

EE 577: Wireless and Personal Communications

Chapter 06: Digital Modulation

What is Modulation?

- Modulation is the process of converting a baseband signal to a bandpass signal.
- A high frequency “carrier” is modulated by the original “modulating” signal to give a “modulated” wave.
- Why not propagate the baseband signal?
 - Baseband signals are not suitable for propagation
 - Antenna dimensions would be impractical
 - We can't all use the same low frequency spectrum at the same time

Analog vs. Digital Modulation

- The primary difference between analog and digital modulation is the source information
- If the source is analog, the modulation is analog.
- If the source is digital, the modulation is digital.
- The carrier is always analog

Analog Modulation Techniques

- Amplitude Modulation (AM)
- Angle Modulation
 - Frequency Modulation (FM)
 - Phase Modulation (PM)
- Angle Modulation is more resistant to noise than AM
- AM occupies less bandwidth

Angle Modulation

□ **Two types:** Frequency Modulation and Phase Modulation

□ Both types have the general form for the transmitted signal, $s(t)$:

$$s(t) = A_c \cos(\Theta(t))$$

□ Here, $\Theta(t)$ is the total phase and it will be a function of the data message signal, $m(t)$

Phase Equation

□ **FM:**

$$\Theta(t) = 2\pi f_c t + 2\pi k_f \int_{-\infty}^t m(\tau) d\tau$$

□ **PM:**

$$\Theta(t) = 2\pi f_c t + 2\pi k_p m(t)$$

Modulation Index

- **FM:** $\beta_{FM} = k_f A_m / W = \Delta f / W$
- **PM:** $\beta_{PM} = k_p A_m$

where:

A_m is the amplitude of the message

W is the message bandwidth

Δf is the max frequency deviation

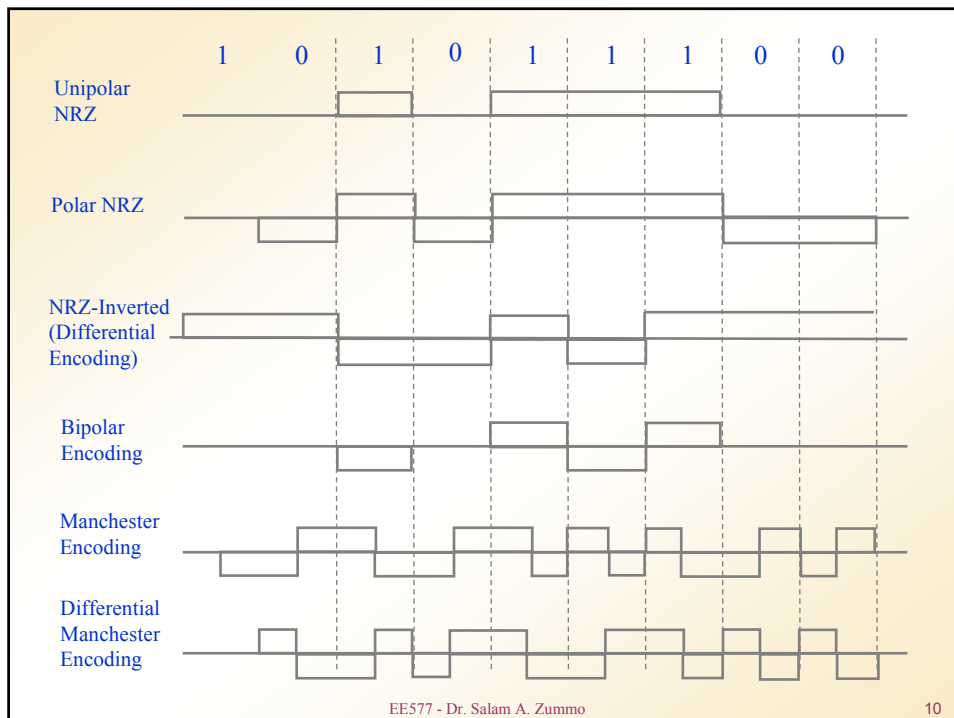
Analog Modulation

- The FM and PM spectrum is composed of an infinite series of discrete lines.
- The transmitted signal is not naturally bandlimited.
- Carson's Rule is frequently used to estimate the transmission bandwidth:

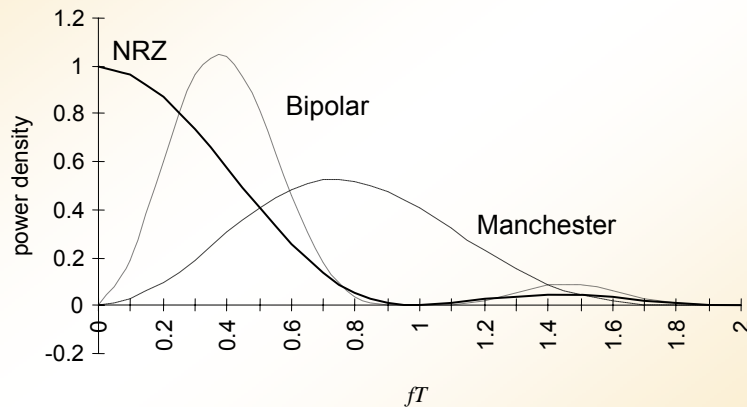
$$B_T = 2(\beta_f + 1)W$$

Digital Baseband Transmission (Line Coding)

- ❑ Method of converting a binary sequence into a digital signal.
- ❑ Design considerations:
 - ❑ Synchronization (ease of extracting bit timing)
 - ❑ Average transmission power
 - ❑ Inherent error detection
 - ❑ Power spectral density properties



Power Spectral Density (PSD)



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Channel Capacity

- ❑ **Shannon** showed that the channel capacity, (C in bps), is the max transmission rate and is a function of the transmission bandwidth, B , and the received SNR

$$C = B \log_2(1 + \text{SNR}), \quad (\text{AWGN})$$

- ❑ Modulation systems cannot reach this limit without using error-control coding

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Bandwidth Definitions

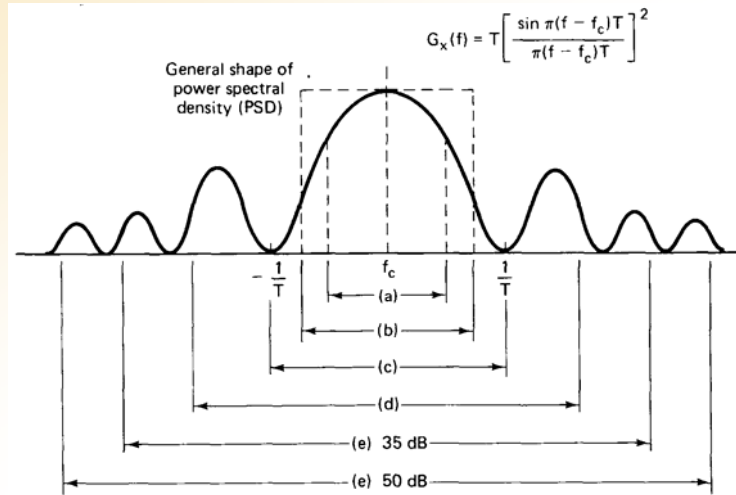


Figure 1.18 Bandwidth of digital data. (a) Half-power. (b) Noise equivalent. (c) Null to null. (d) 99% of power. (e) Bounded PSD (defines attenuation outside bandwidth) at 35 and 50 dB.

