

XPS

X-ray

Photoelectron

Spectroscopy

# Contents

- Information conveyed by XPS
- Instrumentation
  - vacuum, sample preparation, detector
- Ingredients
  - X-rays, photoelectrons, spectrum
- Extensions
  - XPD, ARPES, SRPES, PEEM
- Conclusion

# Information

**gives**

- Elemental
- Chemical info

**does not**

- structure
- images / spatial resolution
- defects , phase

# A bit of history

- Time line

- Hertz 1887: photo-electricity

- UV on -ve electrode facilitate the spark between two electrodes

- Thompson 1897: discovery of electron &  $e/m$

- Einstein 1905: quantum energetics

- $h\nu = (KE)_e + (KE)_{atom} + (E_o - E_+) \cong (KE)_e + \Phi + (BE)_e$

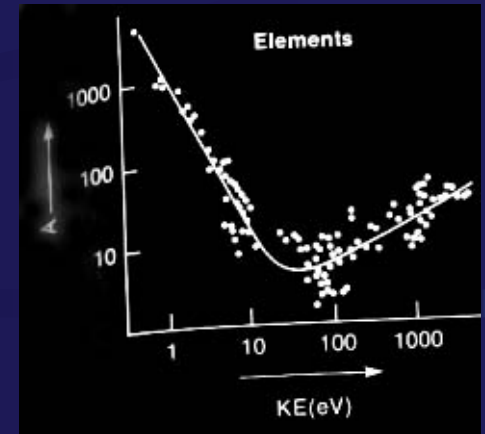
- Siegban's & Robison 1960s: technique

- Early days

- collect photo electric current vs. retarding potential

- differentiate

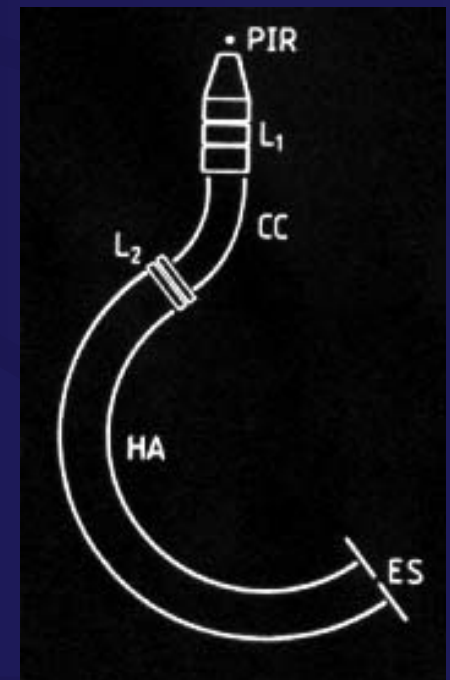
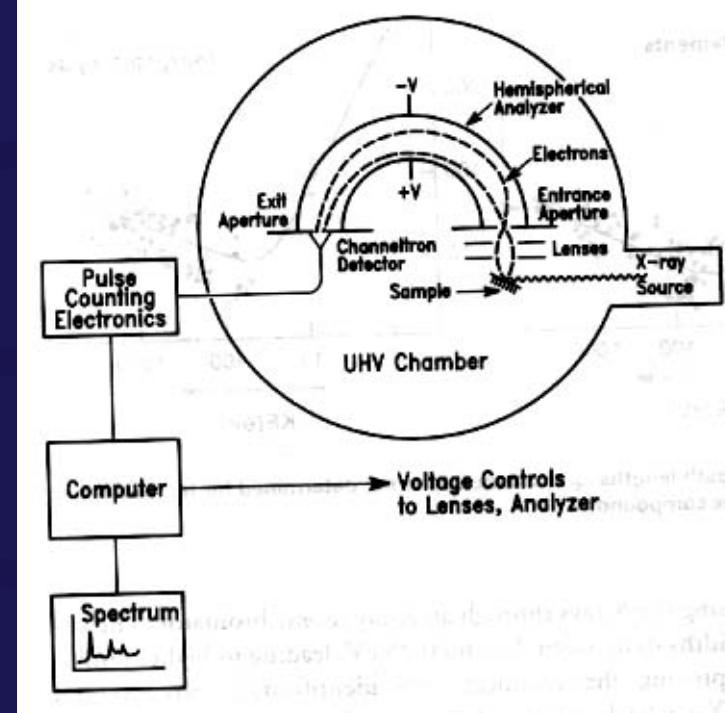
# Instrumentation



