

Trace Properties and Pullbacks

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INTRODUCTION

Throughout this paper, R will denote an integral domain with quotient field K . For a pair of fractional ideals I and J of a domain R we let $(J:I)$ denote the set $\{t \in K \mid tI \subseteq J\}$. Often, we shall use I^{-1} in place of $(R:I)$. Recall that the “ v ” of a fractional ideal I is the set $I_v = (R:(R:I))$ and the “ t ” of I is the set $I_t = \bigcup J_v$ with the union taken over all finitely generated fractional ideals contained in I . An ideal I is divisorial if $I = I_v$, and I is a t -ideal if $I = I_t$.

Let R be an integral domain and let M be an R -module. Then the trace of M is the ideal generated by the set $\{fm \mid f \in \text{Hom}(M, R) \text{ and } m \in M\}$.

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1 $m \in M\}$. For a fractional ideal I of R , the trace is simply the product of
 2 I and I^{-1} . We call an ideal of R a *trace ideal* of R if it is the trace of some
 3 R -module. An elementary result due to Bass is that if J is a trace ideal of
 4 R , then $JJ^{-1} = J$; i.e., $J^{-1} = (J : J)$ (Bass, 1963, Proposition 7.2). It follows
 5 that J is a trace ideal if and only if $J^{-1} = (J : J)$. (Such ideals are also
 6 referred to as being “strong”; see, for example, Barucci, 1986.) In 1987,
 7 Anderson, Huckaba and Papick proved that if I is a noninvertible ideal
 8 of a valuation domain V , then $I(V : I)$ is prime (Anderson et al., 1987,
 9 Theorem 2.8). Later in the same year, Fontana, Huckaba and Papick
 10 began the study of the “trace property” and “TP domains”. A domain
 11 R is said to satisfy the *trace property* (or to be a *TP domain*) if for each
 12 R -module M , the trace of M is equal to either R or a prime ideal of R
 13 (Fontana et al., 1987, page 169). Among other things, they showed that
 14 each valuation domain satisfies the trace property (Fontana et al., 1987,
 15 Proposition 2.1), and that if R satisfies the trace property, then it has at
 16 most one noninvertible maximal ideal (Fontana et al., 1987, Corollary
 17 2.11). For Noetherian domains they proved that if R is a Noetherian
 18 domain, then it is a TP domain if and only if it is one-dimensional, has
 19 at most one noninvertible maximal ideal M , and if such a maximal ideal
 20 exists, then M^{-1} equals the integral closure of R (or, equivalently,
 21 $M^{-1} = (M : M)$ is a Dedekind domain) (Fontana et al., 1987, Theorem
 22 3.5). In terms of pullbacks they proved that a Noetherian domain R is
 23 a TP domain if and only if there is a Dedekind domain T , an ideal I of
 24 T and a subfield F of T/I such that T/I is a finitely generated F -module
 25 and R is the pullback in the following diagram

$$\begin{array}{ccc}
 26 & & \\
 27 & R & \longrightarrow & F \\
 28 & \downarrow & & \downarrow \\
 29 & T & \longrightarrow & T/I \\
 30 & & & \\
 31 & & &
 \end{array}$$

32 (Fontana et al., 1987, Theorem 3.6). In Sec. 2, Gabelli (1992) proved simi-
 33 lar results about Mori domains. Specifically she showed that by replacing
 34 “integral closure” with “complete integral closure” and deleting the
 35 requirement that T/I be finitely generated as a F -module, then the same
 36 lists of conditions (from Fontana et al., 1987, Theorems 3.5 and 3.6)
 37 characterize the class of Mori domains which satisfy the trace property
 38 (Gabelli, 1992, Theorem 2.9). Recall that a Mori domain is an integral
 39 domain which satisfies the ascending chain condition on divisorial ideals.

40 In 1988, Heinzer and Papick introduced the “radical trace property”
 41 declaring that an integral domain R satisfies the *radical trace property* (or
 42 is an *RTP domain*) if for each noninvertible ideal I , II^{-1} is a radical ideal.

1 For Noetherian domains, they proved that if R is a Noetherian domain,
 2 then it satisfies the radical trace property if and only if R_P is a TP domain
 3 for each prime ideal P (Heinzer and Papick, Proposition 2.1). Gabelli
 4 extended this result to Mori domains (Gabelli, 1992, Theorem 2.14).
 5 She also gave a pullback characterization in the special case that the con-
 6 ductor between the domain in question and its complete integral closure
 7 is nonzero (Gabelli, 1992, Theorem 2.16).

8 According to Lucas (1996), a domain R is said to satisfy the *trace*
 9 *property for primary ideals* (or to be a *TPP domain*), if for each primary
 10 ideal Q , either Q is invertible or QQ^{-1} is prime. By Lucas (1996, Corol-
 11 lary 8), R is a TPP domain if and only if for each primary ideal Q , either
 12 $QQ^{-1} = \sqrt{Q}$, or Q is invertible and \sqrt{Q} is maximal. Also from Lucas
 13 (1996), R is a *PRIP domain* if for each primary ideal Q , Q^{-1} a ring implies
 14 Q is prime. Note that in general, a primary ideal can be such that its
 15 inverse is a ring without the ideal being a trace ideal. In Kabbaj et al.
 16 (1999), the authors introduced the notion of an LTP domain as a domain
 17 with the property that for each trace ideal I and each prime ideal P mini-
 18 mal over I , $IR_P = PR_P$. In Kabbaj et al. (1999, Theorem 2), it was shown
 19 that a domain R is an LTP domain if and only if each primary trace ideal
 20 is prime. In general, we have $RTP \Rightarrow TPP \Rightarrow LTP$ and $PRIP \Rightarrow LTP$
 21 (Lucas, 1996, Theorem 4 and Kabbaj et al., 1999, Corollary 3). For
 22 Prüfer domains, all four are equivalent (Lucas, 1996, Theorem 23
 23 and Kabbaj et al., 1999, Theorem 10); and for Mori domains,
 24 $PRIP \Rightarrow RTP \Leftrightarrow TPP \Leftrightarrow LTP$ (Kabbaj et al., 1999, Theorem 18), but there
 25 are examples of Mori RTP domains which do not satisfy PRIP (Lucas
 26 1996, Example 30). In general, we have been unable to determine whether
 27 each TPP domain is an RTP domain, or whether each LTP domain is an
 28 TPP domain (or RTP domain).

29 The main concern of this paper is to consider diagrams of the form

$$\begin{array}{ccc}
 30 & R & \longrightarrow & D = R/M \\
 31 & \downarrow & & \downarrow \\
 32 & & & \\
 33 & T & \longrightarrow & T/M \\
 34 & & &
 \end{array}$$

35 where M is a prime ideal of R with the quotient field of D contained in
 36 T/M . While our ultimate goal is to completely characterize when one
 37 can say that R is an “XTP” domain if and only if both D and T are
 38 “XTP” domains, with “XTP” being any one of TP, RTP, TPP or
 39 LTP, the current ones are far more modest. In the special case where
 40 M is a maximal ideal of T , we show that R is an RTP (TPP) [LTP]
 41 domain if and only if both T and D are RTP (TPP) [LTP] domains. In
 42 a somewhat less restricted situation, we show that if M is a radical ideal

1 of T where each minimal prime of M in T is maximal, then R is an LTP
 2 domain if and only if both T and D are LTP domains. By further requir-
 3 ing that the intersection of the minimal primes of M be irredundant, we
 4 prove that a similar conclusion holds for both RTP and TPP. Note that it
 5 is known that if R is an “XTP” domain and P is a prime ideal of R , then
 6 R/P is an “XTP” domain (Lucas 1996, Theorems 3 and 9 and Kabbaj et
 7 al., 1999, Theorem 4). While the restriction that each minimal prime of M
 8 in T be a maximal ideal of T is not needed to prove that D is an “XTP”
 9 domain when R is, it is somewhat necessary to have such a restriction in
 10 order to have that T is an “XTP” domain when R is. For example, let
 11 $V = F(x) + yF(x)[[y]]$, $T = F[x^2, x^5] + yF(x)[[y]]$ and $R = F + yF(x)[[y]]$.
 12 Both V and R are TP domains (Fontana et al., 1987, Proposition 2.1
 13 and Heinzer and Papick, Example 2.12). However, the ideal $Q = (x^4, x^5)T$
 14 is a primary ideal of T which is also a trace ideal but not prime (speci-
 15 fically, $(Q : Q) = (T : Q) = V$). Thus T is not even an LTP domain.

16 A field is trivially an RTP domain. While most of the results in this
 17 paper are true for fields, the emphasis is on integral domains that are not
 18 fields. To avoid having to add the phrase “but not a field” when it would
 19 be required, we will simply assume that R is an integral domain which is
 20 not a field. We shall also assume that all of the ideals in question are
 21 nonzero.

22 Notation is standard as in Gilmer (1972). In particular, “ \subseteq ” denotes
 23 containment and “ \subset ” denotes proper containment.

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 25

26 1. PRELIMINARY RESULTS

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28 We shall make use of a number of results concerning consequences of
 29 Γ^{-1} being a ring and several other results more specific to dealing with
 30 trace properties. We collect a few of these results in this section. Many,
 31 but not all, of these results have appeared elsewhere.

