

# EE663

## Image Processing

### Lossless Compression

**Huffman coding**  
**Arithmetic coding**  
**Dictionary techniques**  
**Predictive coding**  
**Bit-plane encoding**

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# Lossless Compression Techniques

# Lossless Compression Techniques

- Run Length Encoding
- Shannon–Fano Coding
- Huffman Coding
- Tunstall Coding
- Arithmetic Coding
- Lempel-Ziv Coding
- Lossless Predictive Coding
- Bit–plane Encoding

# Run Length Encoding (RLE)

- A run is a subsequence of the same symbol value
- RLE replaces each maximal run by the length of the run followed by the repeating symbol of the run

00001001111000111111000

4,0;1,1;2,0;4,1;3,0;6,1;3,0

0 & 1 can be removed if the alphabet is binary

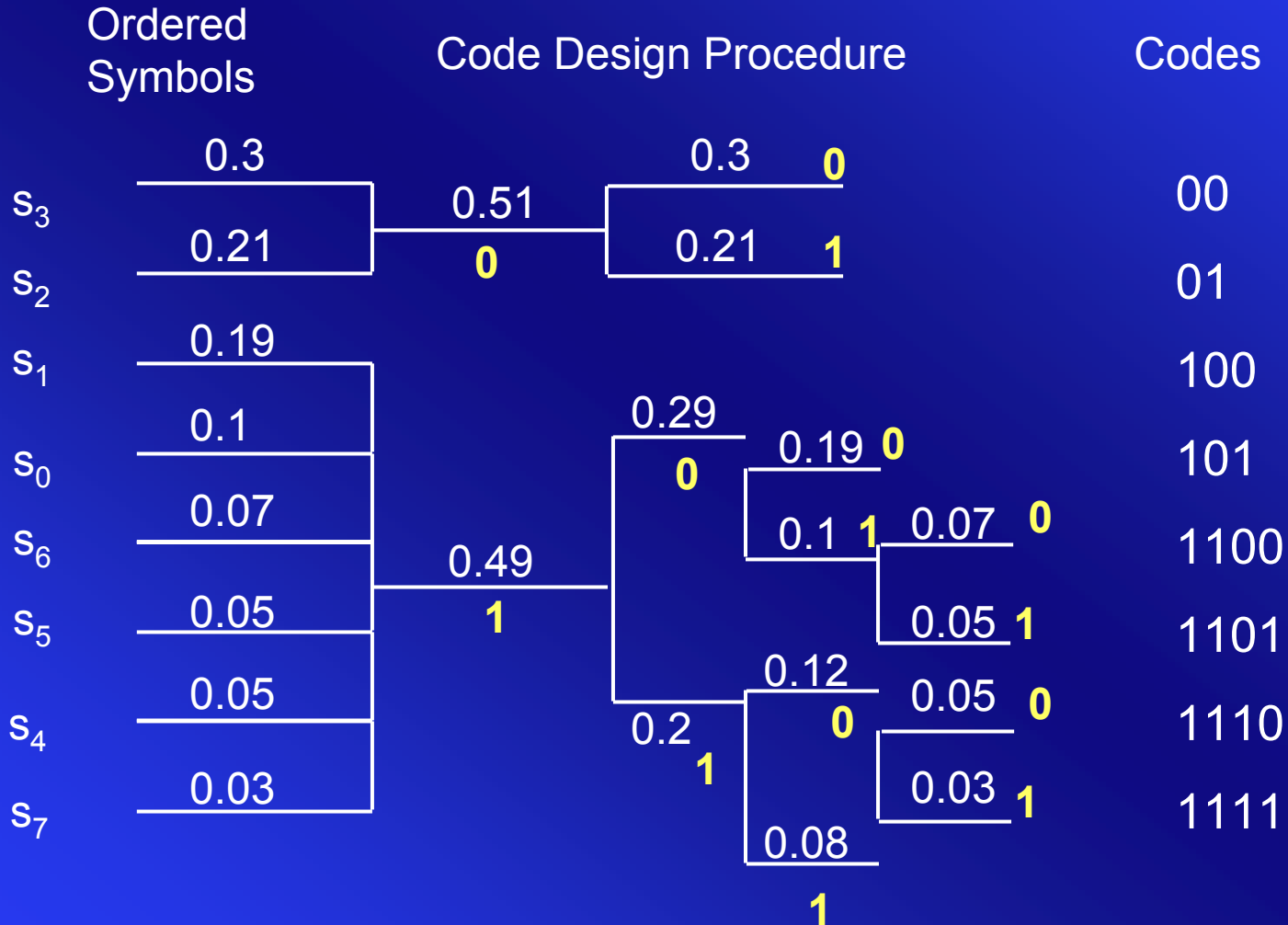
⇒ 4,1,2,4,3,6,3

- The RLE sequence can then be coded using Huffman Coding

# Shannon-Fano Coding

1. Sort set of symbols  $S$  in order of non-increasing probabilities.
2. Divide  $S$  into two parts  $S_1, S_2$  such that each part has approximately equal probability
3. Assign '0'('1') to symbols of  $S_1(S_2)$
4. Continue (2) and (3) on each of the parts until each part contains only one symbol

# An Example of Shannon-Fano Coding



# Huffman Coding

- Statistical Coding
- Popular Technique
- Builds a Code Tree
- Many Variations
  - Adaptive Huffman Coding
  - Minimum Variance Huffman Coding

# Building Huffman Tree

- Make a list  $L$  of all symbols
- Create a node for each symbol
- Find the two smallest probability symbols  $s_j, s_k$
- Create a 'new' node as the parent of  $s_j, s_k$  with probability  $p_j + p_k$
- Remove  $s_j, s_k$  from  $L$
- Repeat the above three steps until  $L$  is not empty
- Label left edges with '0' and right edges with '1'