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Pulse Shaping

- ❑ Baseband rectangular pulses are infinite-bandwidth signals
- ❑ The receiver and channel have finite bandwidths (finite bandwidth filters)
- ❑ High frequencies in the signal will not pass through the channel => symbol will be broaden in time (time-dispersion)
- ❑ The transmitted symbol will affect adjacent symbols on either side. This is called **Inter Symbol Interference (ISI)**

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Nyquist Criterion

- ❑ Nyquist showed that the ISI can be removed if pulses have zero values for at all sampling instances except the current symbol
- ❑ This happens if the transmission acts like a filter with an impulse response:

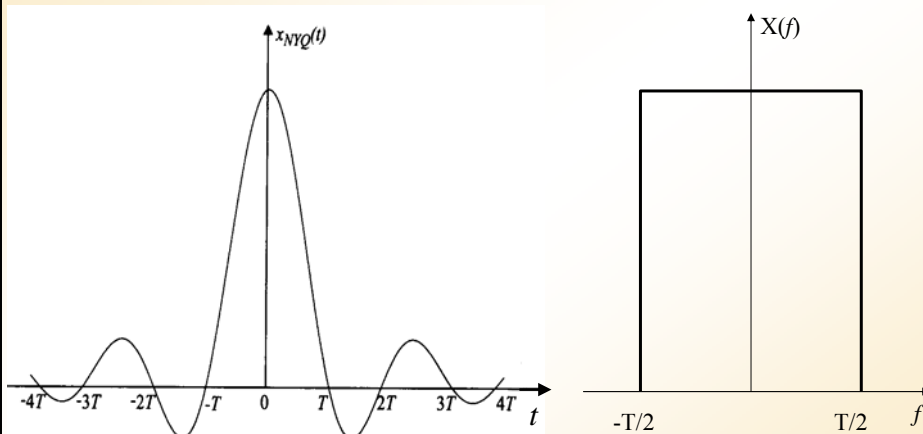
$$h(nT_s) = K \quad \text{for } n = 0 \\ = 0 \quad \text{for } n \neq 0$$

- ❑ Satisfied by a sinc pulse:
 - ❑ Unrealizable
 - ❑ Slow decay of the pulse in time (proportional to $1/t$)

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Sinc Pulse



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Raised-Cosine Pulse

The Raised Cosine Filter fulfills the Nyquist criteria:

- ❑ “Half” of the filter is in the transmitter and “half” in the receiver giving rise to the “Square Root Raised Cosine”
- ❑ Receivers process several signals in a block to account for filter effects
- ❑ It expands the required bandwidth (by αW) at the cost of faster decay rate
- ❑ It is realizable

Raised-Cosine Pulse

❑ Filter Transfer Function:

❑ Pass band: $H(f) = T_s$

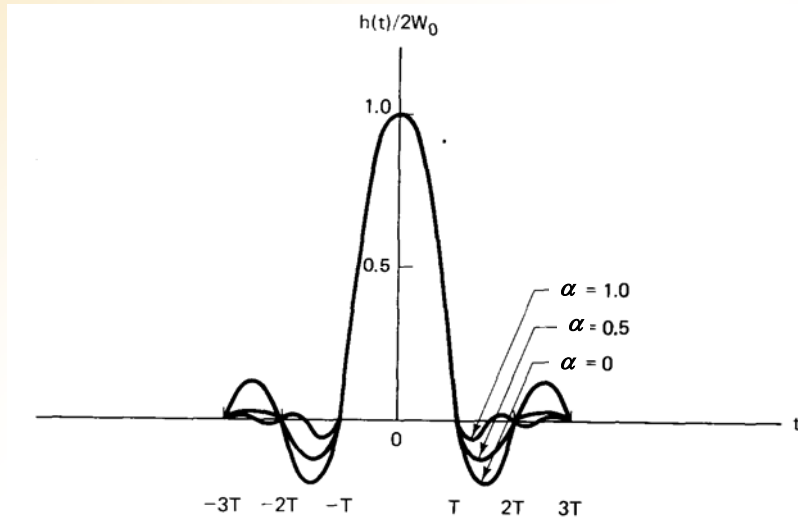
❑ Transition: $H(f) = \frac{T_s}{2} \left[1 + \cos \left(\frac{\pi |f| - 1/(2T_s) + \alpha}{2\alpha} \right) \right]$

❑ Stop band: $H(f) = 0$

❑ Filter Impulse Response:

$$h(t) = \left[\frac{\cos 2\pi\alpha t}{1 - (4\alpha t)^2} \right] \left(\frac{\sin(\pi t / T_s)}{\pi t / T_s} \right)$$

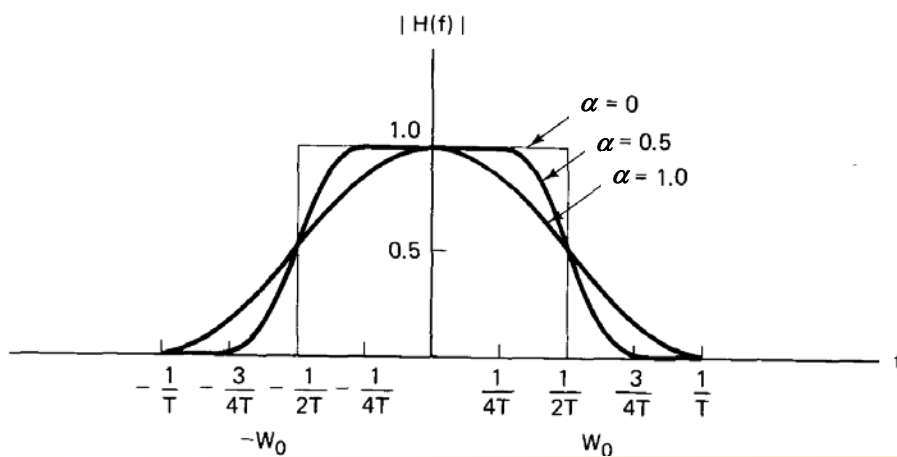
Raised-Cosine Pulse



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Raised-Cosine Filter



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Raised-Cosine Pulse

The symbol rate, R_s , that can be passed is a function of the filter bandwidth B and the roll-off factor, α

$$R_s = \frac{2B}{1 + \alpha}$$

Gaussian Pulse

- Raised-cosine pulse shape has zero-crossings => sidelobes regeneration after nonlinear amplifications
- The Gaussian filter is a common choice because its robustness to nonlinear amplifiers
- It is **NOT** a Nyquist pulse => ISI
- Tradeoff ISI performance with BW

Gaussian Pulse

□ Filter Transfer Function:

$$H(f) = \exp(-\alpha^2 f^2)$$

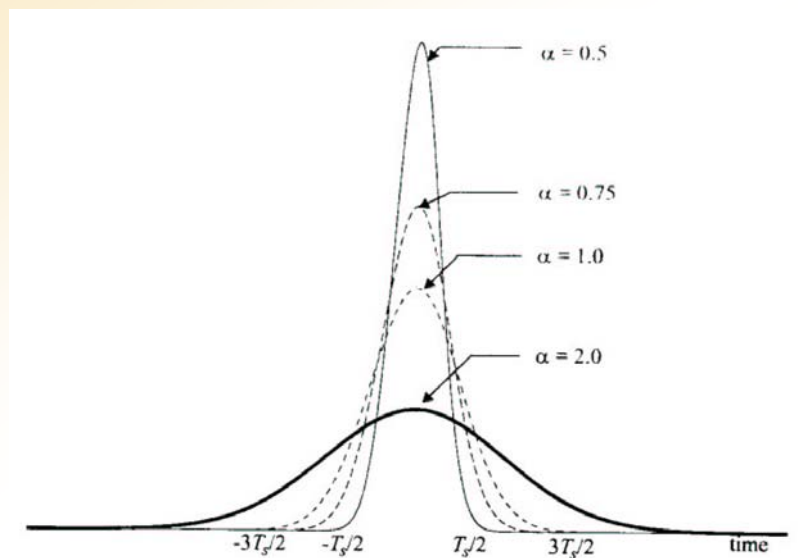
□ Filter Impulse Response:

$$h(t) = \frac{\sqrt{\pi}}{\alpha} \exp\left(-\frac{\pi^2}{\alpha^2} t^2\right)$$

$$\alpha = 0.5887/B$$

where B is the 3-dB BW of the filter

Gaussian Pulse



Digital Modulation

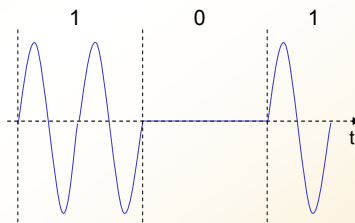
- ❑ The signal is sent on a carrier with a discrete set of possible signal levels
- ❑ Signals can be sent to represent a single bit at a time (binary) or to represent multiple bits at a time (M -ary)
- ❑ **Quality measures:**
 - ❑ Bit Error Rate (BER)
 - ❑ Power efficiency: E_b/N_0 for a fixed BER
 - ❑ Bandwidth efficiency: data rate per Hz of occupied bandwidth (bps/Hz)
 - ❑ Others: cost, complexity, interference, ...