32

33 **Theorem 1.** *Let R be an integral domain and let I be an ideal of R such
 34 that I^{-1} is a ring. Then*

35

36 (a) $\Gamma^{-1} = I_v^{-1} = (I_v : I_v) = (II^{-1} : II^{-1}) = (II^{-1})^{-1}$ (Huckaba and
 37 Papick, 1982, Proposition 2.2).

38 (b) \sqrt{I}^{-1} is a ring (Houston et al., 2000, Proposition 2.1). Moreover,
 39 $\sqrt{I}^{-1} = (\sqrt{I} : \sqrt{I})$ (Anderson, 1983, Proposition 3.3).

40 (c) P^{-1} is a ring for each prime P minimal over I (Houston et al.,
 41 2000, Proposition 2.1 and Lucas, 1996, Lemma 13). Moreover,
 42 $P^{-1} = (P : P)$ (Houston et al., 2000, Proposition 2.3).

1 The next result is a variation on a result which appears in Fossum's
 2 book (Fossum 1973, Lemma 3.7). (See also, Lucas, 1996, Lemmas 0
 3 and 1.)
 4

5 **Lemma 2.** *Let R be an integral domain and let Q be a primary ideal of R
 6 with radical P . If P does not contain QQ^{-1} , then $(R:QQ^{-1})=(QQ^{-1}:
 7 QQ^{-1})=(Q:Q)$ and so $(R:I)=(Q:Q)$ for each ideal I such that
 8 $Q \subset I \subseteq QQ^{-1}$ and $I \not\subseteq P$.*
 9

10 There are (at least) two ways to characterize LTP domains in terms
 11 of primary ideals.
 12

13 **Theorem 3** (Kabbaj et al., 1999, Theorem 2). *The following are equiva-
 14 lent for a domain R .*
 15

- 16 (1) R is an LTP domain.
- 17 (2) For each noninvertible primary ideal Q , $Q(R:Q)R_P = PR_P$ where
 18 $P = \sqrt{Q}$.
- 19 (3) If a primary ideal is also a trace ideal, then it is prime.
 20

21 Recall that an integral domain is a TPP domain if and only if for
 22 each noninvertible primary ideal Q , $Q(R:Q) = P$ where P is the radical
 23 of Q (Lucas, 1996, Corollary 16). Obviously, each TPP domain satisfies
 24 the condition in statement (2) of Theorem 3. Thus each TPP domain is
 25 an LTP domain. Also, note that each PRIP domain satisfies statement
 26 (3) of Theorem 3. While we have not been able to show that there are
 27 LTP domains which are not TPP domains, we can show that there are
 28 LTP domains which are not PRIP domains. For example, consider the
 29 ring $R = F[[x^3, x^4, x^5]]$ where F is a field (this is the ring of Example 30
 30 in Lucas, 1996). The ideal $Q = (x^3, x^4)$ is primary but not prime and
 31 $Q^{-1} = F[[x]]$ is a ring. Thus R is not a PRIP domain. However, note that
 32 $QQ^{-1} = (x^3, x^4, x^5)$ is the maximal ideal of R and $(QQ^{-1})^{-1} = F[[x]]$. By
 33 Fontana et al. (1987, Theorem 3.5), R is an RTP domain. As every RTP
 34 domain is a TPP domain (Lucas, 1996, Theorem 4), R is an LTP domain.
 35 (In fact, all three of RTP, TPP and LTP are equivalent for Noetherian
 36 domains (Lucas, 1996, Theorem 12 and Kabbaj et al., 1999, Theorem
 37 18)).
 38

39 **Theorem 4.** *Let R be an integral domain. If R is an RTP domain, a TPP
 40 domain or a PRIP domain, then R is an LTP domain (Lucas 1996, Theorem
 41 4 and Kabbaj et al., 1999, Corollary 3).
 42*

1 The next two results collect useful information concerning the prime
2 ideals of an RTP, TPP and LTP domains.

3
4 **Theorem 5.** *Let P be a prime ideal of an integral domain R . If R is an
5 RTP (TPP) [LTP] domain, then both R_P and R/P are RTP (TPP) [LTP]
6 domains (Lucas 1996, Theorems 3 and 9, and Kabbaj et al., 1999, Theorem
7 4, respectively).*

8
9 **Theorem 6** (Kabbaj et al., 1999, Theorem 5). *Let R be an LTP domain.
10 Then*

- 11
12 (a) *Each maximal ideal is a t -ideal.*
13 (b) *Each nonmaximal prime ideal is a divisorial trace ideal.*
14 (c) *Each maximal ideal is either idempotent or divisorial.*
15

16 By Theorem 4, all three of the above statements in Theorem 6 also
17 hold for the prime ideals of RTP, TPP and PRIP domains. For “new”
18 results, we begin with the following lemma.
19

20
21 **Lemma 7.** *Let $R \subset T$ be a pair of domains for which $B = (R : T)$ is not
22 zero.*

- 23
24 (1) *If J is a trace ideal of T and $JB = J \cap B$, then JB is a trace ideal
25 of R .*
26 (2) *If Q' is an invertible primary ideal of T whose radical in T is max-
27 imal and incomparable with B , then $Q = Q' \cap R$ is an invertible
28 primary ideal of R whose radical is a maximal ideal of R .*
29

30 *Proof.* Since B is an ideal of both R and T , if $t \in (R : B)$, then
31 $tB = tBT \subseteq R$. It follows that $(R : B) = (B : B)$.

32 Let J be a trace ideal of T for which $JB = J \cap B$. Then for each
33 $u \in (R : JB)$, we have $uB \subseteq (T : J) = (J : J)$ and $uJ \subseteq (R : B) = (B : B)$. Thus
34 $uJB \subseteq J \cap B = JB$ and therefore, JB is a trace ideal of R .

35 Let Q' be an invertible primary ideal of T whose radical in T is max-
36 imal and incomparable with B and let $Q = Q' \cap R$. Let N' denote the radi-
37 cal of Q' in T and let $N = N' \cap R$. That Q is N -primary and N is a maximal
38 ideal of R follows from Fontana (1973, Theorem 1.4 and Corollary 1.5).
39 We also have $R_N = T_{N'}$ and $QR_N = Q'T_{N'}$. Since N is a maximal ideal of
40 R , it suffices to show that $(QQ^{-1})R_N = R_N$. As B is an ideal of both R and
41 T , we have $QB(T : Q') \subseteq BQ'(T : Q') = B \subset R$. Hence $B(T : Q') \subseteq Q^{-1}$. Since
42 B and N' are incomparable ideals of T , $BT_{N'} = T_{N'} = R_N$. Thus

1 $R_N = Q'(T:Q')T_{N'} = QB(T:Q')R_N \subseteq (QQ^{-1})R_N = R_N$. Therefore, Q is an
 2 invertible ideal of R . ◆

3

4 Several authors have established the invertibility statement in 7(b) in
 5 more restrictive settings. See, for example, Costa et al. (1978) and Fon-
 6 tana and Gabelli (1996).

7 A little more can be said in the special case that $T = (I: I)$ for some
 8 ideal I of R . In particular, we have the following.

9

10 **Lemma 8** (Kabbaj et al., 1999, Lemma 6). *Let I be a trace ideal of an*
 11 *integral domain R and let J be an ideal of $(I: I)$.*

12

13 (a) *If J contains I , then $J \cap R$ is a trace ideal of R .*

14

15 (b) *If J is a trace ideal of $(I: I)$, then IJ is a trace ideal of R .*

15

16 The last of our preliminary results deals with certain invertible ideals
 17 in an LTP domain.

18

19 **Lemma 9.** *Let R be an LTP domain and let I be a radical ideal of R for*
 20 *which each minimal prime is a maximal ideal. If I is invertible, then each*
 21 *prime that contains I is invertible and is each ideal whose radical contains*
 22 *I and the intersection $\bigcap \{M_\alpha \in \text{Max}(R) \mid I \subseteq M_\alpha\}$ is irredundant.*

22

23 *Proof.* Assume I is invertible and let N be a prime containing I . Then N
 24 is a maximal ideal of R and $IR_N = NR_N$. It follows that NR_N is invertible.
 25 Thus $N \neq N^2$ and hence it is divisorial by Theorem 6. We also have
 26 $(N: N) = R$ as $(N: N) \subseteq (NR_N: NR_N) = R_N$ and $(N: N) \subseteq (R: N) \subseteq R_M$
 27 for each maximal ideal $M \neq N$. As N is divisorial we have
 28 $(R: N) \neq R = (N: N)$. It follows that N must be an invertible ideal.

29

30 Let M_β be a minimal prime of I . As I is a radical ideal and M_β is
 31 minimal over I , $IR_{M_\beta} = M_\beta R_{M_\beta}$. Since M_β is invertible, there is an element
 32 $s \in R \setminus M_\beta$ such that $sM_\beta \subseteq I$. It follows that s is contained in each maxi-
 33 mal ideal M_α that contains I except M_β . Thus the intersection $\bigcap \{M_\alpha \in$
 34 $\text{Max}(R) \mid I \subseteq M_\alpha\}$ is irredundant.

