.

Our implementation relies on three classes:

- `MatPoint`
- `EPState`
- `Template3Dep`

#### 4.1. *The MatPoint Class*

The `MatPoint` class represents the spatial setting of the material point in the solid. It also includes the objects of `NDMaterial` type. The `NDMaterial` objects represent a container for all the material models in the OpenSees framework.

#### 4.2. *The EPState Class*

The `EPState` class contains the elastic-plastic state of the material point. This class is designed to be as general as possible. The data part of the class includes current, incremental, iterative and committed stress and strain tensors, current and committed constitutive stiffness tensor, and an array of initial, current and committed scalar and tensorial internal variables. The `EPState` class can be easily expanded to accommodate new developments. It should be noted that the type of constitutive stiffness tensor is a function of the constitutive integration method used. For the explicit algorithm continuum tangent stiffness tensor is used while for the implicit algorithm, consistent tangent stiffness tensor is used.



#### 4.3. The *Template3Dep* Class

The `Template3Dep` class represents the container class for yield surfaces, flow directions and evolution laws described in sections 2.2, 2.3 and 2.4 respectively. This class allows for different yield criteria, flow directions and evolution laws to be synthesized into a working elastic–plastic material model. The `Template3Dep` class inherits all the functionality from the `NDMaterial` class. The `NDMaterial` class is an abstract class. It provides the interface that should be followed when introducing new `NDMaterial` subclasses. More on `NDMaterial` class can be found at the OpenSees web repository [33]. The `Template3Dep` class features a number of constructors which are used to combine different yield surface, plastic potential surface and evolution laws. This class features two methods for integration of the constitutive equations, namely `ForwardEulerEPState` and `BackwardEulerEPState`. Both methods can also be used with the subincrementation technique in which user supplies number of subincrements to be used for desired convergence. Both the explicit (`ForwardEulerEPState`) and the implicit algorithm (`BackwardEulerEPState`) as well as their subincrementation counterparts, return an `EPState` object. Moreover, each of the integration algorithms will also create corresponding tangent constitutive tensor. That is, the `ForwardEulerEPState` method will create a continuum tangent stiffness tensor while the `BackwardEulerEPState` will create a consistent tangent stiffness tensor.

In addition to the above three classes, the implementation relies on a number of yield functions, plastic potential functions and evolution laws. The implementation for each is provided in a separate file and includes a number of methods.

**Yield Functions.** The yield function base class `YieldSurface` provides virtual methods for yield function evaluation based on supplied elastic-plastic state, first derivative of yield function with respect to the stress state, first derivatives of the yield surface with respect to scalar and tensorial internal variables and output functions. In addition to that, derived yield function classes provide constructor and destructor methods. Current implementation features von Mises yield function, Drucker-Prager yield function, MRS-Lade yield function (Sture et al. [43]), and Modified Cam-Clay yield function.

**Plastic Potential Functions.** The plastic potential function virtual base class `PotentialSurface` provides similar functionality as the yield surface classes with the addition of second derivatives of plastic potential function with respect to the stress and internal variable state. These derivatives are needed by the implicit (Backward Euler) algorithm (described in section 3.2). Also provided are means of directly defining flow directions. That approach was used to implement the flow directions defined by Manzari and Dafalias [28] and can be used to implement any such model with which only defines flow directions.

**Evolution Laws.** The implementation for Evolution Laws is subdivided into scalar `EvolutionLaw_S` and tensorial `EvolutionLaw_T` base virtual classes. Current implementation for scalar evolution laws features derived classes implementing linear and nonlinear functions. The implemented nonlinear evolution function is described in a recent paper by Jeremić et al. [17]. The implementation of additional scalar nonlinear evolution functions is easily achievable by following the provided general template. The tensorial evolution laws feature derived classes implementing linear and nonlinear evolution functions. There are currently two nonlinear tensorial evolution law implementations. The first implementation features Armstrong and

Frederick [4] nonlinear kinematic hardening law while the second features bounding surface nonlinear kinematic hardening law (eg. Manzari and Dafalias [28]).

Our implementation makes heavy use of the `nDarray` class libraries, described by Jeremić and Sture [19]. The `nDarray` class libraries make it possible to implement solid mechanics equations provided in indicial format directly into the code. For example, in equation 25 we defined a temporary fourth order tensor  $T_{ijmn}$  as

$${}^{n+1}T_{ijmn} = \delta_{im}\delta_{nj} + (\Delta\lambda) E_{ijkl} \left. \frac{\partial m_{kl}}{\partial \sigma_{mn}} \right|_{n+1}$$

while the actual implementation<sup>||</sup> reads

```
T = I_ikjl + E("ijkl")*d2Qoverds2("klmn")*Delta_lambda;
```