Digital Modulation Techniques

- ❑ Amplitude Shift Keying (ASK)
- ❑ Phase Shift Keying (PSK)
- ❑ Frequency Shift Keying (FSK)
- ❑ Hybrids

Amplitude Shift Keying (ASK)

- ❑ Very simple
- ❑ The digital source bits are represented by different amplitude levels
- ❑ Not commonly used alone in wireless

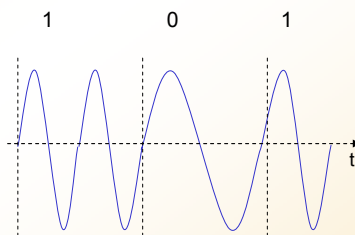


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Frequency Shift Keying (FSK)

- ❑ The frequency of the carrier is switched depending on whether the source data is a “one” or “zero”
- ❑ Bandwidth depends on the distance between the carrier frequencies

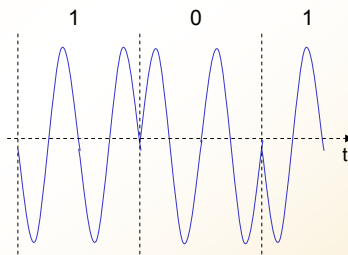


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Phase Shift Keying (PSK)

- ❑ The phase of the carrier is switched depending on whether the source data is a “one” or “zero”
- ❑ Robust against interference



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Signal Constellations

- ❑ The **signal constellation** is a way of representing the signal as a phasor in a phase (signal) space without worrying about the carrier frequency
- ❑ The transmitted signal is represented by expanding it in terms of the basis functions of the space
- ❑ **Basis functions** are orthogonal functions (can not be expressed in terms of each others)

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Signal Constellations

- ❑ The energy of basis functions is normalized to unity
=> Orthogonal + normalized = Orthonormal
- ❑ Each basis function represents a dimension of the signal space
- ❑ **Advantages:**
 - ❑ Signals can be viewed easily with respect to each other
 - ❑ Extract features of the modulation scheme
 - ❑ Can compute probability of error based on geometric arguments (e.g. distance)

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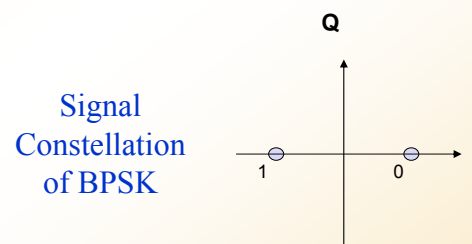
Signal Constellations

Example:

Binary Phase Shift Keying (BPSK) can be represented as drawing signals from the set:

$$\{\sqrt{E_b} \phi_1(t), -\sqrt{E_b} \phi_1(t)\}$$

where $\phi_1(t) = (2/T_b)^{1/2} \cos(2\pi f_c t)$



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Phase Shift Keying (PSK)

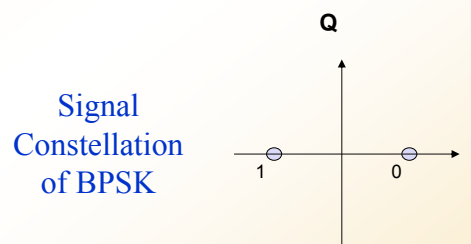
- ❑ The Phase Shift Keying (PSK) scheme is popular for digital transmission
- ❑ Binary and Quadrature PSK are the most commonly used
- ❑ Coherent detection can be implemented at the receiver
- ❑ Non-coherent detection (using differential encoding) results in a ~ 3 dB performance penalty.

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BPSK (Binary Phase Shift Keying)

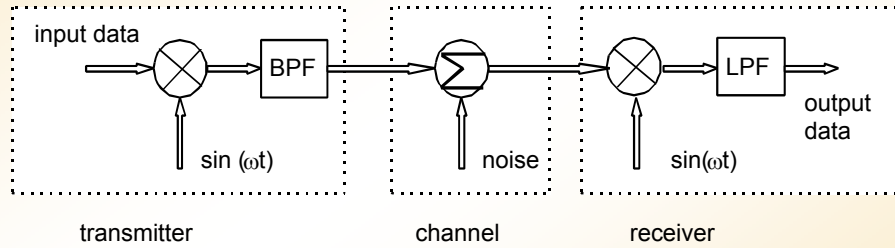
- ❑ Bit value 0: cosine wave
- ❑ Bit value 1: inverted cosine wave (phase is π)
- ❑ Low spectral efficiency
- ❑ Robust, used e.g. in satellite systems
- ❑ Distance between the two signals is $2\sqrt{E_b}$



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BPSK Modem Structure



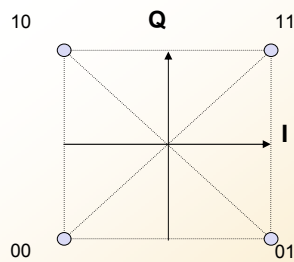
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QPSK (Quadrature PSK)

- ❑ 2 bits are coded as one symbol (signal)
- ❑ Modulated wave shifts between four phases, 90° apart to create signals for "00", "01", "10" or "11"
- ❑ Distance between the two signals is $2\sqrt{E_b}$

Signal Constellation of QPSK

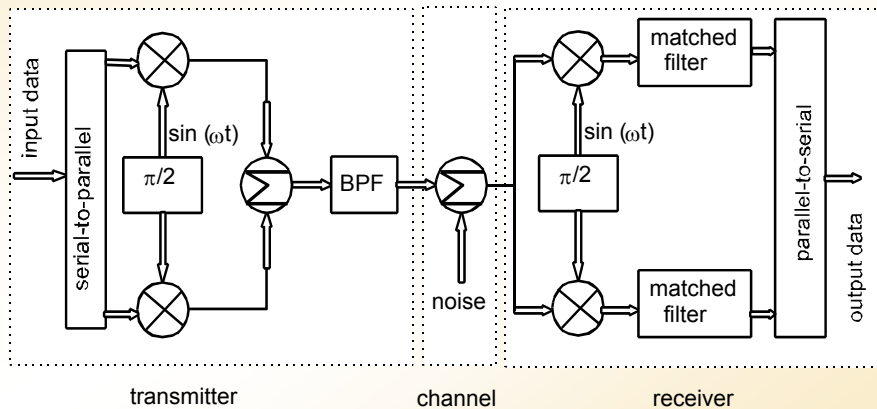


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QPSK Modem Structure

- ❑ Same performance as BPSK
- ❑ Double the BW efficiency



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Example of QPSK

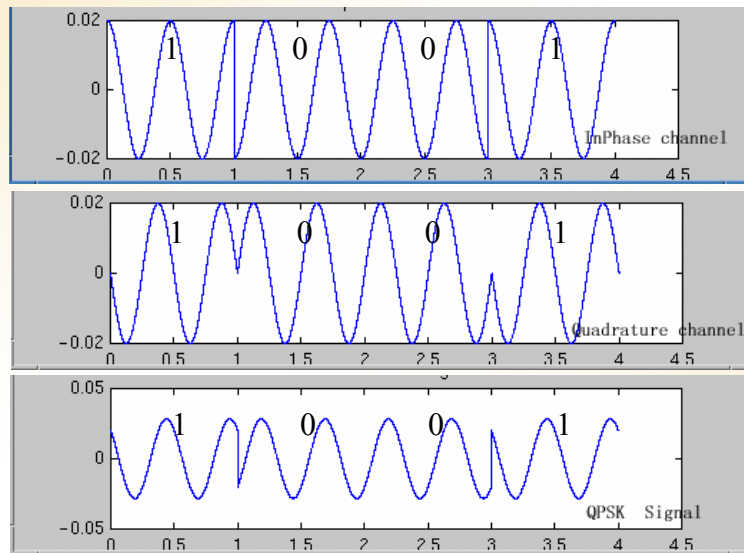
Input bits $0 < t < T$	Phase of QPSK signal (radians)	Coordinates of signal Points S_{i1} S_{i2}	
10	$\pi/4$	$+\sqrt{E}/2$	$-\sqrt{E}/2$
00	$3\pi/4$	$-\sqrt{E}/2$	$-\sqrt{E}/2$
01	$5\pi/4$	$-\sqrt{E}/2$	$+\sqrt{E}/2$
11	$7\pi/4$	$+\sqrt{E}/2$	$+\sqrt{E}/2$