34

35 Let B be an ideal of R with $\sqrt{B} = I$. As each minimal prime of B is
 36 also a minimal prime of I , each minimal prime of B is an invertible maxi-
 37 mal ideal of R . By Theorem 1, each prime minimal over a trace ideal is
 38 also a trace ideal so no maximal ideal of R can contain BB^{-1} . Hence, B is
 39 invertible. ◆

39

40 Houston et al. (2000, Example 5.1) shows that if a domain R is not an
 41 LTP domain, then it may contain an invertible radical ideal all of whose
 42 minimal primes are maximal ideals with inverse equal to R .

2. PULLBACKS

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Recall that for a pair of rings $R \subset T$, if $(R: T) = M$ is a nonzero prime ideal of R and P is prime of R which does not contain M , then there is a unique prime P' of T that contracts to P and, moreover, $R_P = T_{P'}$ (Fontana, 1973, Theorem 1.4). In each of our pullback constructions, we will assume that we are dealing with two distinct rings. In each construction, M will be a nonzero prime ideal of the smaller ring and the conductor of the larger into the smaller. The larger ring will be denoted by T and the smaller by either S or R . We will use S when we specifically assume that M is a maximal ideal of the smaller ring. We will use D to denote the domain R/M . For a subset A of T , we use \bar{A} to denote the image of A in T/M . To avoid having overlined subscripts when localizing at the image of a prime ideal $P \supset M$ of R , we will use D_P to denote the localization of D at \bar{P} .

In our first pullback construction, M will be a maximal ideal of T . In the ones that follow we will only assume that each minimal prime of M in T is a maximal ideal of T . In these constructions, we shall use M_α to denote a minimal prime of M in T and \mathcal{M} to denote the set of all such primes.

For a prime ideal P and P -primary ideal Q of the smaller ring, if P does not contain M , we will use P' to denote the unique prime ideal of T that contracts to P and Q' to denote the unique primary ideal of T that contracts to Q (Fontana, 1973, Corollary 1.5). For a generic maximal ideal of T we will use N' , and the contraction of N' to the smaller ring will be denoted by N . Conversely, a generic maximal ideal of the smaller ring will be denoted by N , and if N does not contain M , we use N' for the unique maximal ideal of T that contracts to N .

3. M MAXIMAL IN T

Let T be a domain with a maximal ideal M and let D be a domain contained in T/M . Let R be the pullback of the following diagram:

$$\begin{array}{ccc} R & \longrightarrow & D \\ \downarrow & & \downarrow \\ T & \longrightarrow & T/M \end{array} \quad (\square_1)$$

We begin with a lemma concerning the primary ideals of R .

Lemma 10. For diagram \square_1 ,

- 1 (a) If Q is a primary ideal of R which is neither contained in M nor
 2 comaximal with M , then Q contains M .
 3 (b) If B is an ideal of R that contains an M -primary ideal and is not
 4 contained in M , then $BT = T$ and B contains M .
 5

6 *Proof.* Let Q be a primary ideal of R which is neither contained in M
 7 nor comaximal with M and let $P = \sqrt{Q}$. Since M is a maximal ideal of
 8 T , a prime ideal of R is either comparable to M or comaximal with M
 9 in R (Fontana, 1973, Theorem 1.4). Since $Q + M \neq R$, we must have P
 10 and M comparable. As M does not contain Q , we have $M \subset P$. Thus
 11 $M = MT \subset PT$. Again since M is a maximal ideal of T , we have $PT = T$.
 12 As Q is P -primary, we also have $QT = T$. It follows that $M = MT =$
 13 $MQT = MQ \subset Q$.

14 Let B be an ideal of R that contains an M -primary ideal Q . Since M is
 15 a maximal ideal of T , it is the only maximal ideal of T that contain Q .
 16 Hence, if M does not contain B , then no maximal ideal of T can contain
 17 B . It follows that $BT = T$ and that $M \subset B$. \blacklozenge
 18

19 **Theorem 11.** For diagram \square_1 , R is an LTP domain if and only if both T
 20 and D are LTP domains.
 21

22 *Proof.* (\Rightarrow) Assume R is an LTP domain. By Theorem 3 (i.e., Kabbaj
 23 et al., 1999, Theorem 2), it suffices to show that only prime ideals can
 24 be both primary ideals and trace ideals of T . To this end, let Q' be a
 25 primary ideal of T which is also a trace ideal. Let $I = Q' \cap M$, $P' = \sqrt{Q'}$
 26 and $P = P' \cap R$.

27 If $Q' + M = T$, then $I = Q'M$. Hence it follows from Lemma 7 that I is
 28 a trace ideal of R . We also have $P + M = R$ with P a minimal prime of I .
 29 Since R is an LTP domain and M does not contain P , we have
 30 $P'T_{P'} = PR_P = IR_P = MQ'R_P \subseteq Q'T_{P'} \subseteq P'T_{P'}$. Since Q' is P' -primary, we
 31 have $Q' = P'$.

32 If $Q' + M \neq T$, then $Q' \subseteq M$, $I = Q'$ and $P = P'$. Thus Q' is a primary
 33 trace ideal of R . As R is an LTP domain, $Q' = P'$.

34 (\Leftarrow) Assume both T and D are LTP domains and let I be a trace ideal
 35 of R . By Theorem 3, we may assume that $I = Q$ is primary with radical P .
 36

37 **Case 1.** $Q \subseteq M$.

38 If $P \neq M$, then Q is also a primary ideal of T . As P is not a maximal
 39 ideal of T , $Q(T:Q) \subseteq P$ by Theorem 3. It follows that Q is also a trace
 40 ideal of T . Hence $Q = P$.

41 If $P = M$, then QT is an M -primary ideal of T . Hence we either have
 42 $Q(T:Q) = M$ or $Q(T:Q) = T$. If the former, $(Q:Q) = (R:Q) = (T:Q)$ and

1 therefore, $Q = M = P$. If the latter, $M = MQ(T:Q) \subseteq Q(R:Q) = Q$ so
 2 again we have $Q = M = P$.

3
 4 **Case 2.** $Q + M = R$.

5 Let $J = Q(T:Q)$. Then J is a trace ideal of T and $J + M = T$. Hence
 6 $J \cap M = JM$ and there is a unique prime P' of T that contracts to P . As
 7 Q is trace ideal of R , it contains JM . Since M and P' are comaximal ideals
 8 of T , P' must be minimal over J . Hence $JMR_P = JMT_{P'} \subseteq QR_P \subseteq PR_P =$
 9 $P'T_{P'} = JT_{P'} = JMT_{P'}$. It follows that $QR_P = PR_P$ and therefore, $Q = P$.

10
 11 **Case 3.** $Q \not\subseteq M$ and $Q + M \neq R$.

12 By Lemma 10, we must have $M \subseteq Q$. Thus by Houston et al. (2000,
 13 Proposition 6), we have that $(D: \bar{Q}) = (R: Q) = (Q: Q) = (\bar{Q}: \bar{Q})$. Since D
 14 is an LTP domain, $\bar{Q} = \bar{P}$. It follows that $Q = P$. \blacklozenge

15
 16 **Theorem 12.** For diagram \square_1 , R is a TPP domain if and only if both T
 17 and D are TPP domains.

18
 19 *Proof.* Assume R is a TPP domain and let Q' be a P' -primary ideal of
 20 T . Let $Q = Q' \cap R$ and $P = P' \cap R$. Thus $MQ' \subseteq Q$ and $MP' \subseteq P$.
 21 As $MQ'(T:Q') \subseteq M$, $M(T:Q') \subseteq (R:Q)$ and therefore, $M^2Q'(T:Q') \subseteq$
 22 $Q(R:Q) \cap M$.

23
 24 **Case 1.** $Q' + M = T$.

25 In this case $Q + M = R$ and for each maximal ideal N' containing Q' ,
 26 $QR_N = QT_{N'} = Q'T_{N'}$ and $PR_N = PT_{N'} = P'T_{N'}$ where $N = N' \cap R$. It fol-
 27 lows that $(R:Q) \subseteq (T:Q')$. If Q is invertible, so is Q' . On the other hand,
 28 if $Q(R:Q) = P$, then $P'T_{N'} = PT_{N'} = Q(R:Q)T_{N'} = Q'(T:Q')T_{N'}$ and it
 29 follows that $Q'(T:Q') = P'$.

30
 31 **Case 2.** $Q' \subseteq M$.

32 In this case we have $Q = Q'$. Hence $Q(R:Q) \subseteq Q'(T:Q')$. If
 33 $Q(R:Q) = R$, then $Q'(T:Q') = T$. On the other hand, if $Q(R:Q) = P$, then
 34 we at least have $P' = P \subseteq Q'(T:Q')$. If $P \neq M$, the fact that $M^2Q'(T:Q')$ is
 35 contained in $Q(R:Q)$, implies $Q'(T:Q') \subseteq P' = P$. If $P = M$, we have that
 36 either $Q'(T:Q') = T$ or $Q'(T:Q') = M$.

37 (\Leftarrow) Assume both T and D are TPP domains and let Q be a P -
 38 primary ideal of R .

39
 40 **Case 1.** $P \subseteq M$.

41 In this case P is also a prime ideal of T and Q is a P -primary ideal of
 42 T . Since T is a TPP domain, $Q(T:Q) = P$. Hence we have $Q(R:Q) = P$.