#### 4.4. Command Examples

In order to facilitate smooth creation of new material models, an interpreter was implemented using Tool Command Language (Tcl) [35]. As an example we present the input commands for the elastic–plastic material model created from the Drucker–Prager yield surface, von Mises potential surface and the linear scalar hardening law. The commands that create this model are given below:

```
set YS "-DP"
set PS "-VM"
set ES1 "-Leq 1.0"
set ET1 "-Linear 0.0"
set stressp "0.10 0 0 0 0.10 0 0 0 0.10"
set EPS "70000.0 70000.0 0.2 1.8 -NOD 1 -NOS 2 0.2 0.0 -stressp $stressp"
```

---

<sup>||</sup>File `Template3Dep.cpp`, function `Template3Dep::BackwardEulerEPState( const straintensor &strain_increment)` available at OpenSees repository [33].

```
nDMaterial Template3Dep 1 -YS $YS -PS $PS -EPS $EPS -ELS1 $ES1 -ELT1 $ET1
```

First four lines are used to set-up the elastic-plastic material model:

1. Yield Surface using Drucker-Prager function `set YS "-DP"`.
2. Plastic flow directions using von Mises potential surface `set PS "-VM"`.
3. Scalar evolution law (hardening and/or softening) `set ES1 "-Leq 1.0"`. This particular one describes single scalar evolution law evolving with equivalent strain, using number 1.0 as a coefficient.
4. Tensorial evolution law `set ET1 "-Linear 0.0"`. In this particular case there is one tensorial hardening variable using plastic deviatoric strain but the coefficient is specified as 0.0 so it does not affect the solution.

The fifth line is used to set up the initial stress at given material point. in this particular case `set stressp "0.10 0 0 0 0.10 0 0 0 0.10"` the initial stress state is set to the isotropic stress  $\sigma_{xx} = \sigma_{yy} = \sigma_{zz} = 0.10$ . The sixth line is used to set up the elastic-plastic state `set EPS "70000.0 70000.0 0.2 1.8 -NOD 1 -NOS 2 0.2 0.0 -stressp $stressp"`. In this case we set the initial and the current modulus of elasticity  $E_0 = 70000.0$ ,  $E = 70000.0$ . The initial modulus of elasticity  $E_0$  is the one at reference pressure (100kPa), and  $E$  is the current one. One can supply  $E = 0.0$ , for it is computed (by default) according to the current pressure using the Non-linear Elastic Model #1 described in section 2.1. The Poisson's ration is also set  $\nu = 0.2$  as well as the mass density  $\rho = 1.8$ . After that, we set the number of tensorial internal variables (-NOD 1) and the number of scalar internal variables as well as their initial values (-NOS 2 0.2 0.0). Here we specify the friction angle  $\phi$  in terms of  $\alpha = 2 \sin \phi / (\sqrt{3}(3 - \sin \phi))$ , which in this case is defined as  $\alpha = 0.2$  and a cohesion, defined as  $c = 0.0$ . Lastly, that same command line provides

the initial stress state for the elasto–plastic state (`-stressp $stressp`). The last command line `nDMaterial Template3Dep 1 -YS $YS -PS $PS -EPS $EPS -ELS1 $ES1 -ELT1 $ET1` is used to combine all the ingredients into a new elastic–plastic material model which is labeled *1*.

A number of illustrative examples, including documentation are available at the OpenSees web site: <http://www.opensees.org>.

## 5. SIMULATIONS

In this section we present a number of representative numerical simulations. Our main goal is to show how different yield criteria, flow directions and hardening/softening rules can be combined to create elastic–plastic material models.

Figure 4 shows two different one element numerical test we used to illustrate the Template Elastic–Plastic Computations in Geomechanics. Figure 4(a) shows the one element triaxial setup. Load is applied in two stages. The first stage load is isotropic ( $\sigma_r$ ). it is applied using load control and represents the triaxial cell pressure. The second stage is the vertical displacement, applied using displacement control and represents the triaxial compression or extension. Figure 4(b) shows the one element shear setup. Load is again applied in two stages. The first stage is similar to the previous case, load is isotropic and is applied using load control. Boundary conditions for the first load stage are similar to the ones shown in Figure 4(a). For the second load stage, boundary conditions are changed to conform plain strain and are shown in Figure 4(b). The second stage load is applied, using displacement control, by the horizontal displacement of upper nodes, representing shearing of the element. Results for simulations involving one element shear tests are presented toward the end of this section.