- Charged electrons: reactive with atoms
  - to the analyzer (min. p.  $10^{-6}$  bar)
  - on the solid => surface technique (5-20Å)
  - surface cleanness
    - adsorbate layer (min.  $10^{-9}$ bar /UHV)
- cleaning surface:
  - cleaving or scratching under vacuum,
  - ion etching, annealing

# Detectors

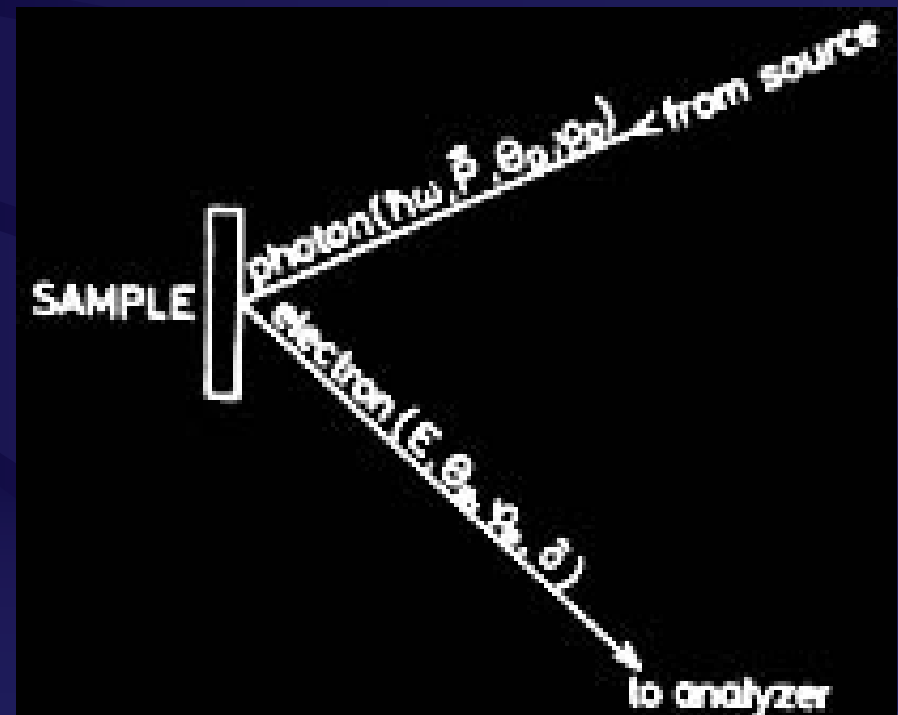
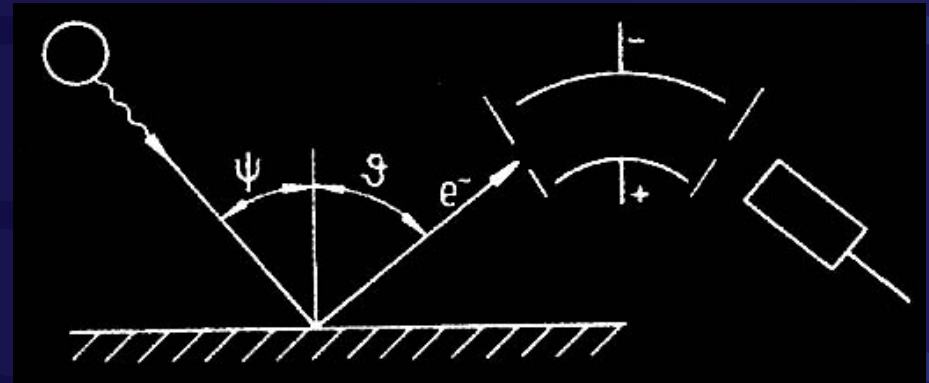
- Combination of retardation and deflection analyzers with electron multiplier or a multi channel plate at the end
- low current:
  - sweeping/stability,
  - speed