1 **Case 2.** $P + M = R$.

2 Since $P + M = R$, there is a unique prime ideal P' of T that contracts
3 to P and a unique P' -primary ideal Q' that contracts to Q . Since M is a
4 common ideal of R and T , $MQ' \subseteq M \cap Q' \subseteq Q$ and $MQ'(T:Q') \subseteq M$.
5 Hence we also have $M^2Q'(T:Q') \subseteq Q(R:Q)$. If Q' is invertible, $Q(R:Q)$
6 contains M^2 so we also have that Q is invertible. If Q' is not invertible,
7 then $Q'(T:Q') = P'$ since T is a TPP domain. Thus $M^2P' \subseteq Q(R:Q)$.
8 For each maximal ideal N' containing Q' , we have $QR_N = QT_{N'} = Q'T_{N'}$
9 where $N = N' \cap R$. It follows that $(R:Q) \subseteq (T:Q')$. Hence, $Q(R:Q) \subseteq Q'$
10 $(T:Q') \cap R = P' \cap R = P$. By localizing at the maximal ideals that contain
11 Q we see that $Q = P$.

12
13 **Case 3.** $M \subset P$.

14 By Lemma 10 we also have $M \subset Q$. Hence $(D:\bar{Q})\bar{Q} = \overline{(R:Q)\bar{Q}}$.
15 Since D is a TPP domain we either have that \bar{Q} is invertible or that
16 $\bar{Q}(D:\bar{Q}) = \bar{P}$. If the former, Q is invertible, and if the latter, $Q(R:Q) = P$.

17
18 **Case 4.** $P = M$.

19 If $Q(T:Q) = M$, we are done. So we may assume that $Q(T:Q) = T$. In
20 this case we will have $M = MQ(T:Q) \subseteq Q(R:Q)$. If D is a field, this is all
21 we need. Thus we may further assume that D is not a field. By way of
22 contradiction, assume $Q(R:Q)$ properly contains M . If $Q(R:Q) = R$,
23 then each ideal that properly contains M has inverse equal to R . But if
24 B is an ideal of R which properly contains M , then $(D:\bar{B}) = \overline{(R:\bar{B})}$
25 (Houston et al., 2000, Proposition 6). Since we have assumed that D is
26 a TPP domain which is not a field, it follows that we cannot have
27 $Q(R:Q) = R$. Let $t \in Q(R:Q) \setminus M$ and set $I = t^2R + Q$ and $B = I(R:I)$.
28 By Lemma 10, B contains M and is a trace ideal of R . Thus
29 $(D:\bar{B}) = \overline{(R:\bar{B})} = \overline{(B:\bar{B})} = (\bar{B};\bar{B})$. Since a TPP domain is also an LTP
30 domain, $\bar{B}D_N = \bar{N}D_N$ for each prime N minimal over B . Hence
31 $BR_N = NR_N$. Thus we have elements $a \in (R:B) = (B:B)$, $q \in Q$ and
32 $s \in R \setminus N$, such that $st = at^2 + q$ with $at \in N$. Hence $q = t(s - at)$. This is
33 impossible since Q is M -primary and neither t nor $s - at$ is in M . \blacklozenge

34
35 **Theorem 13.** For diagram \square_1 , R is an RTP domain if and only if both T
36 and D are RTP domains.

37
38 *Proof.* (\Rightarrow) Assume R is an RTP domain and let J be a trace ideal of T .
39 Let $I = J \cap M$. If J and M are comaximal, then $I = JM$. If J and M are not
40 comaximal, then $J \cap M = J$. In either event, I is a trace ideal of R .

41
42 **Case 1.** $J + M = T$.

1 In this case for each maximal ideal N' containing J , $IR_{N'} = IT_{N'} =$
 2 $JT_{N'}$ where $N = N' \cap R$. As I is a radical ideal of R , J is a radical ideal
 3 of T .

4 **Case 2.** $J \subseteq M$.

5 In this case $I = J$ is a radical ideal of R . Since M contains J it contains
 6 the radical of J in T . Thus J is a radical ideal of both T and R .

7
 8 (\Leftarrow) Assume both T and D are RTP domains and let I be a trace ideal
 9 of R . Let $J = I(T : J)$. Then J is a trace ideal of T and as such it is a radical
 10 ideal of T .

11 **Case 1.** $J \subseteq M$.

12 In this case $I = J$ is a radical ideal of T . So it is also a radical
 13 ideal of R .

14 **Case 2.** $I + M = R$.

15 In this case we obviously also have $J + M = T$. Hence
 16 $J \cap M = JM = \subseteq I$. As no maximal ideal of R can contain both I and
 17 M , $JR_N = JMR_N \subseteq IR_N \subseteq JR_N$ for each maximal ideal N (of R) that
 18 contains I . As J is a radical ideal of T , I is a radical ideal of R . Moreover,
 19 we must have $J \cap R = I$.

20
 21 **Case 3.** $I \subseteq M$, $I + M \neq R$ but $J + M = T$.

22 If $J = T$, then we have $M = MJ \subseteq I$. If $I = M$, there is nothing to
 23 prove. If I properly contains M , then we have $(D : \bar{I}) = (R : \bar{I}) =$
 24 $(I : \bar{I}) = (\bar{I} : \bar{I})$. Since D is an RTP domain, \bar{I} is a radical ideal of D and
 25 it follows that I is a radical ideal of R .

26 If I does not contain M , then $J \neq T$. Set $A = J \cap R$. Then we have
 27 $A + M = R$ so that $A \cap M = AM \subseteq I$. Set $B = I + M$. Then B is trace ideal
 28 of R that does contain M . So B is a radical ideal of R . Since $A + M = R$,
 29 we also have $A + B = R$. Hence $AB = A \cap B$ is a radical ideal of R that
 30 both contains and is contained in I . Thus $I = A \cap B$ is a radical ideal of R .

31
 32 **Case 4.** $I \subseteq M$ but $J \not\subseteq M$.

33 In this case we have $J + M = T$. Hence $J \cap M = JM \subseteq I$. As both J
 34 and M contain I , we have $I = J \cap M$. Since both J and M are radical ideals
 35 of T , I is a radical ideal of R . ◆

36
 37 If R is an RTP Prüfer domain, then for each ideal I , the ring $(I : I)$ is
 38 an RTP Prüfer domain (Lucas, 1996, Corollary 24). Moreover, for a
 39 prime ideal P , P is a maximal ideal of $(P : P)$. Also, if R is an RTP Mori
 40 domain and I is a trace ideal of R , then $(I : I)$ is an RTP domain (Kabbaj
 41 et al., 1999, Corollary 19). On the other hand, Kabbaj et al. (1999,
 42 Example 15) gives an example of an RTP domain with an ideal I such

1 that $(I: I)$ is not an RTP domain. The ideal in that example is not a trace
 2 ideal of R . It remains an open question as to whether $(I: I)$ has the same
 3 trace property as R when I is a trace ideal of R . By Theorems 11, 12 and
 4 13 we can make the following statement.

5
 6 **Corollary 14.** *Let P be a prime ideal of a domain R . If P is a maximal*
 7 *ideal of $(P: P)$, then R is an LTP (TPP) [RTP] domain if and only if both*
 8 *$(P: P)$ and R/P are LTP (TPP) [RTP] domains.*

9
 10 For the TP property, we need to make some further assumption(s) in
 11 order to get results which correspond to those we have established for
 12 RTP, TPP and LTP. In our next result, we shall add the restriction that
 13 T is quasilocal. Later we shall establish a similar result under the assump-
 14 tion that T is a Dedekind domain. Note that in this later result, we shall
 15 not require that M be a maximal ideal of T , but only that the quotient
 16 field of D be contained in T/M . Also, we shall give an example of a pull-
 17 back R where R is not a TP domain even though M is a maximal ideal of
 18 T and both T and D are TP domains (Example 33).

19 Recall from Cahen and Lucast (1997, Corollary 11), that a domain is
 20 a TP domain if and only if it is an RTP domain for which the noninvert-
 21 ible primes are linearly ordered.

22
 23
 24 **Theorem 15.** *For diagram \square_1 , further assume that T is quasilocal. Then*
 25 *R is a TP domain if and only if both T and D are TP domains.*

26
 27 *Proof.* (\Rightarrow) Assume R is TP domain. That D is a TP domain is a con-
 28 sequence of Cahen and Lucas (1997, Corollary 11). Let J be a trace ideal
 29 of T . Since T is quasilocal we have $J \subseteq M$, and hence J is also a trace ideal
 30 of R . Hence J is a prime ideal of R . As M contains J , J is a prime ideal of
 31 T as well.