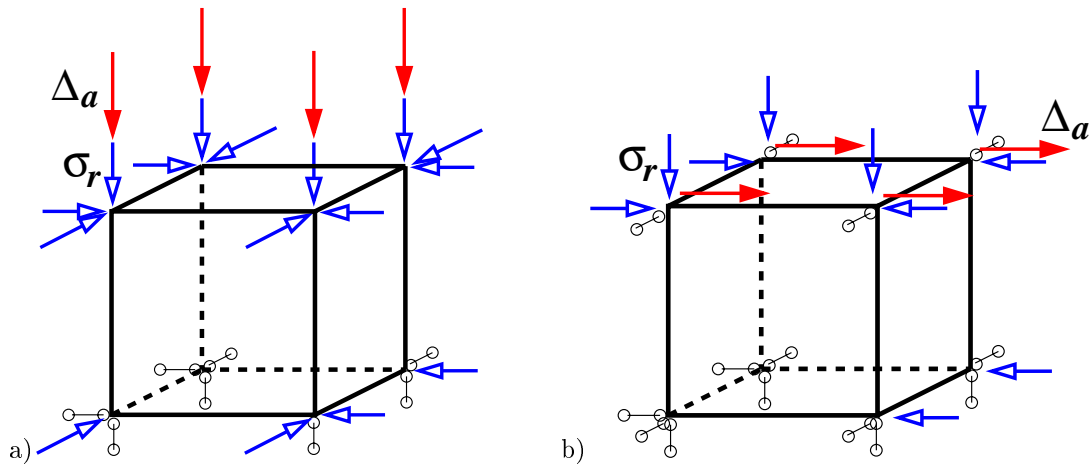


Figure 4. (a) One element triaxial setup; (b) One element simple shear setup.

One of the simplest models to be tried first is obtained by combining Drucker–Prager yield surface, Drucker–Prager flow directions and the perfectly plastic hardening rule. Figure 5 shows results from a monotonic triaxial loading on one such sample. As expected the load displacement response is bilinear. The volumetric response is at first compressive (within the elastic limits) and then becomes dilative when the material becomes elastic–plastic.

Figure 6 shows results for cyclic loading of a triaxial sample using the same simple material model described above. Load displacement curve follows a simple closed loop. Volumetric response is compressive (elastic portion) and then becomes dilative upon yielding of the elastic–plastic material. The compressive response is recovered during unloading but the volumetric response is dilative in general

Figure 7 shows monotonic loading of a triaxial one element setup using a material model created by combining a Drucker-Prager yield surface, deviatoric flow directions (using von Mises potential surface), and a linear isotropic hardening rule. A Backward Euler (implicit)

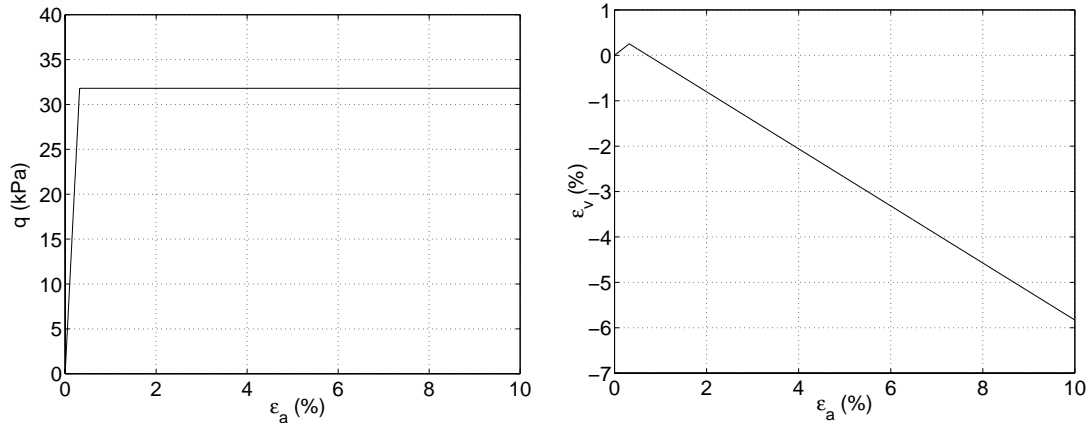


Figure 5. Monotonic triaxial loading on a soil sample modeled using Drucker-Prager yield surface, Drucker-Prager flow direction, perfectly plastic hardening rule.

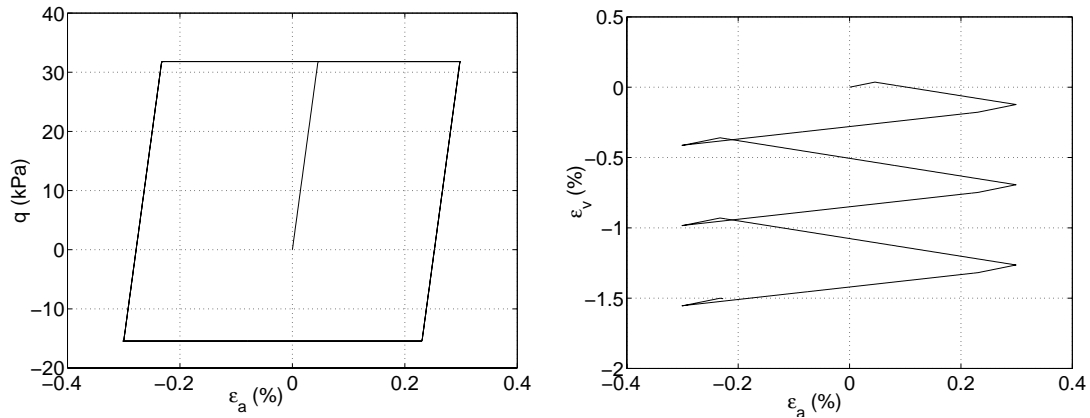


Figure 6. Cyclic triaxial loading on a soil sample modeled using Drucker-Prager yield surface, Drucker-Prager flow direction, perfectly plastic hardening rule.