# Ingredients

# Ingredients

- Incoming radiation: x.rays
- Outcoming: photoelectrons
- EDC by the analyzer





# X-Rays

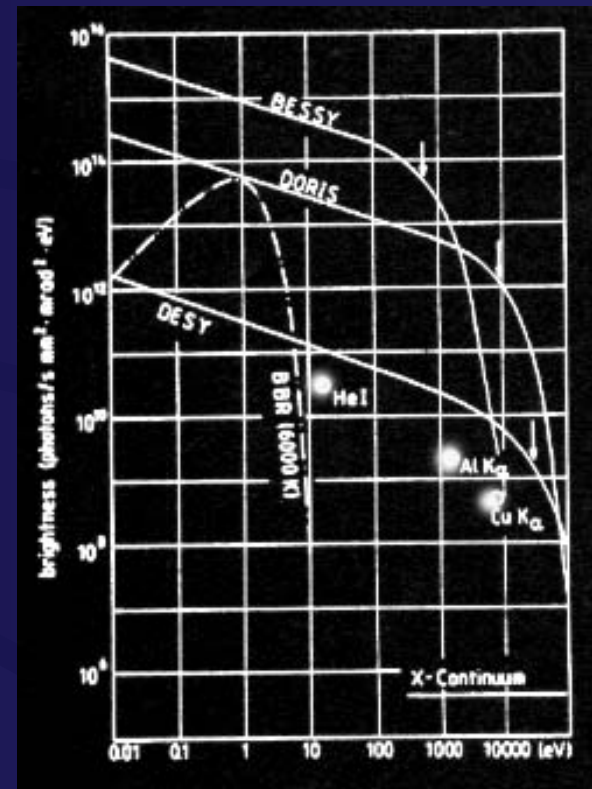


- Parameters: energy, polarization, intensity, spot size, angle
- UPS: He lamp (pressure to choose from 21.22-52.2415)
- XPS: x-ray tube, 12-15KeV electrons hit a cooled target:
  - Y, M $\xi$  (132,.5)      Ti, M $\xi$  (452)      Mg, K $\alpha$ (1253,.7)
  - Al, K $\alpha$ (1486.7,.8)      Si, K $\alpha$ (1740,.9)      Cu, K $\alpha$ (8047.8,2.5)
  - secondary lines or satellites + bremsstrahlung background
- Monochromate by crystal diffraction ( $2d\sin\theta=n\lambda$ )
- Monochromaticity vs. brightness

# Synchrotron radiation

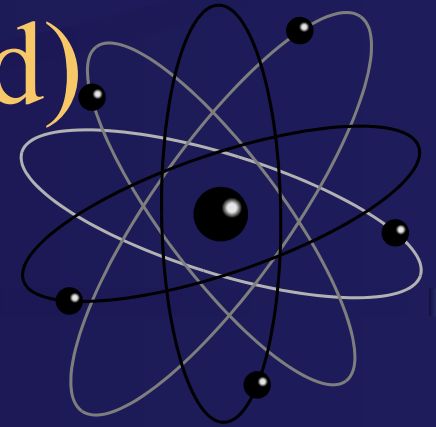
- Tunable bright energy
  - 20-5000ev
  - utilize ionization cross section
- without loss of signal you can:
  - monochomatize
  - collimate / focus
- Polarized
- controllable time pulsation

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# PhotoElectrons (bound)



- While bound
  - Fully characterized by four quantum numbers: (principal, angular, magnetic, and spin)
  - negotiated by QM/Coulomb interactions with all parties including: the nucleus, other electrons and the immediate chemical environment
  - Observed:  $n$ ,  $l$ , and LS-coupling for unpaired electrons
- Some theories tries to predict BE for all lines