32 (\Leftarrow) Assume that both T and D are TP domains. Since T is quasi-
 33 local and M is the maximal ideal of T , every ideal of R compares with
 34 M . By Theorem 13, R is an RTP domain. Thus by Cahen and Lucas
 35 (1997, Corollary 11) all we need to show is that the noninvertible
 36 primes of R are linearly ordered. For a pair of prime ideals of R , each
 37 is comparable with M . Thus since T is a TP domain, if either prime is
 38 contained in M , then the two are comparable. On the other hand if
 39 neither is contained in M , then both properly contain M and their
 40 images in D will be noninvertible (Fontana and Gabelli, 1996, Corollary
 41 1.7) and therefore comparable since D is a TP domain. It follows that R
 42 is a TP domain. \blacklozenge

1 Recall from Hedstrom and Houston (1978) that a domain R is
 2 pseudo-valuation domain if it is quasilocal and shares its maximal ideal
 3 with a valuation domain which necessarily must contain R and be unique.
 4 In terms of pullbacks, R is a pseudo-valuation domain if and only if there
 5 is a valuation domain V with maximal ideal M and a subfield F of V/M
 6 such that R is the pullback in the following diagram

$$\begin{array}{ccc} R & \longrightarrow & F \\ \downarrow & & \downarrow \\ V & \xrightarrow{f} & V/M \end{array}$$

7
 8
 9
 10
 11
 12 (Anderson and Dobbs, 1980, Proposition 2.6). It follows that each
 13 pseudo-valuation domain is a TP domain (see Heinzer and Papick, AQ2
 14 Example 2.12) for the classical “ $D+M$ ” case where $V=L+M$ and
 15 $R=F+M$).

16
 17 **Corollary 16.** *Let P be a prime ideal of a domain R . If $(P:P)$ is quasilocal
 18 with maximal ideal P , then R is a TP domain if and only if both $(P:P)$ and
 19 $(P:P)/P$ are TP domains.*
 20

21 22 23 4. M A RADICAL IDEAL T

24 Now consider the following situation. Let T be a domain with a
 25 radical ideal M for which T/M contains a field F and each minimal
 26 prime of M is a maximal ideal of T . Let S be the pullback of the
 27 following diagram:

$$\begin{array}{ccc} S & \longrightarrow & F \\ \downarrow & & \downarrow \\ T & \longrightarrow & T/M \end{array} \quad (\square_2)$$

28
 29
 30
 31
 32
 33 [Note that while we are primarily concerned with the case where M is
 34 NOT a maximal ideal of T , we shall not make such an assumption in this
 35 section even though we have taken care of the case that M is a maximal
 36 ideal of T above in Theorems 11, 12 and 13.]
 37

38
 39 **Theorem 17.** *For diagram \square_2 , S is an LTP domain if and only if T is an
 40 LTP domain. Moreover, if S is an LTP domain and $T=(M:M)$, then for
 41 each maximal ideal M_α containing M , M_α is either idempotent or invertible
 42 as an ideal of T .*

1 *Proof.* We start by proving the second statement. So assume S is an
 2 LTP domain and that $T=(M:M)$ (with M a radical ideal of T where
 3 each minimal prime is maximal). Let M_x be a maximal ideal of T that
 4 contains M . We may assume M_x is not an invertible ideal of T , which
 5 means it is a trace ideal of T . Thus by Kabbaj et al. (1999, Lemma 6)
 6 (Lemma 8 above), we have that MM_x is a trace ideal of S . But MM_x is
 7 an M -primary ideal of S , hence we have $M=MM_x$ since S is an LTP
 8 domain. By checking locally in T we see that M_x is idempotent.

9 (\Rightarrow) Assume S is an LTP domain and let Q' be a primary ideal of T
 10 which is also a trace ideal of T . Let $Q=Q' \cap S$, $P'=\sqrt{Q'}$ and $P=P' \cap S$.
 11 Since M is an ideal of both T and S , $Q'M \subseteq Q$. We have three cases to
 12 consider.

13 **Case 1.** $P+M=S$.

14 In this case $Q'M=Q' \cap M=Q \cap M$ is a trace ideal of S . Since S is an
 15 LTP domain and P is minimal over $Q'M$, we have $Q'T_{P'}=QS_P=Q'$
 16 $MS_P=PS_P=P'T_{P'}$. Hence $Q'=P'$.

18 **Case 2.** $P \subset M$.

19 Since $MQ' \subseteq Q$, $M(S:Q) \subseteq (T:Q')$. As S is an LTP domain and P is
 20 not a maximal prime, $Q(S:Q)=P$. It follows that $MP=MQ(S:Q) \subseteq Q'$
 21 $(T:Q')=Q'$. Since $P \neq M$, $MPS_P=PS_P=P'T_{P'}$. Thus $Q'T_{P'}=P'T_{P'}$ and
 22 it follows that $Q'=P'$.

23 **Case 3.** $P=M$.

24 In this case the ideal MQ' is an M -primary ideal of S . Since S is an
 25 LTP domain, we have $M \subseteq MQ'(S:MQ')$. Hence $M \subseteq Q'(M(T:MQ')) \subseteq$
 26 $Q'(T:Q')=Q'$. But $MT_{P'}=P'T_{P'}$ since M is a radical ideal of T and P' is
 27 minimal over M . Therefore we again have $Q'=P'$.

29 (\Leftarrow) Assume T is an LTP domain and let Q be a primary ideal of S
 30 which is also a trace ideal. Let $P=\sqrt{Q}$ and $J=Q(T:Q)$. Then
 31 $JM \subseteq Q(S:Q)=Q$.

32 **Case 1.** $P \neq M$.

33 Since $P \neq M$, there is a unique prime P' of T that contracts to P and
 34 P' must be minimal over J . As J is a trace ideal of T and P' does
 35 not contain M , $JMT_{P'}=JT_{P'}=P'T_{P'}$. Furthermore, $QS_P=QT_{P'}$ and
 36 $PS_P=P'T_{P'}$. Hence $QS_P=PS_P$ and it follows that $Q=P$.

38 **Case 2.** $P=M$.

39 Let M_x be a maximal ideal of T that contains M . Since each mini-
 40 mal prime of M is a maximal ideal of T , M_x is minimal over M and
 41 therefore, $MT_{M_x}=M_xT_{M_x}$. Since Q is a trace ideal of S and is contained
 42 in M , $(S:Q)=(Q:Q)$ contains T . Thus Q is an ideal of T . As Q is

1 M -primary (as an ideal of S), it suffices to show that $QT_{M_\alpha} = MT_{M_\alpha}$. Let
 2 $Q' = QT_{M_\alpha} \cap T$. By way of contradiction assume $Q' \neq M_\alpha$. Since M_α is a
 3 maximal ideal of T and Q' is M_α -primary, $(T:Q')T_{N'} = T_{N'}$ for each
 4 maximal ideal $N' \neq M_\alpha$. Thus $M_\alpha Q(T:Q')T_{N'} = Q(T:Q')T_{N'} = QT_{N'} \subseteq$
 5 $MT_{N'}$. If Q' is an invertible ideal of T , then $M_\alpha Q'(T:Q') = M_\alpha$ and
 6 $QT_{M_\alpha} \subseteq M_\alpha Q(T:Q')T_{M_\alpha} = M_\alpha T_{M_\alpha} = MT_{M_\alpha}$. It follows that $(S:Q)$ con-
 7 tains $M_\alpha(T:Q')$ and we get a contradiction since $Q(S:Q) = Q$ and
 8 $QM_\alpha(T:Q')T_{M_\alpha}$ properly contains QT_{M_α} . If Q' is not invertible, then
 9 $Q'(T:Q') = M_\alpha$ and it follows that $QT_{M_\alpha} \subseteq Q(T:Q')T_{M_\alpha} = M_\alpha T_{M_\alpha}$. In
 10 this case $(S:Q)$ contains $(T:Q')$ and we get a contradiction since
 11 $Q(S:Q) = Q$ and $Q(T:Q')T_{M_\alpha}$ properly contains QT_{M_α} . Thus $Q' = M_\alpha$.
 12 Since M_α was an arbitrary maximal ideal of T that contains M and
 13 Q , we have $Q = M$. ◆

14
 15 For diagram \square_2 , let D be a domain with quotient field F and let R be
 16 the pullback of the following diagram:

$$\begin{array}{ccc}
 R & \longrightarrow & D \\
 \downarrow & & \downarrow \\
 T & \longrightarrow & T/M
 \end{array}
 \tag{\square_3}$$

22
 23 By combining Theorems 11 and 17 we have the following corollary.

24
 25 **Corollary 18.** *In diagram \square_3 , R is an LTP domain if and only if both T*
 26 *and D are LTP domains.*

27
 28
 29 In general, we have not been able to extend the equivalence in The-
 30 orem 17 to either TPP domains or RTP domains. However, we have been
 31 successful if we also require that M is an irredundant intersection of its
 32 minimal primes (and each such minimal prime is a maximal ideal of
 33 T). This is the subject of our next section.

34
 35
 36
 37 **5. M AN IRREDUNDANT INTERSECTION**

38
 39 Let T be a domain with a radical ideal M which is an irredundant
 40 intersection of its minimal primes and for which each such minimal prime
 41 is a maximal ideal of T . Let F be a field contained in T/M and let S be the
 42 pullback of the following diagram

$$\begin{array}{ccc}
 1 & S & \longrightarrow & F \\
 2 & \downarrow & & \downarrow \\
 3 & & & \\
 4 & T & \longrightarrow & T/M \\
 5 & & &
 \end{array}
 \quad (\square_4)$$

6 [As in the previous section we will not assume that M cannot be a max-
 7 imal ideal of T . On the contrary, that is simply a very special case that
 8 matches our assumption for this section.]