constitutive integration algorithm is used. It is interesting to note that the increase in volumetric strain  $\epsilon_v$  after the material has yielded is contributed only by the elastic change, since the plastic part of volumetric change is suppressed by the deviatoric flow rule.

Figure 8 shows the same material model as above this time loaded cyclically. Displacement

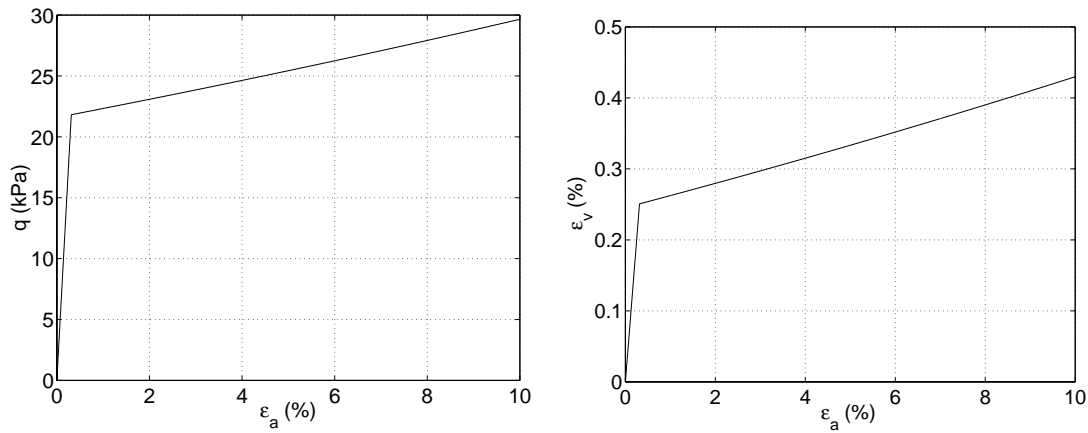


Figure 7. Monotonic loading results for a Drucker-Prager yield surface, von Mises flow direction, linear isotropic hardening rule.

control was again used and the axial strain is cyclically changing between +0.30% and -0.30%. It is interesting to note the nonsymmetric shape of the  $q - \epsilon_q$  diagram, which is a result of isotropic linear hardening rule used. It is also interesting to note the small amount of volumetric change during elastic-plastic loading. Theoretically, the flow directions resulting from von Mises potential surface (deviatoric directions) would give no plastic volumetric strains. However, as we use the additive decomposition of strain into elastic and plastic parts (see Eq. 11) small amount of elastic volumetric strain will always be present.

Figure 9 shows results for cyclic loading of a triaxial one element setup using Drucker-Prager yield surface, von Mises flow direction, nonlinear tensorial hardening rule. The load-displacement curve shows a sizable elastic region, particularly for larger confinement stress. Volumetric response is mostly compressive. It should be noted that the initial plastic flow directions are deviatoric (initial plastic potential is von Mises surface). During the loading-unloading-reloading cycles von Mises plastic potential surface will rotate (since we use



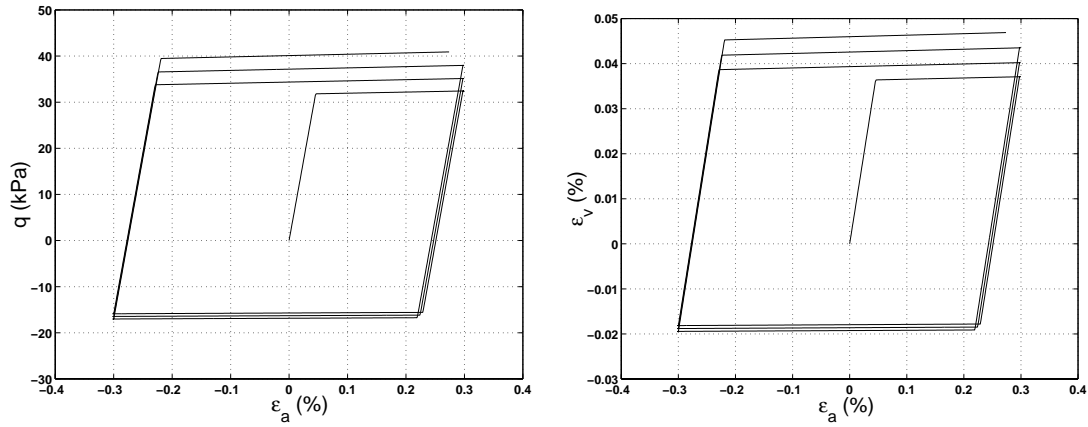


Figure 8. Cyclic loading results for Drucker-Prager yield surface, von Mises flow direction, linear isotropic hardening rule.

rotational kinematic hardening) and thus create significant plastic volumetric strains. Those volumetric strains can be nicely followed in  $\epsilon_v - \epsilon_a$  diagram in Figure 9.