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Example of QPSK

Input Binary Sequence: 1 1 0 0 0 1 1



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Phase Shift Keying

Carrier representation:

❑ **BPSK:** $s(t) = d_i \cos(2\pi f_c t)$

❑ **QPSK:** $s(t) = d_i \cos(2\pi f_c t) + d_q \sin(2\pi f_c t)$

where d_i and d_q are the individual data bits:

❑ d_i is the “in phase” bit

❑ d_q is the “quadrature phase” bit

❑ d_i and d_q can come from either a single source or two sources

❑ The latter with different data rates is possible

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Power Spectral Density (PSD) of PSK

- It provides information about how power is distributed over frequencies
- The baseband PSD for PSK modulation:

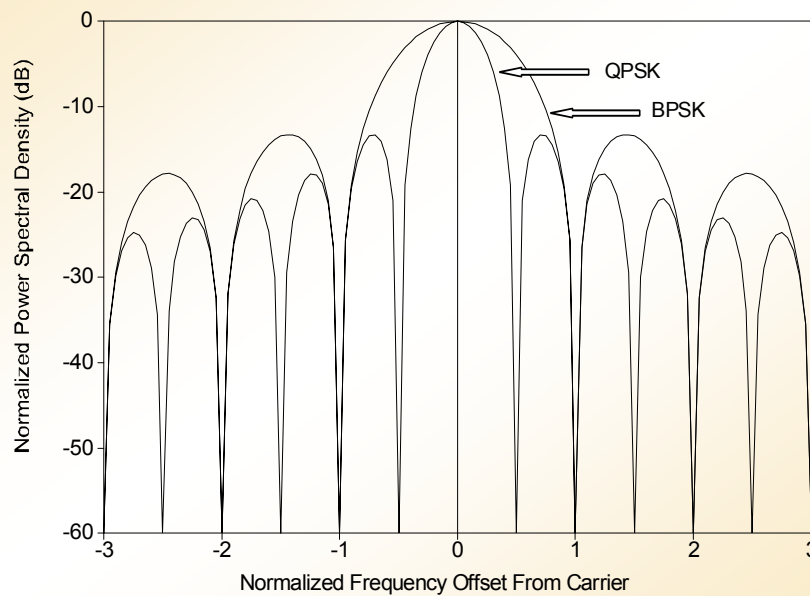
$$\text{BPSK: } P(\Delta f) = T_s \left\{ \frac{\sin(\pi\Delta f T_s)}{\pi\Delta f T_s} \right\}^2$$

$$\text{QPSK: } P(\Delta f) = 2T_s \left\{ \frac{\sin(2\pi\Delta f T_s)}{2\pi\Delta f T_s} \right\}^2$$

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PSD of PSK



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Bandwidth of BPSK

- ❑ For a rectangular pulse:
 - ❑ Null-null BW = $2R_b$
 - ❑ 90% BW = $1.6R_b$

- ❑ For a Raised-Cosine pulse with $\alpha = 0.5$:
 - ❑ 90% BW = $1.5R_b$

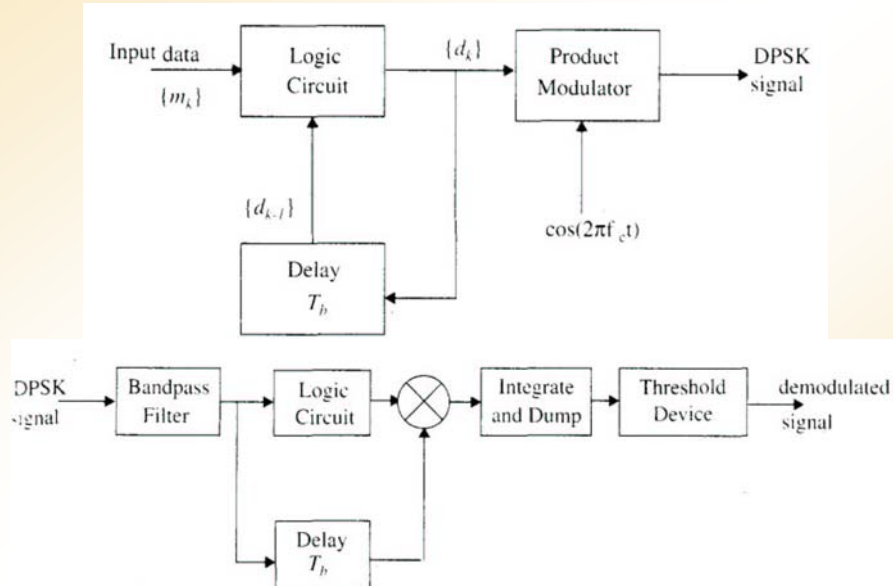
- ❑ For QPSK, BW is half that of BPSK

Differential PSK (DPSK)

- ❑ It is a noncoherent PSK scheme
- ❑ **Advantage:** easy implementation and cheap
- ❑ The modulating bit stream is the NOT the information stream
- ❑ Instead, it is a differentially encoded stream
- ❑ The modulating bit stream:

$$d_k = \text{COMP}\{m_k \otimes d_{k-1}\}$$

DPSK



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Offset QPSK (Staggered QPSK)

- ❑ QPSK (after pulse shaping) do not have constant amplitude, especially for the transition with a phase shift equal to π (zero-crossing)
- ❑ Hence, non-linear power amplifiers cause distortion and create more spectral sidelobes (spectral re-generation)
- ❑ In offset QPSK, I and Q channels are offset in time by half a symbol duration (i.e., one bit duration)

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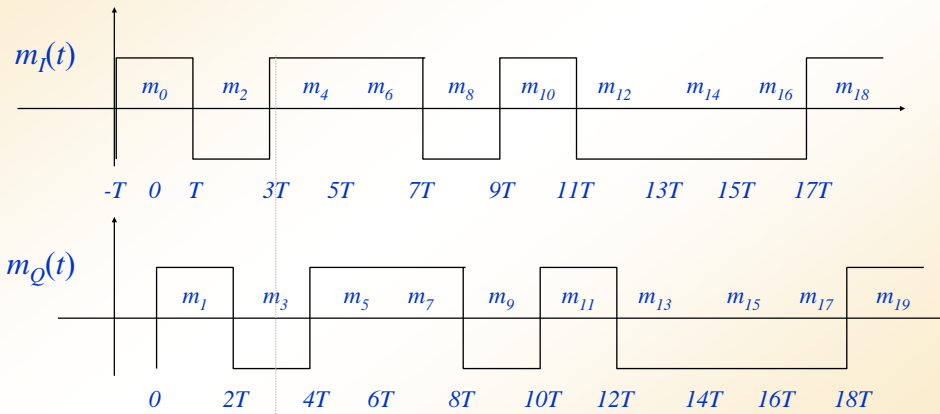
Offset QPSK

- ❑ At the transmitter side, shift one bit stream (in-phase or quadrature) by 1 bit duration (one-half the symbol duration)
- ❑ At the receiver side, shift the other to make them phase aligned
- ❑ Only one bit can change during each bit interval => signals can not go through an instantaneous $\pm 180^\circ$ phase shift
- ❑ In QPSK, $\pm 180^\circ$ phase shift can happen => bad with non-linear amplifiers

Offset QPSK

- ❑ Phase transitions happen at every half of a symbol period (instead of every symbol period as in QPSK), but each transition is limited to $\pm 90^\circ$ degree
- ❑ **Result:** relatively more constant envelope after pulse shaping
- ❑ Hence, power-efficient non-linear amplifiers can be used without having distortion (or low spectral re-generation)

