

Physical Vapor Deposition

Methods

- Evaporation
- Sputtering
- E-Beam

Evaporation

- Evaporation (Metals)
 - Vacuum system
 - Material to be deposited heated in a crucible
 - Vapor travels hemi-spherically
- Phase Diagram
 - Equilibrium vapor pressure at every temperature
 - Sublimation: Phase change to vapor below T_{melt}
 - Evaporation: Phase change to vapor above T_{melt}

$$P_e = \frac{3 \times 10^{12} \sigma^{1.5} \exp\left[\frac{\Delta H_v}{NkT}\right]}{\sqrt{T}}$$

More Evaporation

- $P^* - P \sim$ to evaporation rate (Heinrich Hertz)
- Additional heat will not increase evap rate (unless this increases the P^*)
- Max evaporation rate is $P \rightarrow 0$

Evaporation Deposition Rates

- Based upon Fickian diffusion
 - Vapor travels randomly from the molten source
 - Line of sight deposition

$$R_d = \sqrt{\frac{M}{2\pi k \rho^2}} \frac{P_e}{\sqrt{T}} \frac{A}{4\pi r^2}$$

Evaporation

- Very simple
- Easy to maintain
- Poor step coverage
- Slow
 - To avoid contamination
- High vacuum required to avoid condensation

E-Beam Evaporation

- Higher T (3000C)
- More complex
- Crucible evaporation (Cu)
- Emission voltage $\sim 10\text{kV}$
- Substrate subjected to 2ndary electron radiation (possible x-ray exposure)
- 10-100Å/s
- Able to evaporate oxides of Al, Si, Zr, Ti

Sputtering

- Improved step coverage
- Requires a plasma
- Less radiation damage than e-beam evaporation
- Superior films
 - Alloys
 - Layered films

Sputtering Mechanism

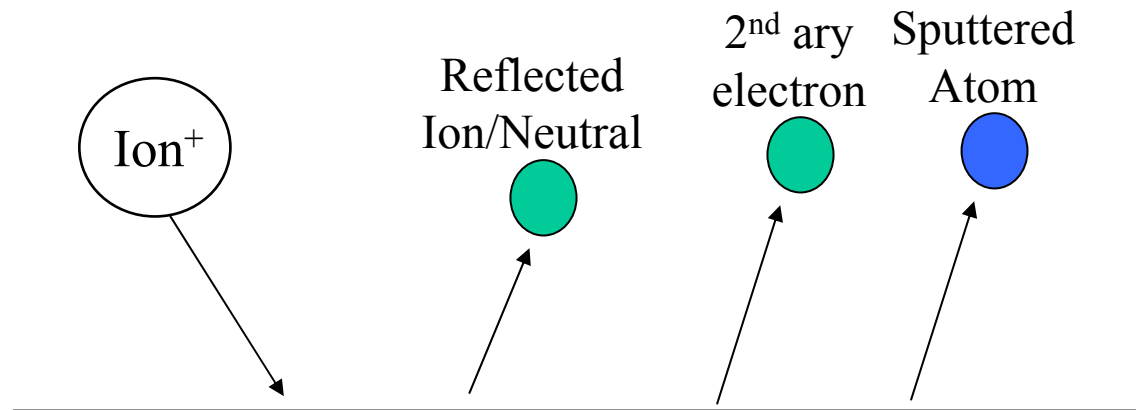
- Requires a plasma
 - Typically and inert gas (Ar)
- Target material is bombarded by ions
 - Target material placed in area of largest ion density
- Spacing between electrodes typically $5-10\lambda$
- Ion collides with target material
 - Material is ejected from surface and deposits on wafer (everywhere)

Energy of Collisions

- Depends upon KE of impinging particle
 - $<5\text{eV}$ reflection, physisorption of material
 - $5\text{-}10\text{eV}$, Exceeding binding energy of target
 - Surface migration
 - Surface damage
 - $>10\text{keV}$ Implantation
 - Between 10eV to 10keV sputtering

Results of Collision

- Depending upon KE different type of products will result from collisions



More Collisions

- Mechanism of sputtering not well understood
 - Incident angle of ions
 - Material
 - Binding energy
 - Lattice structure
 - Kinetic Energy
 - Plasma gas
 - Temperature

Types of Sputtering

- DC
 - Will it work for dielectrics?
- RF
 - Can sputter etch
 - Low yield → low dep rate
- Magnetron
 - Magnetic field used to capture electrons around target

Sputter Yield

- Ion Flux
- Probability of sputtered collision
- Sputtered atom makes it way to target.

$$J_{\text{ion}} \propto \sqrt{\frac{1}{m_{\text{ion}}}} \frac{V^{1.5}}{d^2}$$

- V =voltage difference electrode to wafer
- D =thickness of darkspace
- m_{ion} = ion mass

Sputter Yield

- Minimum Threshold Energy for Sputtering
 - 5-10eV
 - Yield increases as the square of energy up to 100eV
 - Linear increase up to 800eV

$$E_{\text{threshold}} = \frac{U_0}{\gamma(1 - \gamma)}, \quad \gamma = \text{xfer energy parameter} = \frac{4M_1M_2}{(M_1 + M_2)^2}$$