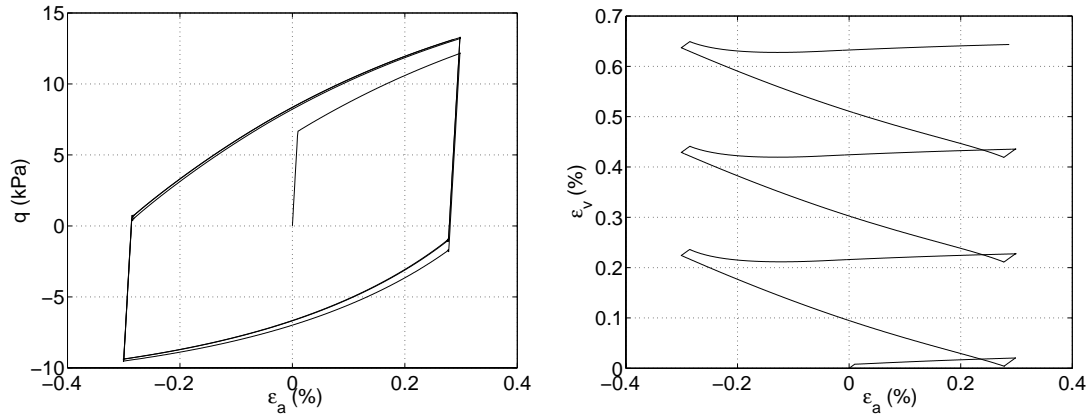


Figure 9. Cyclic loading results for Drucker-Prager yield surface, von Mises flow direction, nonlinear tensorial (rotational kinematic) hardening rule.

The results presented above show behavior of elastic–plastic materials obtained by combining different yield surfaces, plastic flow directions (potential surfaces) and hardening rules.

A more realistic response for soils can be obtained by combining above elements of an elastic–plastic model with other, more sophisticated elements. For example, an excellent material model for sand can be obtained by combining Drucker-Prager yield surface, Manzari-Dafalias flow direction and bounding surface hardening rule (Manzari and Dafalias [28]).

Figure 10 shows results for a monotonic loading of a triaxial one element setup using Drucker-Prager yield surface, Manzari-Dafalias flow direction and bounding surface hardening rule. The material model parameters used are taken from a paper by Manzari and Dafalias ([28]) and represent a normally consolidated sand specimen.

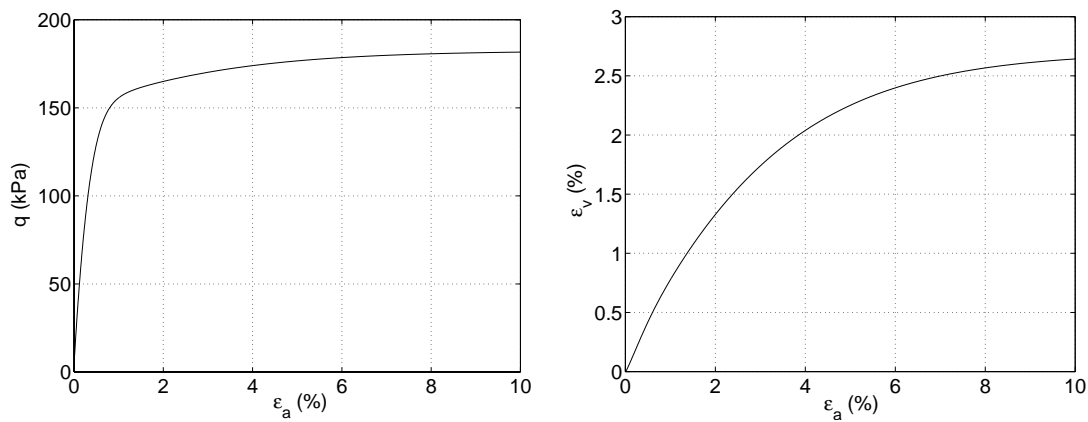


Figure 10. Monotonic triaxial compression loading results for normally consolidated soil sample modeled using Drucker-Prager yield surface, Manzari-Dafalias flow direction, bounding surface hardening rule.

Figure 11 shows results for the highly overconsolidated sand specimen using similar elastic–plastic material model, namely Drucker-Prager yield surface, Manzari-Dafalias flow direction and bounding surface hardening rule. Both the hardening and then softening load displacement responses are observed. The volumetric response is initially compressive and then the specimen dilates.