# PhotoElectrons (leaving)

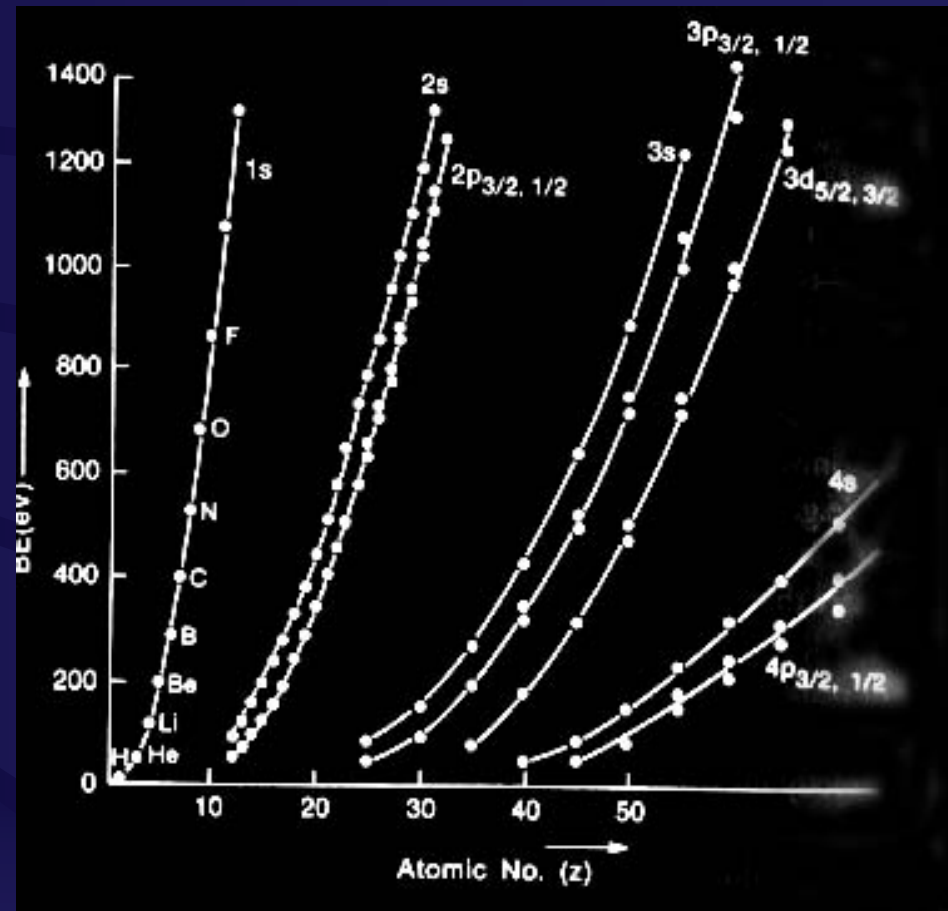
- Some electrons leave the sample intact and form XPS lines
- While leaving the sample: charged, energetic interacting with:
  - collective oscillation of electron (plasmons  $\cong$  bulk 10, Surface  $< 10\text{eV}$ )
  - surface charge ( global effect )
  - bound e-h pair (exciton)
  - crystal vibrations (phonons  $\cong .1\text{eV}$ )
  - spin waves / magnons

# PhotoElectrons (as a beam)

- As a beam out of the sample
  - beam with direction (polar, azimuthal)
  - beam out of a certain sized spot
  - intensity distributed particles over a range of K.E
- XPS is angle integrated and wide area (cm<sup>2</sup>) analysis