Sputter Deposition

- Species that strike the wafer
 - Sputtered atoms
 - Fast neutral sputter gas atoms
 - High energy gas neutrals
 - Could implant into wafer
 - Negative ions
 - Due to gas impurities (O and N)
 - High energy e
 - Results in heating of wafer
 - Contaminants

PVD Methods

- Thermal ($\sim 20\text{\AA}/\text{sec}$)
 - Au, Ag, Al, Sn, Cr, Sb, Ge, In, Mg, Ga
 - CdS, PbS, CdSe, NaCl, KCl, AgCl, MgF_2 , CaF_2 , PbCl_2
- E-Beam (everything thermally +) ($100\text{\AA}/\text{s}$)
 - Ni, Pt, Ir, Rh, Ti, V, Zr, W, Ta, Mo
 - Al_2O_3 , SiO, SiO_2 , SnO_2 , TiO_2 , ZrO_2
- Sputtering ($100\text{\AA}/\text{s}$)
 - Al, Cu, Si, AlSi, AlCu, TiW, TiN, Ta, TaN

Why Does it Stick?

- Sputtered or Evaporated atoms migrate toward the wafer surface.
- How does the vaporized material become a solid?
- What driving forces make the atoms adhere?

Adsorption Phenomena

- Physisorption
 - Impinging molecule loses kinetic (thermal) energy within some residence time
 - Lower energy of the molecule cannot escape surface
- Chemisorption
 - The impinging molecule loses its kinetic energy to a chemical reaction which forms a chemical bond between it and other substrate atoms.

Condensation

- Condensation of a vapor to a solid or liquid
 - $P^* > P_e$ at a specific temperature
- When $P^* > P_e$ then vapor is “supersaturated”
- Condensation of material on a dissimilar substrate
 - Adsorb on impact
 - Adsorb, move along surface (diffusion) then stick
 - Adsorb and then desorb
 - Reflect off
- Incident vapor higher KE than surface energy of substrate (kT)
- Sticking dependent on target material equilibrating with substrate.
 - Energy of target must decrease enough so it won't desorb

Condensation

- Thermal accommodation coefficient
 - T_v = impinging vapor temp
 - T_s = substrate temp
 - T_r = resident vapor molecule temp (have not permanently adhered)
- $\alpha_T < 1$ fraction of impinging molecules will desorb

$$\alpha_T = \frac{E_v - E_r}{E_v - E_s} = \frac{T_v - T_r}{T_v - T_s}$$

Condensation Mechanism

- Adsorbed molecule diffuses along surface for τ_a .
- Constant flux of vapor had more molecules adsorbing on surface
- Clusters form during surface migration
 - Clusters have smaller S/V than individual molecules (ΔG is getting smaller)

$$\tau_a = \frac{1}{\nu_o} \exp\left[\frac{\Delta G_{des}}{k_B T(K)}\right] \quad n_s = R \tau_a$$

Growth of Films

- Adsorb monomers on exposed surface
- Monomers diffuse along surface until critical size nuclei achieved (Gibbs free energy is reduced)
 - Size of nuclei reach supercritical
 - Monomers in local region depleted bare surface re-exposed
- Nucleation of clusters
- Individual clusters contact each other (island)
 - Joining clusters expose more surface
- Additional monomers adsorb on exposed surface
- Islands grow together to form channels and holes
- Channels and holes fill to form continuous film

Film Growth

- Atom clustering
 - Molecular clusters reach critical size where Gibbs free energy is decreasing
 - Nuclei form
- Island Stage
 - Nuclei grow in 3D
- Coalescence
 - Nuclei contact each other
 - Form new/larger shapes
- Channel Stage
 - Island grow creating channels of exposed substrate
 - Channels filled by 2nd ary nucleation
- Continuous Film Stage

Models of Film Growth

- Island Growth
- Layered growth (ideal epitaxy)
- Mixture of the Island and Layered

Controlling Parameters

- Substrate Temperature (T_s)
- Increase T_s
 - Thermal energy of adsorbed molecules higher
 - Shorter τ_a
 - Surface diffusion greater
- Need to be cautious of too much heat
 - Desorb film (Cleaning technique?)

Sputtered Aluminum

- Al/Si alloys replacing Al
 - Better ohmic contact
 - 0.5 – 2 atomic %
- Al/Cu
 - Reduced hillock formation
 - 0.5 – 2 atomic %
 - Pass higher current w/o e-migration or stress induced voiding

Sputtered Material

- DC magnetron systems
- Stoichiometry control
 - Film deposited is “close” to target composition
 - Composition controlled by transport properties
 - Low P higher Cu concentration in film
 - Ar thermalizes lighter Al – Selective Thermalization
 - Better control of stoichiometry is by multiple targets
- Gas contamination will effect film resistivity
 - Function of pressure
- Film Reflectance
 - Large grain size-hazy film
 - Litho difficult

Advances

- May be replaced by electrodeposition of CVD
- Still may be critical for metals
- Used for seed layers
 - Cu
- Barrier layers
 - Ta, TaN, Ti, N
- Used for silicides (refractory metal compounds)
 - Thermally stable, low Ω , @ Si/refractory metal
 - Ti, Co metals with Si
 - Reduce contact resistances over SD&G
- Need to address defect densities and contamination