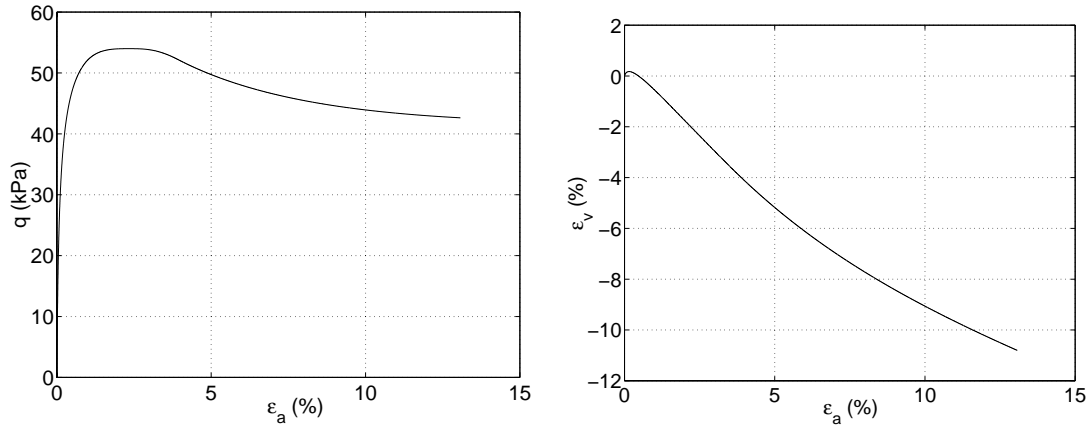


Figure 11. Monotonic triaxial compression loading results for heavily overconsolidated soil sample modeled using Drucker-Prager yield surface, Manzari-Dafalias flow direction, bounding surface hardening rule.

Figure 12 shows results for cyclic triaxial loading of a normally consolidated sand specimen using the same elastic-plastic material model as above. The load displacement curve shows near saturation after few cycles while the volumetric response is compressive.

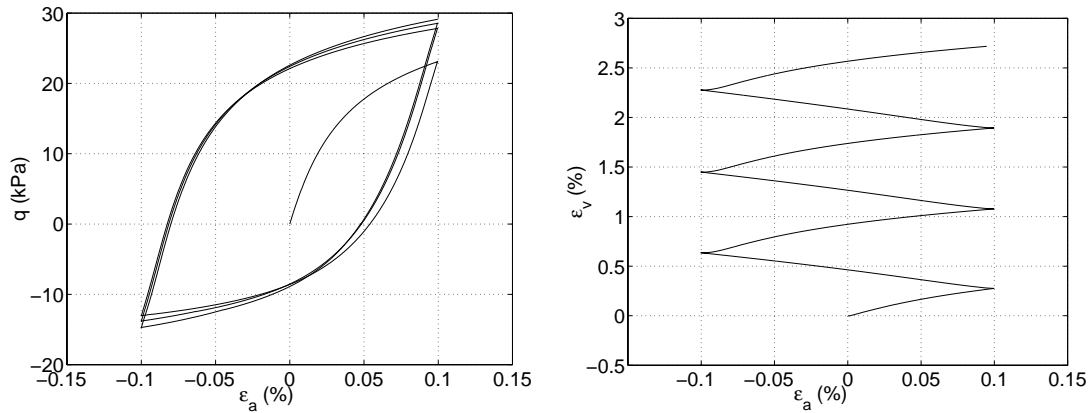


Figure 12. Cyclic triaxial loading results for normally consolidated soil sample modeled using Drucker-Prager yield surface, Manzari-Dafalias flow direction, bounding surface hardening rule.

One of the most widely used elastic-plastic material models is the modified Cam-Clay material model. Figures 13, 14 and 15 show results for the triaxial, one element setup using Cam-Clay yield surface, Cam-Clay potential surface and the nonlinear scalar hardening rule (Cam-Clay). In particular, Figure 13 shows results for a slightly overconsolidated specimen. The load-displacement response is initially linear and then elastic-plastic, while the volumetric response is compressive. Figure 14 shows response for the same material parameters as above