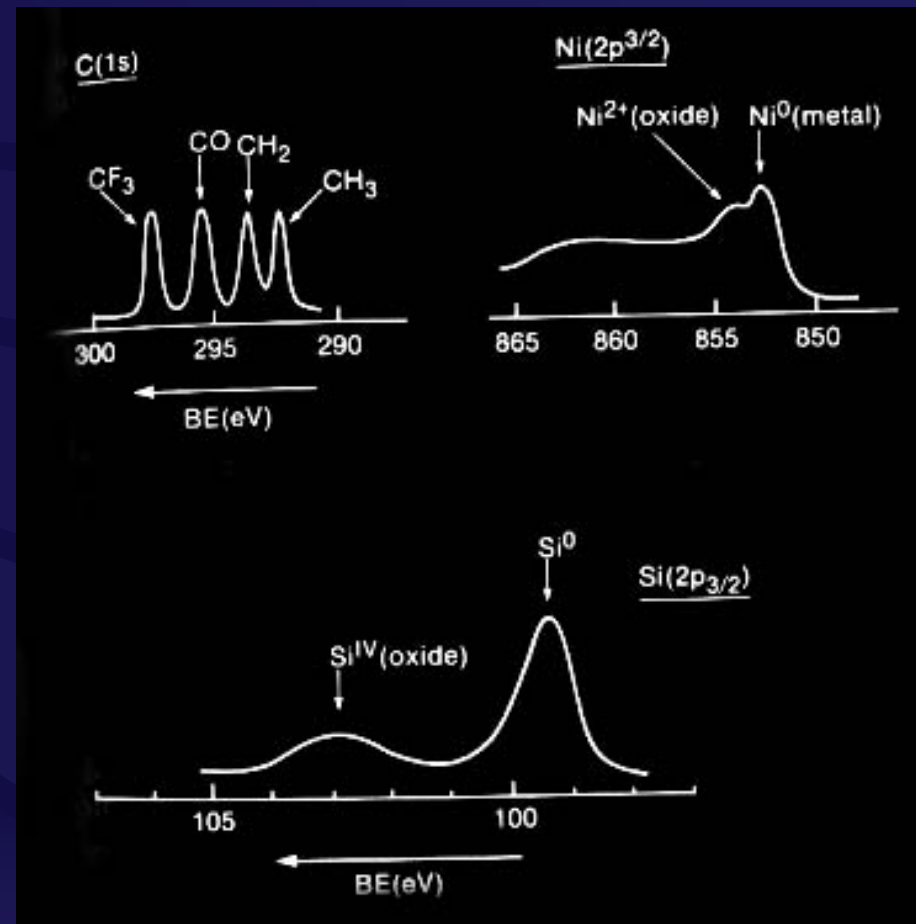
# Elemental Info

- Well tabulated
- different lines will make it sure in case of confusion
- $n, l, LS$

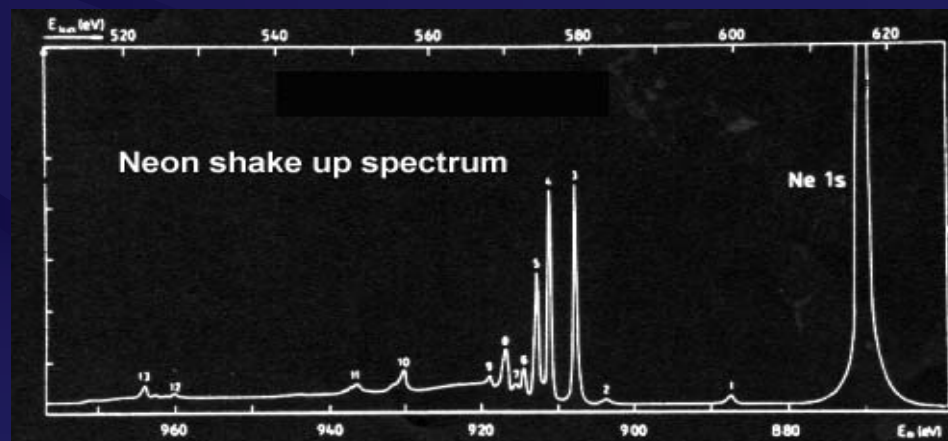
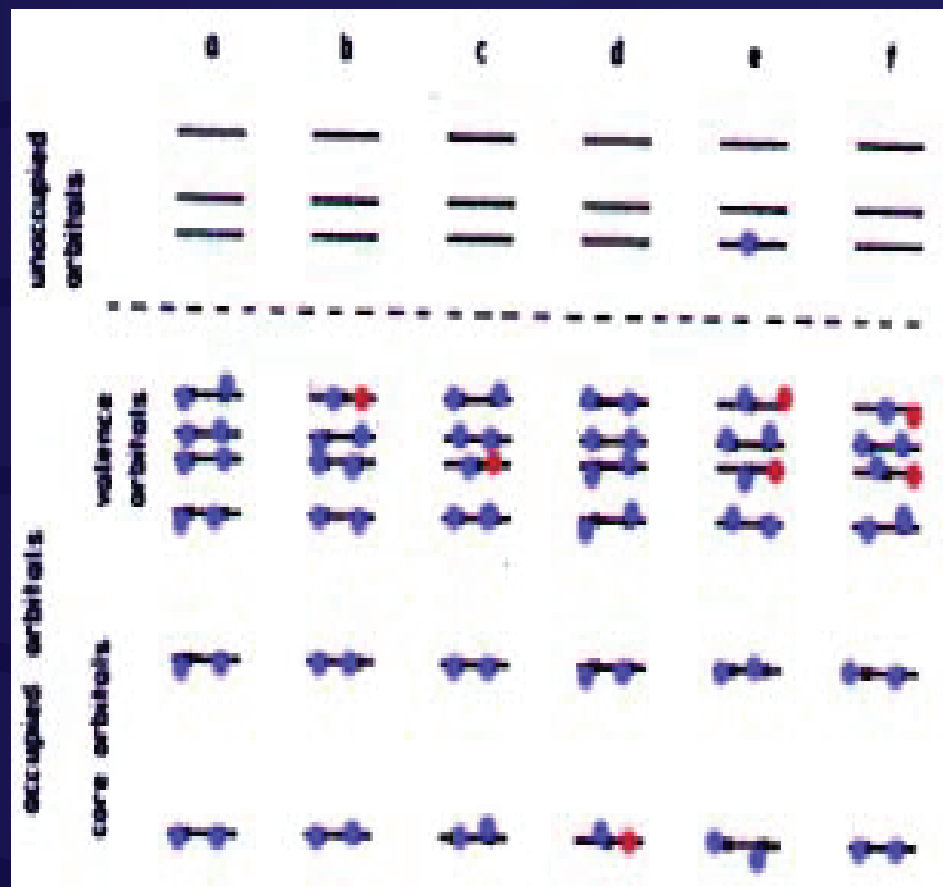