9 Recall from above that \mathcal{M} denotes the set of prime ideals of T which
 10 are minimal over M . For each ideal J of T , we let
 11 $J_d = \bigcap \{M_\alpha \in \mathcal{M} \mid J \not\subseteq M_\alpha\}$ ($= T$ if no such M_α s exist).

12
 13 **Lemma 19.** *Let T and S be the rings in diagram \square_4 and let J be an ideal*
 14 *of T and $I = J \cap J_d$. Then*

- 15
 16 (a) *$I = JJ_d$ is an ideal of S and for each maximal ideal N' containing*
 17 *J , $IT_{N'} = JT_{N'}$. Moreover, if $N = N' \cap S$ is not equal to M , then*
 18 *$IS_N = IT_{N'} = JT_{N'}$.*
 19 (b) *J is a radical ideal of T if and only if I is a radical ideal of S .*
 20 (c) *$J_d J(T:J)M = MI(T:J) \subseteq I(R:I)$.*
 21 (d) *If J is a trace ideal of T , then I is a trace ideal of S .*
 22 (e) *If $J = Q'$ is a P' -primary ideal of T , then $JJ_d = Q'J_d \subseteq Q = Q' \cap S$*
 23 *with equality if $J + M \neq T$.*

24
 25 *Proof.* Since the set of maximal ideals of T that contain M is irredundant,
 26 J and J_d are comaximal. Hence $I = J \cap J_d = JJ_d$. It follows that if
 27 N' is a maximal ideal of T that contains J , then $IT_{N'} = JT_{N'}$. If $N = N' \cap S$
 28 is not M , then $S_N = T_{N'}$ and we also have $IS_N = IT_{N'} = JT_{N'}$.

29 Obviously, if J is a radical ideal of T , then I is a radical ideal of S .
 30 For the converse, note that if P' is a prime ideal of T that contains I
 31 and does not contain M , then $I \subseteq P' \cap M = P \cap M$ where $P = P' \cap R$. It
 32 follows that I is also a radical ideal of T . Thus by (a), J is a radical ideal
 33 of T .

34 Since M is an ideal of T , $MJ(T:J) \subseteq M$. From this it is easy to see
 35 that $MI(T:J) \subseteq I(R:I)$.

36 Assume J is a trace ideal of T . Let J_1 denote the intersection of those
 37 maximal ideals which are not invertible and contain M and not J , and let
 38 J_2 denote the intersection of those maximal ideals which are invertible and
 39 contain M and not J . Since J_d is an irredundant intersection of maximal
 40 ideals, $J_d = J_1 \cap J_2 = J_1 J_2$. Since J_1 is an irredundant intersection of prime
 41 trace ideals of T , J_1 is a trace ideal of T (Houston et al., 2000,
 42 Proposition 3.13). Since the only prime ideals of T that contain J_2 are

1 invertible maximal ideals of T , J_2 is an invertible ideal of T . We cannot
 2 also have $J_2(S:J_2) = S$ (unless $S = T$). However, we do have $MJ_2(T:J_2) =$
 3 M . It follows that $J_2(S:J_2) = M$. Let $t \in (R:J)$. Since $I = JJ_d = JJ_1J_2$, we
 4 have $tJJ_1 \subseteq (S:J_2)$, $tJJ_2 \subseteq (J_1:J_1)$ and $tJ_d = tJ_1J_2 \subseteq (J:J)$. Thus $tI \subseteq$
 5 $M \cap J \cap J_1 = J \cap J_d = I$. Therefore I is a trace ideal of S .

6 By (a), $JJ_d \subseteq S$. Hence, $JJ_d = Q'J_d \subseteq Q = Q' \cap S$. In the case
 7 $J + M \neq T$, $J \cap S \subseteq M$. Hence $Q = Q' \cap S = J \cap S = J \cap M = J \cap J_d$. \blacklozenge

8

9 **Theorem 20.** For diagram \square_4 , S is a TPP domain if and only if T is a
 10 TPP domain.

11

12 *Proof.* (\Rightarrow) Assume S is a TPP domain and let Q' be a P' -primary ideal
 13 of T . In any case we have $M^2Q'(T:Q') \subseteq Q(S:Q)$.

14

15 **Case 1.** $Q' + M = T$.

16 In this case we also have $Q + M = S$. By checking locally, it is easy to
 17 show that $(S:Q) \subseteq (T:Q')$. If $Q(S:Q) = S$, then $Q'(T:Q') = T$. If
 18 $Q(S:Q) = P$, we have $M^2Q'(T:Q') \subseteq P = Q(S:Q) \subseteq Q'(T:Q')$. Again by
 19 checking locally, we have $Q'(T:Q') = P'$.

20

21 **Case 2.** $Q' + M \neq T$.

22 In this case $Q'Q'_d = Q$ and $P'Q'_d = P$. Thus $Q'Q'_d(S:Q) = Q(S:Q)$
 23 $\subseteq S$ and we also have $Q'_d(S:Q) \subseteq (T:Q')$. If $P \neq M$, then $P \subset M$. Thus
 24 $P = Q(S:Q) = Q'Q'_d(S:Q) \subseteq Q'(T:Q')$ and $MQ'_dQ'(T:Q') \subseteq Q(S:Q) = P$.
 25 P . Hence $P \subseteq Q'(T:Q') \subseteq P'$. As $PT_{N'} = P'T_{N'}$ for each maximal ideal
 26 containing P' , we have $Q'(T:Q') = P'$. If $P = M$, then P' is a maximal
 27 ideal of T . It follows that $Q'(T:Q')$ contains P' since T is an LTP
 28 domain.

29 (\Leftarrow) Assume T is a TPP domain and let Q be a P -primary ideal of S
 30 with $P \neq M$. Since S is an LTP domain, we may assume that P is not a
 31 maximal ideal of S .

32 Since P is not a maximal ideal of S , P' is not a maximal ideal of T .
 33 Thus $Q'(T:Q') = P'$. If M contains P , we have $Q(S:Q) \subseteq Q(T:Q') =$
 34 $Q'_dQ'(T:Q') = Q'_dP' = P$. Hence $Q(S:Q) = P$. If M does not contain P ,
 35 we at least have $Q + M^2P \subseteq Q + M^2Q'(T:Q') \subseteq Q(S:Q) \subseteq Q'(T:Q') = P'$.
 36 P' . By checking locally in S we find that $Q(S:Q) = P$. \blacklozenge

37

38 **Theorem 21.** For diagram \square_4 , S is an RTP domain if and only if T is an
 39 RTP domain.

40

41 *Proof.* (\Rightarrow) Assume S is an RTP domain and let J be a trace ideal of T .
 42 Let $I = JJ_d$. By Lemma 19, I is a trace ideal and for each maximal ideal N'

1 containing J , $IT_{N'} = JT_{N'}$. Since S is an RTP domain, I is a radical ideal
 2 of S . Hence by Lemma 19, J is a radical ideal of T .

3 (\Leftarrow) Assume T is an RTP domain and let I be a trace ideal of S . Let
 4 $J = I(T : J)$. Since $I(S : I) = I$, we have $JM \subseteq I$.

5
 6 **Case 1.** $M + J = T$ and $I \subseteq M$.

7 In this case $MJ = M \cap J = I$. As both J and M are radical ideals of T ,
 8 I is a radical ideal of both S and T .

9
 10 **Case 2.** $I + M = S$.

11 For each maximal ideal N containing I , we have $JT_{N'} = MJT_{N'} \subseteq$
 12 $IT_{N'} = IS_N \subseteq JT_{N'}$ where N' is the unique maximal ideal of T that con-
 13 tracts to N . As J is a radical ideal of T , I is a radical ideal of S .

14 **Case 3.** $I \subseteq M$ and $M + J \neq T$.

15 In this case we have $JJ_d = J \cap J_d \subseteq I \subseteq J \cap M = J \cap J_d$. Hence I is a
 16 radical ideal of both S and T . ◆

17
 18 For the diagram \square_4 , let D be a domain contained in F and let R be
 19 the pullback of the following diagram :



22
 23
 24
 25
 26 The next corollary follows from combining the appropriate results above;
 27 namely Theorems 12 and 20 and Theorems 13 and 21.