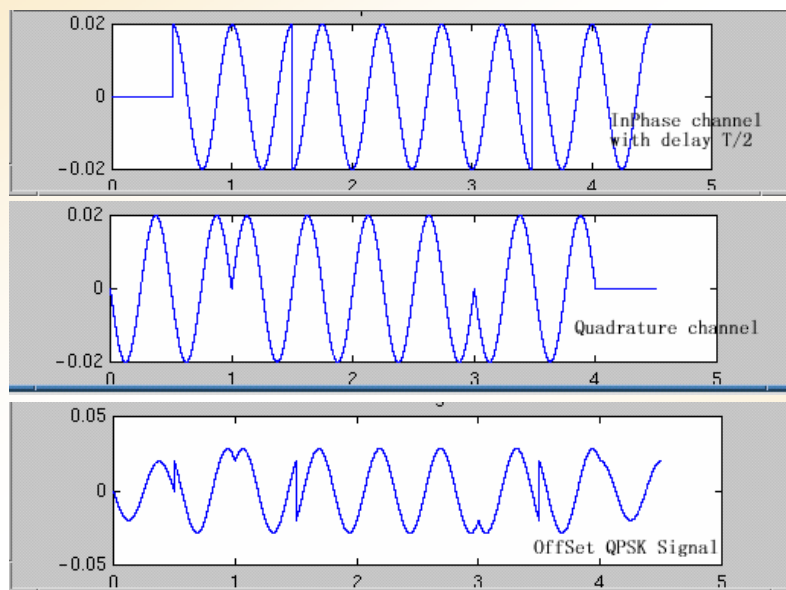
Offset QPSK



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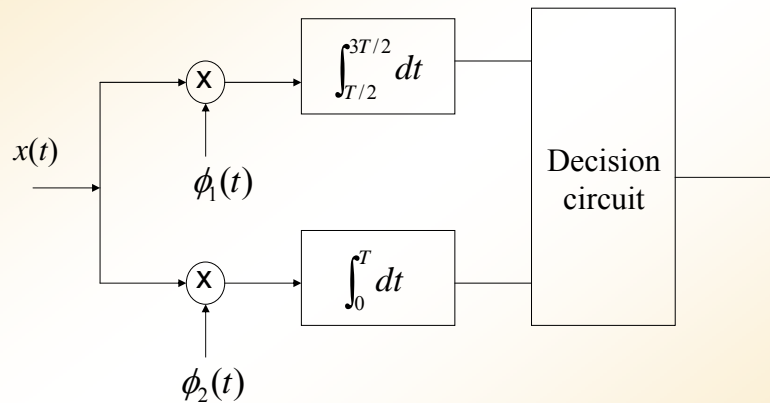
Example of Offset QPSK



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Coherent Receiver of OQPSK

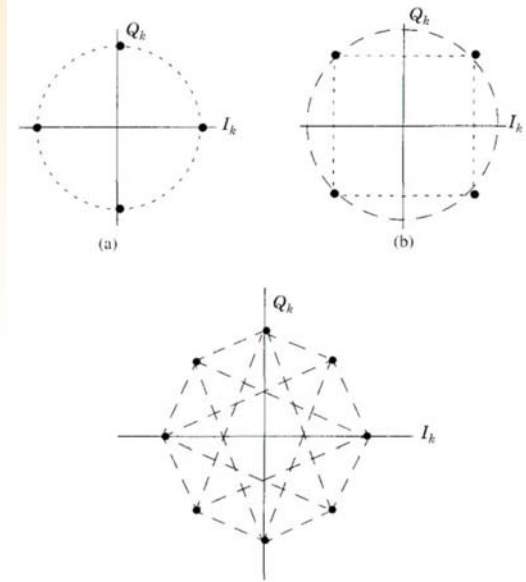


The detector makes a decision at time $3T_b/2$

$\pi/4$ - QPSK

- ❑ Compromise between OQPSK and QPSK:
 - ❑ Phase transitions can occur at $2nT_b$
 - ❑ Possible phase changes: $\pm\pi/4, \pm3\pi/4$
 - ❑ Maximum phase transitions limited to $\pm135^\circ$ instead of $\pm180^\circ$ (for QPSK) or $\pm90^\circ$ (for OQPSK)
- ❑ Uses 2 QPSK constellations, one shifted by 45 degrees
- ❑ Alternates the use of each constellation with each successive symbol

$\pi/4$ - QPSK



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$\pi/4$ - QPSK

- ❑ Prevents transition through the origin ($\pm 180^\circ$ phase shift), so non-linear amplifiers can be used
- ❑ Ensures a phase shift of at least $\pi/4$ for each bit which helps in timing recovery

Symbol	Absolute phase value
1 1	0
-1 1	$\pi/2$
-1 -1	π
1 -1	$-\pi/2$

Symbol	Absolute phase value
1 1	$\pi/4$
-1 1	$3\pi/4$
-1 -1	$-3\pi/4$
1 -1	$-\pi/4$

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Example: $\pi/4$ -QPSK

❑ Information message is: 1 1 0 1 0 1 0 0 1 1

❑ Phase transition is:

1 1 0 1 0 1 0 0 1 1
0 $3\pi/4$ $\pi/2$ $-3\pi/4$ 0

Symbol	Absolute phase value
1 1	0
0 1	$\pi/2$
0 0	π
1 0	$-\pi/2$

Symbol	Absolute phase value
1 1	$\pi/4$
0 1	$3\pi/4$
0 0	$-3\pi/4$
1 0	$-\pi/4$

$\pi/4$ - QPSK

❑ Advantage:

Performs better than OQPSK in the presence of multipath spread and fading

❑ Disadvantage:

More sensitive to non-linear amplification than OQPSK

$\pi/4$ -Differential QPSK ($\pi/4$ -DQPSK)

- ❑ It is $\pi/4$ -QPSK with differential encoding
- ❑ The data is differentially encoded by mapping from the data stream to the phase transition according to the table:

$$\text{I channel : } \cos \theta_k$$

$$\text{Q channel : } \sin \theta_k$$

$$\text{where } \theta_k = \theta_{k-1} + \phi_k$$

Symbol	Phase transition ϕ_k
1 1	$\pi/4$
-1 1	$3\pi/4$
-1 -1	$-3\pi/4$
1 -1	$-\pi/4$

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$\pi/4$ -DQPSK

❑ Advantage:

- ❑ Performs better than OQPSK in the presence of multipath spread and fading
- ❑ Non-coherent detection can be used

❑ Disadvantage:

- ❑ More sensitive to non-linear amplification

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Example: $\pi/4$ -DQPSK

□ Information message is: 1 1 0 1 0 1 0 0 1 1

□ Phase transition is: $\theta_k = \theta_{k-1} + f_k$

$\begin{matrix} & 11 & 01 & 01 & 00 & 11 \\ 0 & \pi/4 & \pi & \pi/4 & -\pi & -3\pi/4 \end{matrix}$
 (initial phase is arbitrary)

Symbol	Phase transition ϕ_k
1 1	$\pi/4$
0 1	$3\pi/4$
0 0	$-3\pi/4$
1 0	$-\pi/4$

M-ary Modulation

□ One of M signals is transmitted according to the m -length bit sequence ($M = 2^m$)

□ m bits are transmitted in each symbol interval
 \Rightarrow Higher BW efficiency

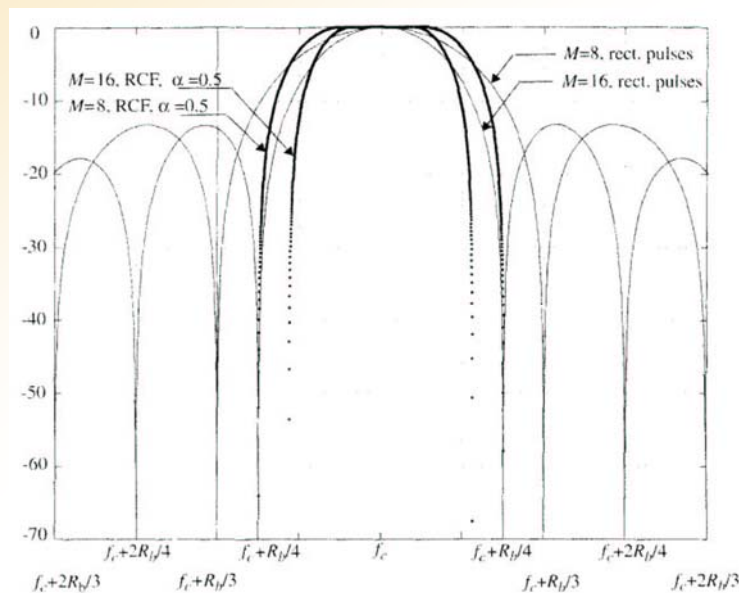
□ However, signals are now closer in distance (in the signal constellation)
 \Rightarrow Higher probability of error