# Chemical Info

- Not all lines are sensitive
- shifts are towards higher BE
- area ratios  $\rightarrow$  relative concentration



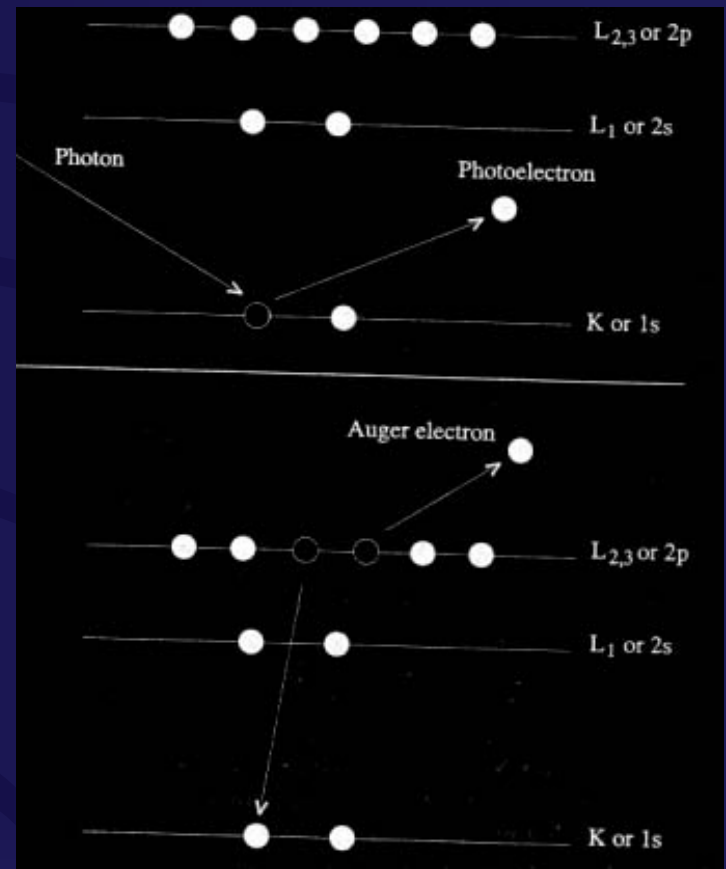
- Shake up/off lines:
- weak / unfavorable (more than one e)