28
 29 **Corollary 22.** *For diagram \square_5 , R is a TPP (RTP) domain if and only if*
 30 *both T and D are TPP (RTP) domains.*

31
 32 In the next section we shall drop the requirement that M be a radical
 33 ideal of T . Instead we consider the case when the radical of M in T is an
 34 invertible ideal of T .
 35
 36
 37

38 **6. \sqrt{M} INVERTIBLE IN T**

39
 40 **Lemma 23.** *Let R be an LTP domain and let J be an ideal for which each*
 41 *minimal prime is maximal. For each maximal ideal M_α containing J , let*
 42 *$J_\alpha = JR_{M_\alpha} \cap R$. If \sqrt{J} is invertible, then the intersection $\bigcap J_\alpha$ is irredundant.*

1 *Proof.* By Lemma 9, J and each ideal that contains J is invertible. As in
 2 the proof of Lemma 9, for each M_β containing J , there is an element
 3 $s \in R \setminus M_{;\beta}$ such that $sJ_{;\beta} \subseteq J$. As the ideals J_α are incomparable, s is con-
 4 tained in each J_α except for J_β . Thus the intersection $\bigcap J_\alpha$ is
 5 irredundant. \blacklozenge

6
 7 In our next pullback construction, we no longer assume that M is a
 8 radical ideal of T . What we will substitute is the assumption that M is an
 9 ideal of T whose radical in T is an invertible ideal of T . As M is a max-
 10 imal ideal of S , no confusion should arise if we denote the radical of M in
 11 T as \sqrt{M} . We will continue to have the assumption that each minimal
 12 prime of M in T is a maximal ideal of T and that T/M contains a field
 13 F . With all of these assumptions, let S be the pullback of the following
 14 diagram:

$$\begin{array}{ccc} S & \longrightarrow & F \\ \downarrow & & \downarrow \\ T & \longrightarrow & T/M \end{array} \quad (\square_6)$$

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 21 As in diagram \square_4 , we use M_α to denote a maximal ideal of T that con-
 22 tains M and use \mathcal{M} to denote the set of such ideals. Since we are no
 23 longer assuming M is a radical ideal of T , MT_{M_α} need not be equal
 24 to $M_\alpha T_{M_\alpha}$ for each M_α in \mathcal{M} . We use Q_α to denote the M_α -primary
 25 component of M ; i.e., $Q_\alpha = MT_{M_\alpha} \cap T$ for each $M_\alpha \in \mathcal{M}$. For each ideal
 26 J of T , we let $J_b = \bigcap \{Q_\alpha \mid J \subseteq M_\alpha, M_\alpha \in \mathcal{M}\}$ and $J_a = \bigcap \{Q_\alpha \mid J \subseteq M_\alpha,$
 27 $M_\alpha \in \mathcal{M}\}$.

28
 29 **Theorem 24.** *For diagram \square_6 , S is an LTP domain if and only if T is an*
 30 *LTP domain. Moreover, when this is the case, then M is an invertible ideal*
 31 *of T .*

32
 33 *Proof.* As in the proof of Theorem 17, we need only show that a pri-
 34 mary ideal can be a trace ideal only if it is prime. Even though we no
 35 longer have that M is a radical ideal, the proof given for those cases in
 36 Theorem 17 where the radical of the primary ideal does not contain M
 37 are valid here. Thus we need only be concerned with those primary ideals
 38 which are trace ideals and whose radicals contain M .

39 (\Rightarrow) Assume S is an LTP domain and let Q' be a primary ideal of T
 40 which is also a trace ideal. Let $Q = Q' \cap S$, $P' = \sqrt{Q'}$ and $P = P' \cap S$. As Q'
 41 is a trace ideal of T so is P' (Houston et al., 2000, Proposition 2.1). If P'
 42 does not contain M , repeat the proof given for Cases 1 and 2 (\Rightarrow) in

1 Theorem 17 to show that $Q' = P'$. To complete the proof we will show
2 that P' cannot contain M .

3 Since \sqrt{M} is an invertible ideal of T , each maximal ideal containing
4 M is locally principal. It follows that M is locally principal as an ideal of
5 T and, therefore, $(S : M) = (M : M) = T$. Assume P' contains M and con-
6 sider the ideal $P'M$. As M is locally principal, $P'M \neq M$. Since P' is a
7 trace ideal of T and $(S : M) = (M : M) = T$, we have $(S : P'M)P'M =$
8 $((S : M) : P')P'M = (T : P')P'M = P'M$. Thus $P'M$ is proper M -primary
9 trace ideal of S . Since S is an LTP domain, this is impossible. Hence P'
10 cannot contain M and T is an LTP domain.

11 (\Leftarrow) Assume T is an LTP domain and let Q be a primary ideal of S
12 which is also a trace ideal. Let P be the radical of Q (as an ideal of S). If P
13 is not equal to M , repeat the proof given for Case 1 (\Leftarrow) in Theorem 17.

14 Assume $P = M$. By Lemma 9, M is an invertible ideal of T . Since Q is
15 M -primary, $(S : Q) = (Q : Q)$ contains $(S : M) = (M : M) = T$. Thus Q is an
16 ideal of T with the same radical as M . Hence Q is an invertible ideal of T
17 and we have $M = MQ(T : Q)$. Therefore, $Q = M$. \blacklozenge

18

19

20 For the rings S and T in diagram \square_6 , if either is a TPP domain or an
21 RTP domain, then both are LTP domains and, therefore by Lemma 9,
22 each ideal in \mathcal{M} is invertible and the intersection $\bigcap_{M_x \in \mathcal{M}} M_x$ is irredundant.
23

24

25 **Lemma 25.** *Let T and S be the rings in diagram \square_6 and let J be an ideal*
26 *of T and $I = JM$. If T is an LTP domain, then*

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(a) $J \cap J_b = JJ_b$ and $I = JJ_a J_b$.

(b) If J is a trace ideal of T , then $JJ_a = J$, $I = JJ_b = J \cap J_b = J \cap M$
and I is a trace ideal of S .

(c) If Q' is a primary ideal of T whose radical P' is neither maximal
nor comaximal with M , then $Q'Q'_a = Q'$ and $Q' \cap S =$
 $Q' \cap M = Q'Q'_b$.

Proof. Assume T is an LTP domain. Then by Lemma 9, each ideal in \mathcal{M}
is invertible and the intersection $\bigcap_{M_x \in \mathcal{M}} M_x$ is irredundant. Moreover, by
Lemma 23, each Q_x is invertible and the intersection $\bigcap_{M_x \in \mathcal{M}} Q_x$ is irredundant.
It follows that J and J_b are comaximal and that $M = J_a J_b$. Thus
 $J \cap J_b = JJ_b$ and $I = JJ_a J_b$. We also have that both J_a and J_b are invertible.

Since M is an invertible ideal of T , $(S : M) = (M : M) = T$. It follows
that $(S : I)I = (S : JM)JM = ((S : M) : J)JM = (T : J)JM$. Thus I is a trace
ideal of S if J is a trace ideal of T .

1 Assume J is a trace ideal of T . Since J_a is invertible, if it contains J ,
 2 then $J_a(T:J) = (T:J)$ and it follows that $JJ_a = JJ_a(T:J) = J(T:J) = J$.
 3 As $M = J_a \cap J_b = J_a J_b$ we also have $I = JJ_b = J \cap J_b = J \cap M$. To establish
 4 (2), all that remains is to show that J_a contains J . We will do this
 5 locally. Let N' be a maximal ideal of T . If N' does not contain M , then
 6 it cannot contain J_a . Thus $JT_{N'} = J_a JT_{N'} \subseteq J_a T_{N'}$. If N' contains M and
 7 does not contain J , then both $JT_{N'}$ and $J_a T_{N'}$ are equal to $T_{N'}$. If N'
 8 contains both M and J , then N' is invertible and $(T:J)$ contains
 9 $(T:N')$. It follows that $N'(T:J) = (T:J)$ and, therefore,
 10 $N'J = N'J(T:J) = J(T:J) = J$. As $J_a T_{N'} = N'^k T_{N'}$ for some positive integer
 11 k , we have $JT_{N'} = N'^k JT_{N'} = J_a JT_{N'} \subseteq J_a T_{N'}$. Therefore J_a contains J
 12 and the proof of (2) is complete.

13 For (3), let Q' be a primary ideal of T with radical P' and assume P' is
 14 neither maximal nor comaximal with M . Let M_x be a maximal ideal that
 15 contains both P' and M . Since M_x is invertible, there is an element $r \in M_x$
 16 such that $rT_{M_x} = M_x T_{M_x}$. Let p be an element of Q' . Then there is an
 17 element $s \in T_{M_x}$ such that $p = sr$. As Q' is P' -primary and r is not in P' ,
 18 s must be in $Q' T_{M_x}$. It follows that $Q'_a Q' T_{M_x} = Q' T_{M_x}$. Thus $Q' Q'_a = Q'$.

19 Since P' and M are not comaximal, $Q' \cap S = Q' \cap M = Q' \cap Q'_a \cap Q'_b$.
 20 As $Q' Q'_a = Q'$ and $Q' + Q'_b = T$, $Q' \cap Q'_a = Q'$ and $Q' \cap Q'_b = Q' Q'_b$. Thus
 21 $Q' \cap S = Q' Q'_b$. ◆

22

23 **Theorem 26.** For diagram \square_6 , S is a TPP domain if and only if T is a TPP
 24 domain.