M-ary PSK (MPSK)

- ❑ A carrier signal is transmitted with one of M possible phases
- ❑ The M signals have different phases separated by $2\pi/M$
- ❑ Signal points are equally spaced on a circle of radius $\sqrt{E_s}$ from the origin
- ❑ Distance between adjacent signal points is:

$$2\sqrt{E_s} \sin(\pi/M)$$

PSD of MPSK



M-ary QAM (M-QAM)

- It combines phase and amplitude shift keying (amplitude is not constant)
- The M signals have different phases and amplitudes
- Signal points are placed on rectangles with increasing distance from the origin
- Distance between adjacent signal points is not constant
- Used with coherent detection and linear amplification (not suitable for wireless)

Frequency Shift Keying (FSK)

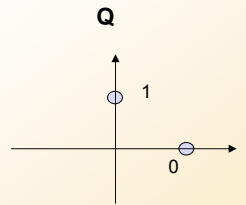
- FSK has the advantage of constant envelope transmission (signal amplitude remains constant)
- Suitable for nonlinear power amplifiers (high power efficiency)
- At the cost of larger occupied bandwidth
- Famous FSK schemes:
 - FSK
 - MFSK
 - MSK
 - GMSK

Binary FSK (BFSK)

- ❑ Bit 0: cosine wave with freq. f_1
- ❑ Bit 1: cosine wave with freq. f_2
- ❑ Frequencies are separated by Δf
- ❑ Frequencies should be orthogonal for the best performance

Condition: $\Delta f \geq 1/2T_b$

Signal Constellation of BFSK

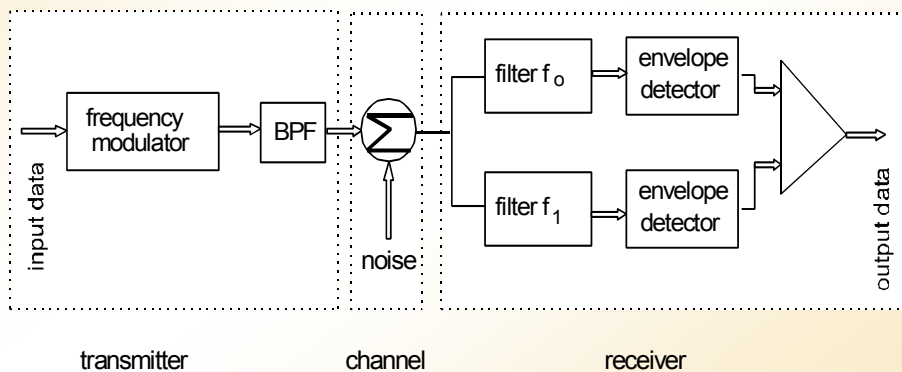


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BFSK Modem Structure

Generated by frequency modulating a carrier with a binary waveform (stream of pulses)



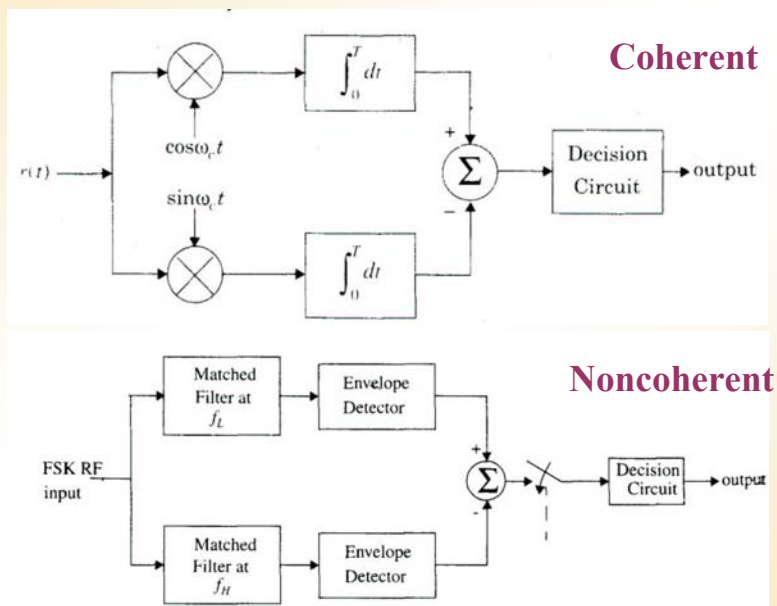
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BFSK

- ❑ Distance between signals is $\sqrt{2E_b}$
=> needs 3 dB more SNR to achieve same BER as BPSK
- ❑ PSD falls at a rate proportional to Δf^{-4}
- ❑ $BW = 2(\Delta f + R_b)$, rectangular pulse
 $= 2[\Delta f + (1+\alpha)R_b]$, RC pulse
- ❑ Low spectral efficiency
- ❑ Noncoherent detection using envelop detection (or square-law combining) is possible
- ❑ Robust - used in wireless systems

Detectors for BFSK



M-ary FSK (MFSK)

- ❑ A cosine signal is transmitted with one of M possible frequencies
- ❑ Carrier frequencies are separated by $1/2T_s$
- ❑ Signal points are equally spaced on an M -dimensional space
- ❑ Distance between different signal points is $\sqrt{2E_s}$
- ❑ Noncoherent receiver consists of M matched filters (one for each frequency) followed by envelop detectors

Continuous Phase Modulation (CPM)

- ❑ The phase of the carrier varies continuously with time according to:

$$\Phi(t) = 2\pi \sum_{k=-\infty}^n I_k h_k \int_0^t g(\tau - kT_s) d\tau, \quad nT_s \leq t \leq (n+1)T_s$$

- ❑ The CPM signal is: $s(t) = A \cos(2\pi f_c t + \phi(t))$
- ❑ $\{I_k\}$ is M -ary information sequence
- ❑ $\{h_k\}$ are set of modulation indices. They can be the same (single- h) or different (multi- h)

CPM

$$s(t) = \sqrt{\frac{2E_b}{T}} \cos [2\pi f_c t + \Phi(t; I) + \theta_0]$$

Bit Energy: $2E_b$
 Carrier Frequency: f_c
 Bit Duration: T
 The CPM Phase: $\Phi(t; I)$
 Initial Carrier Phase: θ_0

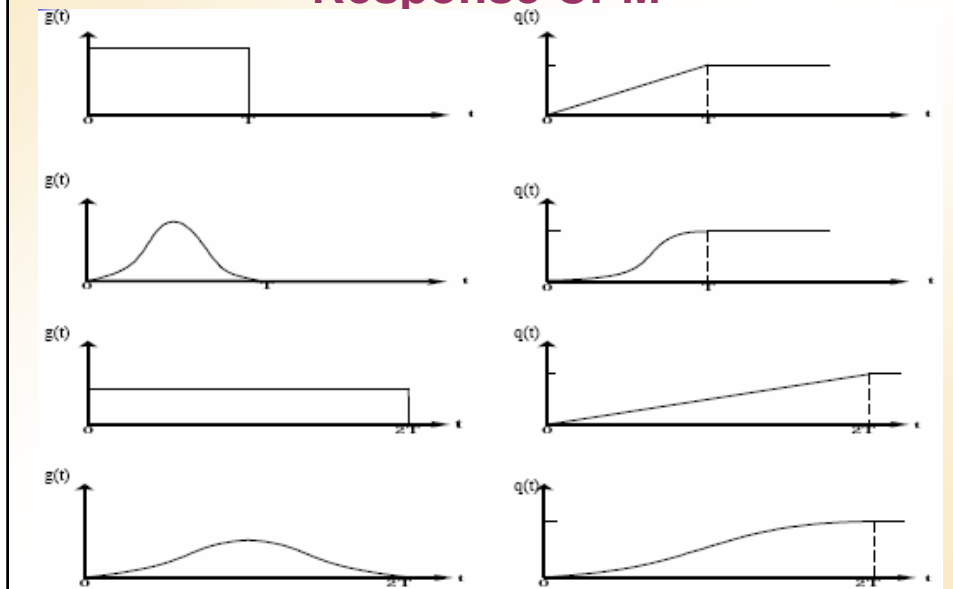
CPM

$$\Phi(t; I) = 2\pi \sum_{k=-\infty}^n I_k h_k q(t - nT)$$

M-ary Sequence: I_k
 Modulation Index: h_k
 Pulse Shape: $q(t)$

$$q(t) = \int_0^t g(\tau) d\tau$$

Pulse shapes for Full and Partial-Response CPM



CPM

- $g(t)$ is a pulse, e.g., rectangular, RC, ...
 - If $g(t) = 0, t > T_s \Rightarrow$ Full-response CPM
 - If $g(t) \neq 0, t > T_s \Rightarrow$ Partial-response CPM
- Different CPM signals can be generated by varying $M, \{h_k\}$ and $g(t)$
- If $q(t)$ is rectangular pulse $0 \leq t \leq T_s$, the CPM is Continuous-Phase FSK (CPFSK)
- Furthermore, if $h_k = h = 1/2 \Rightarrow$ CPFSK is called **Minimum Shift Keying (MSK)**