# Auger process

- 3 electrons are involved
- KE of Auger is not  $h\nu$  dependant
- broad peak



# The spectrum (E)

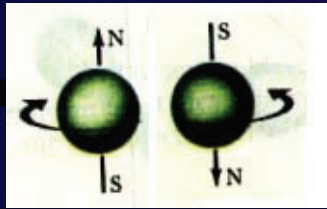
- Peaks:
  - XPS lines
  - Auger
  - Others:
    - plasmons, shakeup,
- libraries and standard's spectra and pure samples

# Spectrum (I)

- Intensity
  - atomic:
    - no. of electrons
    - photoionization cross section ( $h\nu$  dep.)
  - Instrumental
    - sample area
    - radiation flux
    - KE detection efficiency
    - angular detection efficiency
- ->Relative concentration using peak areas

# Extended techniques



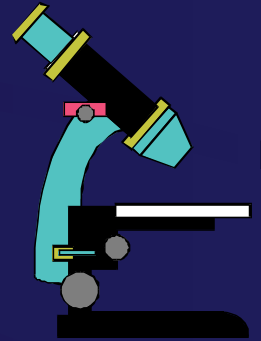


# Spin resolved XPS (SRPES)

- Orientation of the spin of the photoelectron can be maintained from emission to detection
- Mott detector can tell the spin -> up/down
- Band structure of a magnetic material affects the up/down ratio
- Band structure <-- XPS

# Photoelectron Emission Microscopy (PEEM)

- By focusing the x-rays only a chosen spot of the sample may contribute to the spectrum --> image
- Focusing can be done via:
  - collimating (signal↓ -> synchrotron)
  - x-ray optics (under development)
- Advantage: Element specific, chemical status
- Applications: diffusion, segregation, Shottky barrier



# Conclusion

- A look back
- what does the future holds?



# Information

+ve

- Elemental (but no trace analysis .01-.3%)
- Chemical info (resolution, globality)

-ve

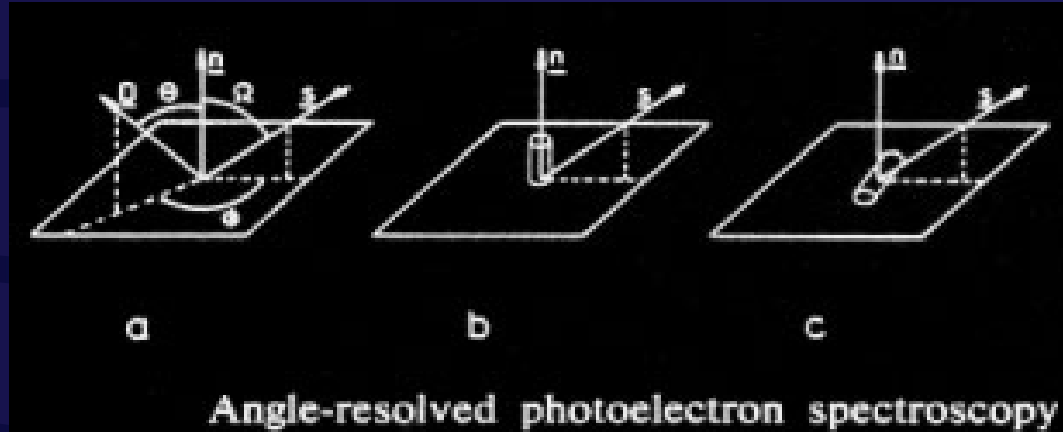
- structure (-> XPD)
- images (-> PEEM)
- defects , phase

# Future

- Wide range tunable, sharp, bright, well focused, controlled pulsed , and affordable x-ray source (synchrotron)
- High resolution, fast/efficient detectors
- automated processing

# Angle resolved XPS (ARPES)

- Anisotropy of electron photo emission direction
- Function of:
  - atom, molu. orientation
  - $E, h\nu$  of radiation



## • Difference

- ARPES: molecule, atom of the crystal and adsorbate
- XPD: structure of an atomic layer