25

26 *Proof.* (\Rightarrow) Assume S is a TPP domain and let Q' be a primary ideal of
 27 T . Let $Q = Q' \cap S$, $P' = \sqrt{Q'}$ and $P = P' \cap S$. Since Q' is P' -primary, the
 28 ideals Q'_b and P'_b coincide as do the ideals Q'_a and P'_a . Since S is also
 29 an LTP domain, T is an LTP domain. Thus we at least have
 30 $P' T_{P'} \subseteq Q'(T:Q') T_{P'}$. If P' is maximal, this is all we need to show. Hence
 31 we may assume P' is not maximal. It follows that $P' T_{P'} = Q'(T:Q') T_{P'}$
 32 and P' is a trace ideal of T so $P' P'_a = P'$ and $P' \cap M = P' P'_b$. We also have
 33 that P is a trace ideal of S and $Q(S:Q) = P$. Thus $M^2 Q'(T:Q') \subseteq$
 34 $Q(S:Q) = P$ and $MQ(S:Q) = MP \subseteq Q'(T:Q')$. If M and P' are comaxi-
 35 mal, $MPT_{N'} = PT_{N'} = P' T_{N'}$ for each maximal ideal N' containing P'
 36 and, therefore, $Q'(T:Q') = P'$. If M and P' are not comaximal, then
 37 $P = P' \cap M = P' P'_b = P' M$ and $Q = Q' \cap M = Q' P'_b$. It follows that
 38 $MP = P' P'_b{}^2 \subseteq Q'(T:Q')$. Checking locally we find $P' = Q'(T:Q')$ since
 39 Q' and P'_b are comaximal.

40 (\Leftarrow) Assume T is a TPP domain and let Q be a P -primary ideal of S .
 41 If $P = M$, then QT is an invertible ideal of T . Hence we have
 42 $M = MQ(T:Q)$. It follows that $Q(S:Q)$ contains M .

1 If $P \neq M$, then there is a unique prime ideal P' of T that contracts to
 2 P and a unique P' -primary ideal Q' that contracts to Q . We again have
 3 $M^2Q'(T:Q') \subseteq Q(S:Q)$ and $M(S:Q) \subseteq (T:Q')$. Since S is an LTP
 4 domain, if P is a maximal ideal of S , we will have $P \subseteq Q(S:Q)$. Thus
 5 we can assume P is not maximal. Since T is a TPP domain, we have
 6 $Q'(T:Q') = P'$ so $Q(S:Q)$ contains $M^2P' = P'P_b^2$. If P and M are comax-
 7 imal, we obtain the desired conclusion that $Q(S:Q) = P$ by checking
 8 locally in S . If M contains P , then we have $P = P'P'_b = P'M$ and
 9 $Q = P'_bQ' = Q'M$ by Lemma 25. Hence $Q(S:Q) \subseteq Q(T:Q') =$
 10 $P'_bQ'(T:Q') = P'_bP' = P$. ◆

11

12 **Theorem 27.** For diagram \square_6 , S is an RTP domain if and only if T is an
 13 RTP domain.

14

15 *Proof.* (\Rightarrow) Assume S is an RTP domain and let J be a trace ideal of T .
 16 Then \sqrt{J} is also a trace ideal of T (Houston et al., 2000, Proposition 2.1)
 17 and T is an LTP domain. Let $I = JM$ and $C = \sqrt{J}M$. By Lemma 25,
 18 $I = JJ_b$, $C = \sqrt{J}J_b$ and both are a trace ideals of S . Since S is an RTP
 19 domain, both I and C are radical ideals of S . It follows that $I = C$ so
 20 $JJ_b = \sqrt{J}J_b$. Since no maximal ideal of T can contain both J and J_b ,
 21 we find that $J = \sqrt{J}$ by checking locally.

22 (\Leftarrow) Assume T is an RTP domain and let I be a trace ideal of S . Let
 23 $J = I(T:J)$. Since $I(S:I) = I$, we have $JM \subseteq I$. By Lemma 25, $JM = J \cap M$.
 24 Since T is an RTP domain, J is a radical ideal of T . Thus $J \cap S$ is a radical
 25 ideal of S . If I and M are comaximal, we find that $I = J \cap S$ by checking
 26 locally in S . If I and M are not comaximal, then M contains I and we
 27 have $J \cap M = JM \subseteq I \subseteq J \cap M$. Thus in either case, I is a radical ideal of
 28 S . Therefore, S is an RTP domain. ◆

29

30 For diagram \square_6 , let D be a domain contained in F and let R be the
 31 pullback of the following diagram:

32

$$\begin{array}{ccc}
 R & \longrightarrow & D \\
 \downarrow & & \downarrow \\
 T & \longrightarrow & T/M
 \end{array}
 \tag{\square_7}$$

33

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 35
 36
 37
 38 Combining Theorem 27 with Theorems 11, 12 and 13 we have the
 39 following.

40

41 **Corollary 28.** For diagram \square_7 , R is an LTP (TPP) [RTP] domain if and
 42 only if T and D are LTP (TPP) [RTP] domains.

1 If T is a Dedekind domain, then both M and its radical in T are
 2 invertible and (rather trivially) T is a TP domain.

3
 4 **Corollary 29.** For diagram \square_7 , assume further that T is a Dedekind
 5 domain. Then R is an LTP (TPP) [RTP] domain if and only if D is an
 6 LTP (TPP) [RTP] domain.

7
 8 Next, we extend the results of Corollary 29 to the trace property.

9
 10 **Theorem 30.** For diagram \square_7 , assume further that T is a Dedekind
 11 domain. Then R is a TP domain if and only if D is a TP domain.

12
 13 *Proof.* Let P be a (nonzero) prime ideal of R other than M . Then P is
 14 either the contraction of a maximal ideal N' of T or P is the inverse
 15 image of a nonzero prime \bar{P} of D . If $P = N' \cap R$, then it is invertible
 16 as an ideal of R . If P is the inverse image of some prime \bar{P} of D , then
 17 P is invertible if and only if \bar{P} is invertible (Fontana and Gabelli, 1996,
 18 Corollary 1.7).

19
 20 Now, if R is a TP domain, then R/P is a TP domain for each prime
 21 ideal P (Cahen and Lucas, 1997, Corollary 11). Thus R is a TP domain
 22 only if D is a TP domain. Conversely, if D is a TP domain, then the non-
 23 invertible prime ideals of D are linearly ordered. It follows that the non-
 24 invertible prime ideals of R are linearly ordered. The conclusion follows
 25 from Corollary 29 and the fact that a domain is a TP domain if and only
 26 if it is an RTP domain for which the noninvertible primes are linearly
 27 ordered (Cahen and Lucas, 1997, Corollary 11). \blacklozenge

28 29 30 7. EXAMPLES

31
 32 We conclude with three examples. In the first two, we show that T
 33 can have a trace property while S does not when we only have that M ,
 34 and not the radical of M in T , is invertible as an ideal of T even if the
 35 radical of M in T is a maximal ideal. In the first of these, T is a Noether-
 36 ian domain whose integral closure is a PID. In the second, T is one-
 37 dimensional valuation domain which is not Noetherian. The third is
 38 the one promised with regard to TP domains and diagram \square_1 .

39
 40 **Example 31.** Let $T = F[x^2, x^3]$ and $S = F[x^2, x^5]$ with $M = (x^2, x^5)S$.
 41 Then T is an RTP domain and $M = x^2T$ is an invertible ideal of T ,
 42 but the radical of M in T is the maximal ideal $N = (x^2, x^3)T$ which is

1 not invertible (as an ideal of T , but is invertible in $F[x]=(T:N)$). The
 2 ring S is not even an LTP domain. The ideal $I=(x^4, x^5)S$ is a proper
 3 M -primary trace ideal of S .

4

5 **Example 32.** Let T be a one-dimensional valuation ring of the form
 6 $F+N$ which is not discrete and let x be a nonzero nonunit of T . Let
 7 $M=xT$ and $S=F+M$. Since T is a valuation domain, it has the trace
 8 property. Obviously, M is an invertible ideal of T , but its radical is
 9 not. The ideal $I=xN$ is a proper M -primary trace ideal of S . Thus S is
 10 not even an LTP domain.

11

12 **Example 33.** Let F be a field and let X and Y be indeterminates over F .
 13 Set $T=F[Y]+xF(Y)[X]$, $M=(x+1)F(Y)[X] \cap T$ and $Q=xF(Y)[X]$. Let R
 14 be the pullback in the following diagram:

15

$$\begin{array}{ccc} 16 & R & \longrightarrow & D = F[Y] \\ 17 & \downarrow & & \downarrow \\ 18 & T & \longrightarrow & T/M. \end{array}$$

20

21 Then

22

- 23 (a) Both T and D are TP domains.
 24 (b) $J=M \cap Q$ is a trace ideal of R that is not a prime ideal.
 25 (c) R is not a TP domain.

26

27 *Proof.* Since $D=F[y]$ is a PID, it is a TP domain. For T , first note that
 28 Q is a common prime ideal of T and $F(y)[x]$. Thus, as both $F[Y]$ and
 29 $F(y)[x]$ are PIDs, T is a TP domain by Theorem 30. We also have that
 30 $M=(X+1)T$ (Costa et al., 1978, Theorem 4.21), so it is an invertible
 31 maximal ideal of T . Therefore, $(M:M)=T$. As $Q+M=T$, we have that
 32 $T/M=F(y)[x]/(x+1)=F(y)$ and that $J=QM$. Now $(R:M)=(M:M)=$
 33 T by (Houston et al. (2000, Corollary 3). Similarly, $(T:Q)=(Q:Q)=$
 34 $F(y)[x]$. It follows that $(R:J)=(R:QM)=((R:M):Q)=(T:Q)=$
 35 $(Q:Q) \subseteq (QM:QM)=(J:J)$. So J is a trace ideal of R . But, obviously,
 36 J is not a prime ideal of R . Hence R is not a TP domain. \blacklozenge

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