Minimum Shift Keying (MSK)

$h = 0.5$

↓

$$s(t) = A \cos \left[2\pi \left(f_c + \frac{1}{4T} I_n \right) t - \frac{1}{2} n\pi I_n + \theta_n \right]$$

Binary

$$f_1 = f_c - \frac{1}{4T}$$

$$f_2 = f_c + \frac{1}{4T}$$

$\Delta f = \frac{1}{2T}$

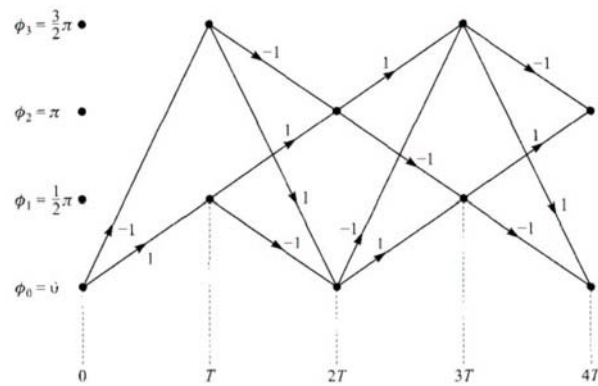
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Minimum Shift Keying (MSK)

- The spacing between the two carrier frequencies is the minimum: $\Delta f = 1/2T_s$
- Hence, it is called **minimum shift keying**

Phase changes according to the trellis



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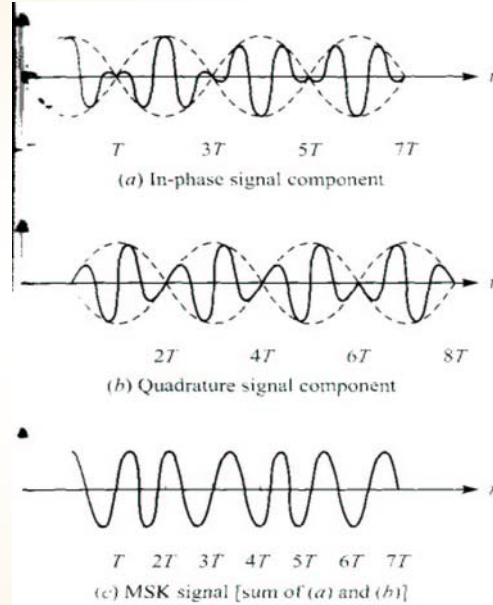
MSK

- MSK is equivalent to OQPSK with pulse shaping

- The shaping pulse is a sine wave:

$$p(t) = \sin[\pi t / (2T_s)],$$

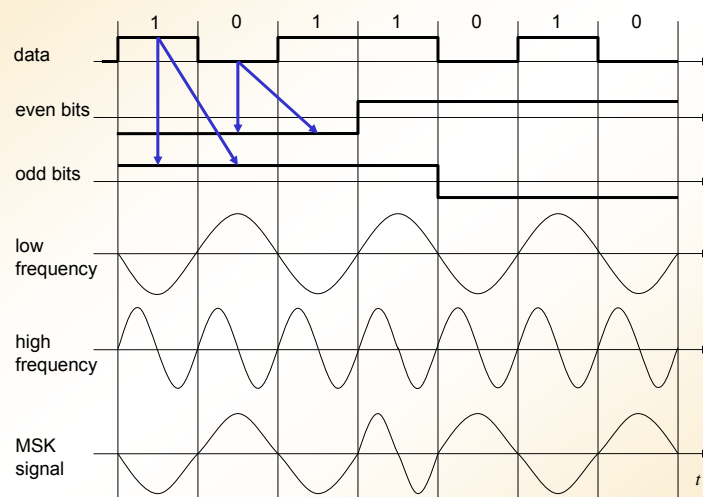
$$0 \leq t \leq 2T_s$$



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Example of MSK



No phase shifts!

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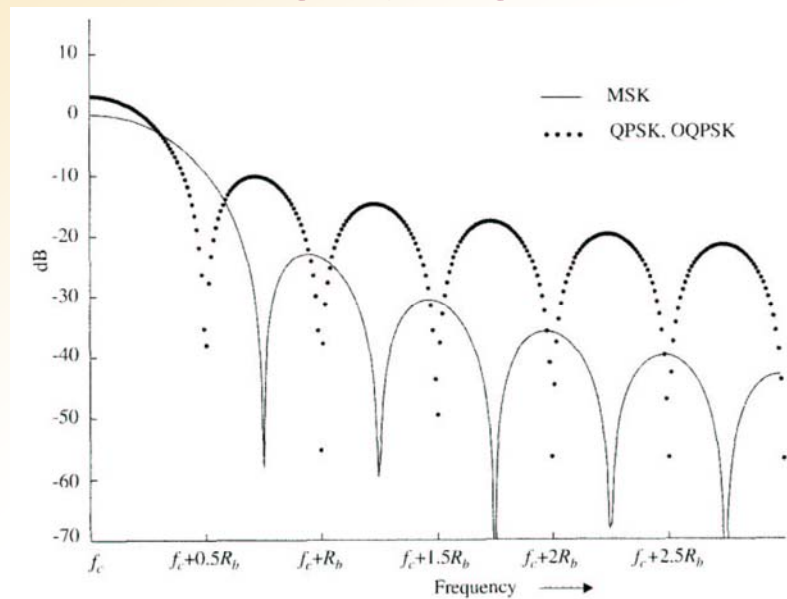
PSD of MSK

The PSD is given by:

$$P(\Delta f) = \frac{16}{\pi^2} \left(\frac{\cos 2\pi\Delta f T}{1.16 f^2 T^2} \right)^2$$

MSK has a wider first null than QPSK but lower 99%-power BW

PSD of MSK



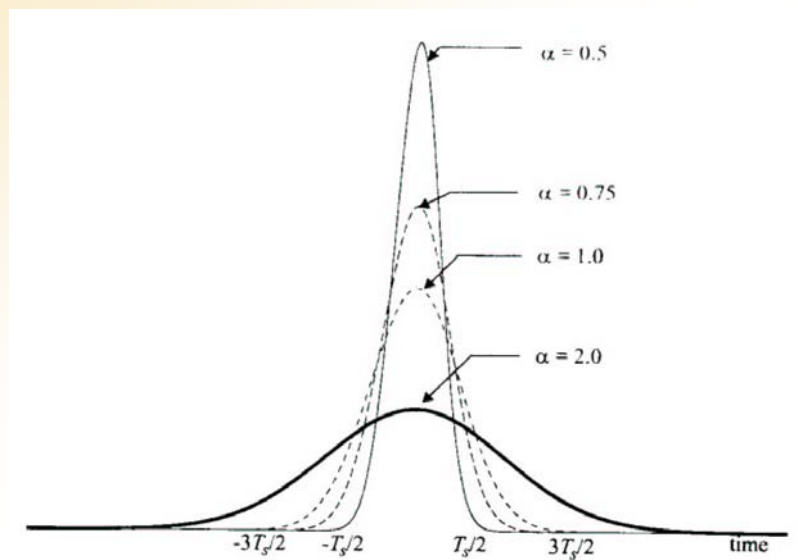
Gaussian MSK (GMSK)