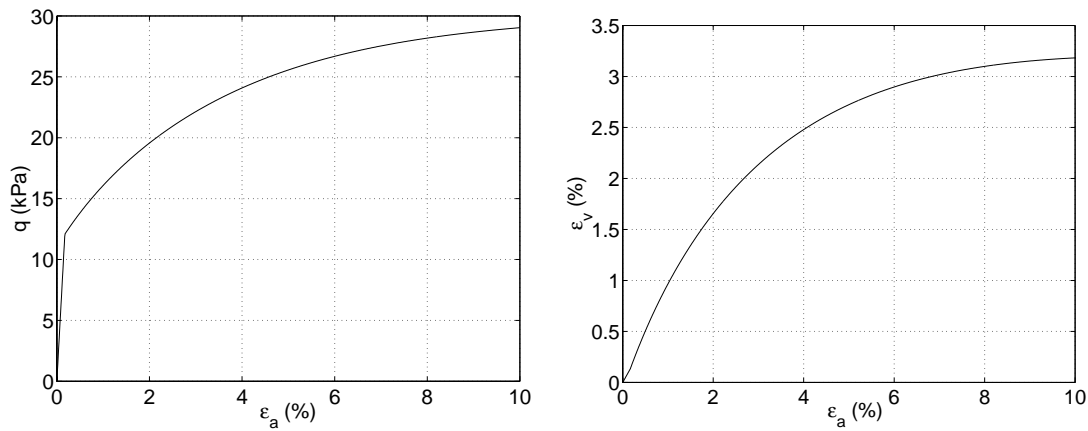


Figure 13. Monotonic triaxial compression on slightly overconsolidated soil sample using Cam-Clay yield surface, Cam-Clay flow direction, and the nonlinear scalar hardening rule (Cam-Clay).

except that the specimen is heavily overconsolidated. Initial load displacement response is elastic while after yielding, the response curve softens toward the critical state. The volumetric response is initially compressive while after yielding it becomes dilative. Figure 15 shows response for a cyclic loading of a triaxial, one element setup using the Cam-Clay yield surface, Cam-Clay flow direction, and the nonlinear tensorial (rotational kinematic) hardening rule.

Figure 16 shows monotonic shearing test for a slightly overconsolidated soil specimen. The elastic-plastic material model used was represented by a combination of Cam Clay yield and

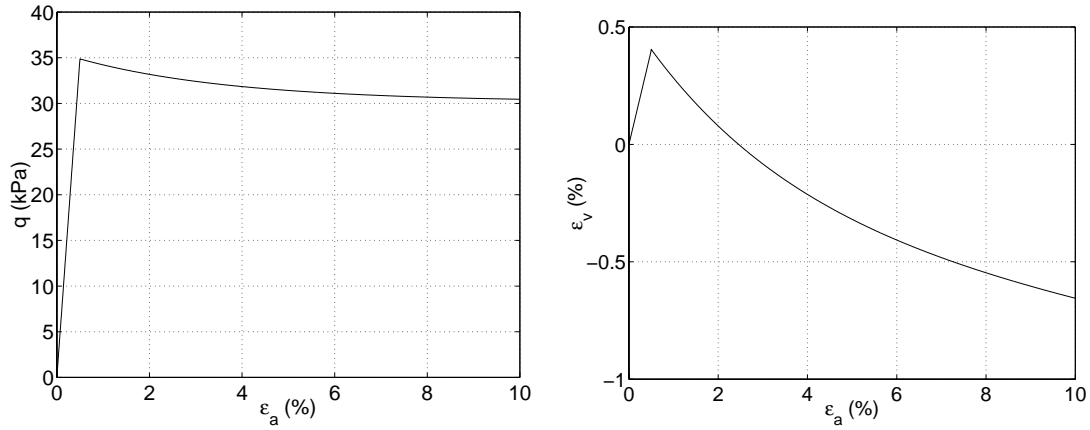


Figure 14. Monotonic triaxial compression on heavily overconsolidated soil sample using Cam–Clay yield surface, Cam–Clay flow direction, and the nonlinear scalar hardening rule (Cam–Clay).

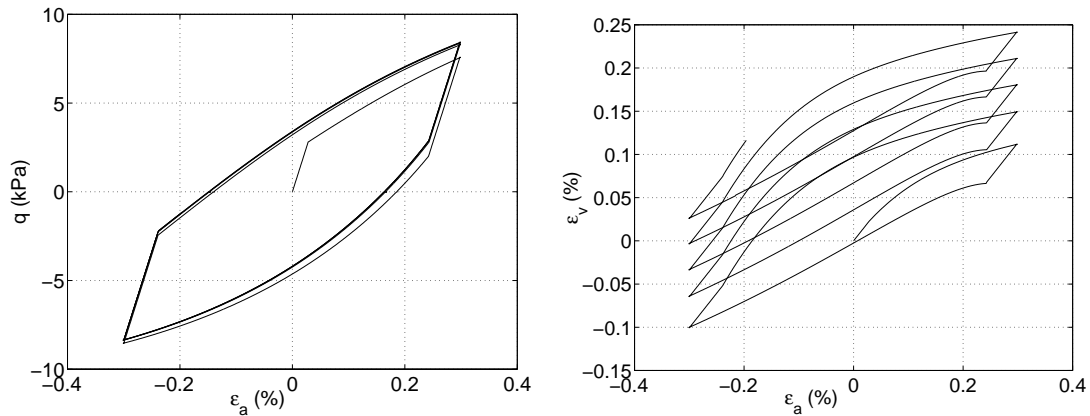


Figure 15. Cyclic triaxial loading on slightly overconsolidated soil sample using Cam–Clay yield surface, Cam–Clay flow direction, and the nonlinear tensorial (rotational kinematic) hardening rule.