- A derivative of MSK that uses a Gaussian pulse-shaping filter to filter the NRZ binary modulating waveform

$$h_G(t) = \frac{\sqrt{\pi}}{\alpha} \exp\left(-\frac{\pi^2}{\alpha^2} t^2\right)$$

- This converts the signal from full-response CPM to a partial-response CPM signal

Gaussian Pulse



GMSK

- ❑ The Gaussian pulse-shaping filter reduces the levels of side-lobes of the GMSK spectrum compared to MSK
- ❑ It can be coherently detected (as MSK) or noncoherently detected (as FSK)



Block diagram of a GMSK transmitter using MSK modulator

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GMSK

- ❑ GMSK is characterized by its BT product:
 - ❑ B is the 3-dB BW of the Gaussian filter
 - ❑ T is the symbol duration
- ❑ $B = 0.5887/\alpha$, α is the filter parameter
- ❑ As $\alpha \uparrow \Rightarrow B \downarrow \Rightarrow BT \downarrow$
 - \Rightarrow Sidelobe power decreases
 - \Rightarrow more spectral efficiency
 - \Rightarrow But more ISI because pulse is wider
- ❑ MSK corresponds to $\alpha = 0 \Rightarrow BT = \infty$
- ❑ In GSM, GMSK is used with $BT = 0.3$

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GMSK

<i>BT</i>	90%	99%	99.9%	99.99%
0.2	0.52	0.79	0.99	1.22
0.25	0.57	0.86	1.09	1.37
0.5	0.69	1.04	1.33	2.08
MSK	0.78	1.2	2.76	6.00

RF Bandwidth as a fraction of R_b

Probability of Error

- ❑ Is defined as the probability of detecting a received observation as one signal given the other signal was transmitted
- ❑ It is a function of:
 - ❑ Distances between signals in the constellation (a function of the SNR)
 - ❑ Noise distribution (Usually Gaussian - AWGN)
 - ❑ Rx signal level distribution (in case of fading)
- ❑ The closer the signals in the constellation, the higher the error probability

Probability of Error

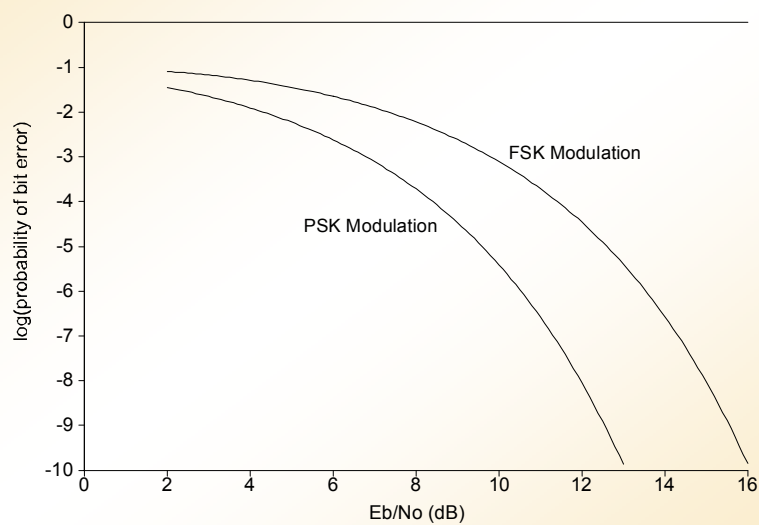
- For BPSK and QPSK demodulated by a coherent receiver, the bit error probability over an AWGN channel is:

$$P_e = Q\left(\sqrt{\frac{2E_b}{N_0}}\right)$$

- For non-coherent BFSK over an AWGN channel, the BER is estimated as:

$$P_b = 1/2 \exp[-0.5(E_b/N_0)]$$

Performance Over AWGN



OQPSK- Symbol Error Probability

$$P_e \approx \operatorname{erfc}\left(\sqrt{\frac{E_b}{2N_0}}\right) - \frac{1}{4} \operatorname{erfc}^2\left(\sqrt{\frac{E_b}{N_0}}\right)$$

$$P_e \cong \operatorname{erfc}\left(\sqrt{\frac{E_b}{2N_0}}\right)$$

GMSK Performance

- ❑ The GMSK filtering can cause ISI if the BT is too small (too narrow filter and wide pulse)
- ❑ The GMSK error rate is given by:

$$P_e = Q\left(\sqrt{\frac{2\delta E_b}{N_0}}\right)$$

where $\delta = 0.68$ for $BT = 0.25$;

$\delta = 0.85$ for $BT = \infty$

Performance Over Fading Channels

❑ Slow, Flat Fading:

- ❑ The channel varies slowly compared with the data rate; hence the channel is “constant” over one symbol duration
- ❑ **Conditional error probability** is the error probability conditioned on the channel gain
- ❑ The probability of error is obtained by averaging conditional error probability over the range of channel variation

Performance Over Fading Channels

$$P_e = \int_0^{\infty} P_e(z) p(z) dz$$

- ❑ $P_e(z)$ is the conditional probability of error with an $\text{SNR} = z = \alpha^2 E_b/N_0$
 - ❑ α is the normalized channel gain, ($\langle \alpha^2 \rangle = 1$)
 - ❑ E_b/N_0 is the SNR
- ❑ $p(z)$ is the probability that the SNR takes on a given value (probability density function, pdf)
- ❑ P_e = average error probability

Performance Over Rayleigh Channels

□ Define: $\Gamma = \langle \alpha^2 \rangle E_b/N_0$ as the average SNR

For large E_b/N_0 (reasonable BER values):

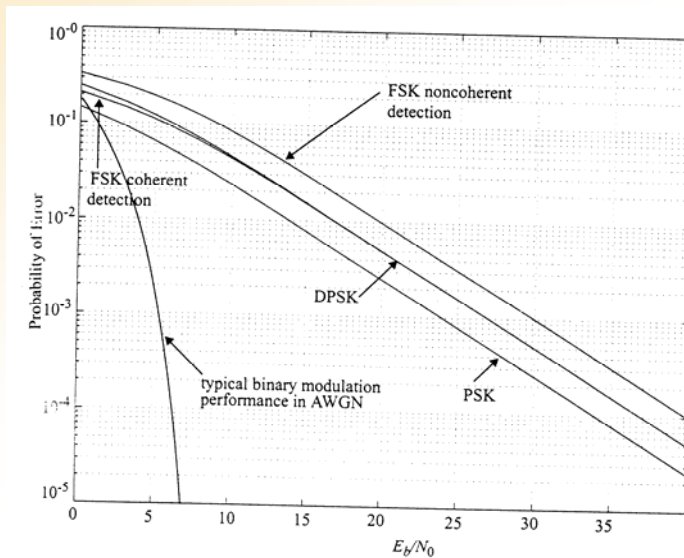
□ BPSK: $P_e = (4\Gamma)^{-1}$

□ FSK: $P_e = (2\Gamma)^{-1}$

□ NCFSK: $P_e = (\Gamma)^{-1}$

□ GMSK: $P_e = (4\delta\Gamma)^{-1}$; $\delta = (2 \ln 2)^{1/2} / B$

Performance Over Rayleigh Fading



Performance Over Rayleigh Channels

- ❑ The performance is usually 20 to 40 dB **worse** than the AWGN case (no fading) for reasonable BER
- ❑ This is mostly due to deep fades which occur often because of the Rayleigh distribution
- ❑ For a Rician or Nakagami fading distributions with large K -factor or m , the error probability is smaller
- ❑ This is because the probability of deep fade is smaller
- ❑ **Mitigation Techniques:**
 - ❑ Diversity
 - ❑ Channel coding
 - ❑ Adaptive modulation

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Performance Over Rayleigh Channels

- ❑ **Frequency selective** channels and Doppler spread channels have properties that restrict the channel bandwidth and, therefore, the maximum data rate.
- ❑ This causes ISI that can be scaled in terms of:
$$d = \sigma_{\tau}/T_s$$
- ❑ The BER hits a minimum value and cannot go lower
- ❑ This minimum BER is called the **error floor**
- ❑ **Mitigation Technique:** Equalization

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