potential surfaces and a nonlinear scalar hardening rule. Figure 17 shows results for a cyclic shear test using similar material model as above. The only difference is that the soil sample is now highly overconsolidated. It is interesting to note that the sharp failure point, expected for the highly overconsolidated specimen modeled using Cam–Clay model (compare

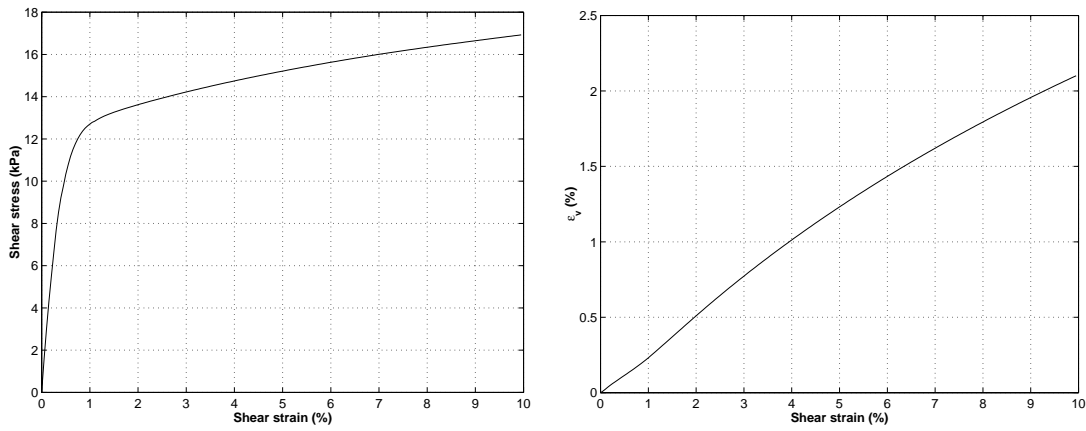


Figure 16. Monotonic shearing of a slightly overconsolidated soil sample using Cam-Clay yield surface, Cam-Clay flow direction, and the nonlinear scalar hardening rule (Cam-Clay).

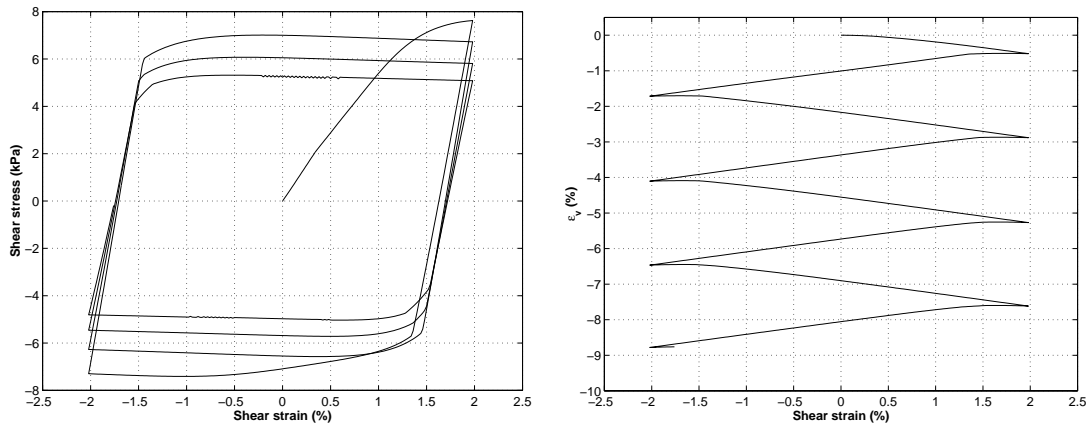


Figure 17. Cyclic shear loading on highly overconsolidated soil sample using Cam-Clay yield surface, Cam-Clay flow direction, and the nonlinear scalar hardening rule (of Cam-Clay type).

with Figure 14) is missing. The explanation is that the state of stress in one element shear model (Figure 4b) is not uniform and some Gauss points fail before others, thus smoothing the response curves.

## 6. CONCLUSIONS

In this paper we have presented a new approach to computations in elasto–plastic geomechanics. The approach is based on the object oriented design philosophy and observations on similarity of most incremental elastic–plastic material models. Based on this approach we have shown that new elasto–plastic material models can be created by combining small number of building blocks. This has an added benefit of allowing an easy implementation of other elastic–plastic material models based on the object oriented design principles. We presented illustrative command language example used for creation of elastic–plastic models. We also presented number of analysis results that emphasize features of template elastic–plastic computations in geomechanics.

Last but not the least, we have made all of our developments, including source code, examples and accompanying documentation in public domain, available at the OpenSees web site [33].

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