## Huffman Encoding

Code symbol  $s_i$  with the binary sequence obtained using the labels on the path from the root to the node  $s_i$

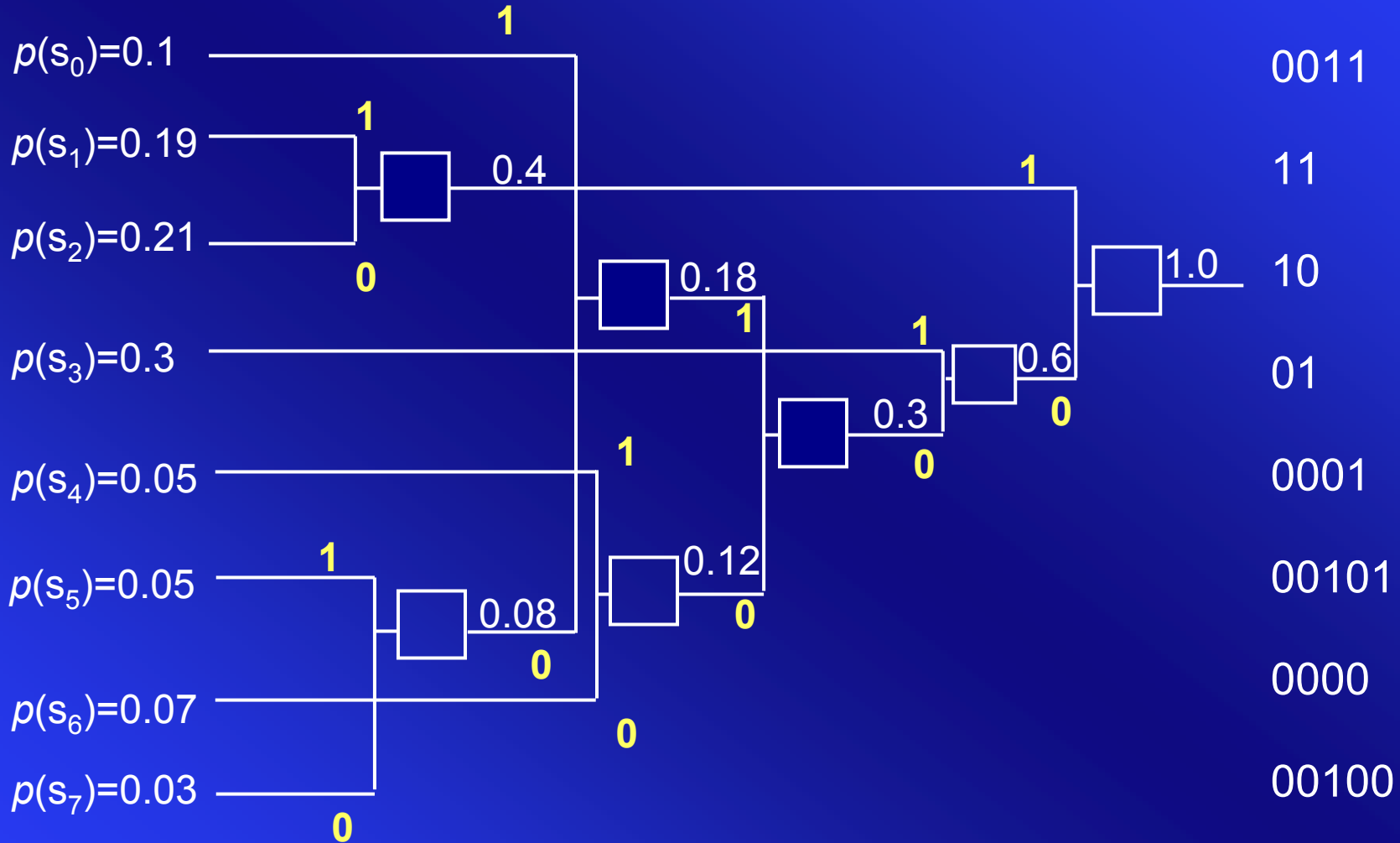


# An Example of Huffman Coding

Probability List

Code Design Procedure

Codes



# HUFFMAN ENCODE (in: $\Sigma, \Pi$ )

- begin
  - Create a node labeled  $s_i, 1 \leq i \leq n$
  - $F \leftarrow \{ s_i \mid 1 \leq i \leq n \}$
  - for  $i = 1$  to  $n-1$  do
    - Find the two smallest probability symbols  $s_j, s_k \in F$
    - Create a new node with label  $s_i$  as the parent of  $s_j, s_k$
    - with probability  $p_j + p_k$

# HUFFMAN ENCODE (in: $\Sigma, \Pi$ )

- $F \leftarrow (F - \{s_j, s_k\} \cup \{s_i\})$
- endfor
- Label left edges of tree with '0' and right edges with '1'
- for  $i = 1$  to  $n$  do
  - code  $s_i$  with the binary sequence labeling the path from the root to the node  $s_i$
- endfor
- end

# Arithmetic Coding

- Non-block code
- Codeword assigned to entire sequence of symbols.
- Unique Code for each Input Sequence.
- Well suited to:
  - Small Size Alphabets
    - E.g. Binary sources
  - Alphabets with Highly Skewed Probabilities

# Arithmetic Coding Principle

## Given:

- Alphabet  $S$  is finite
- All possible sequences of length 'm' is finite
- All possible sequences:  $S^0 \cup S^1 \cup S^2 \dots$  is countably infinite
- Number of real numbers in the interval  $[0,1)$  is uncountably infinite

## Implication:

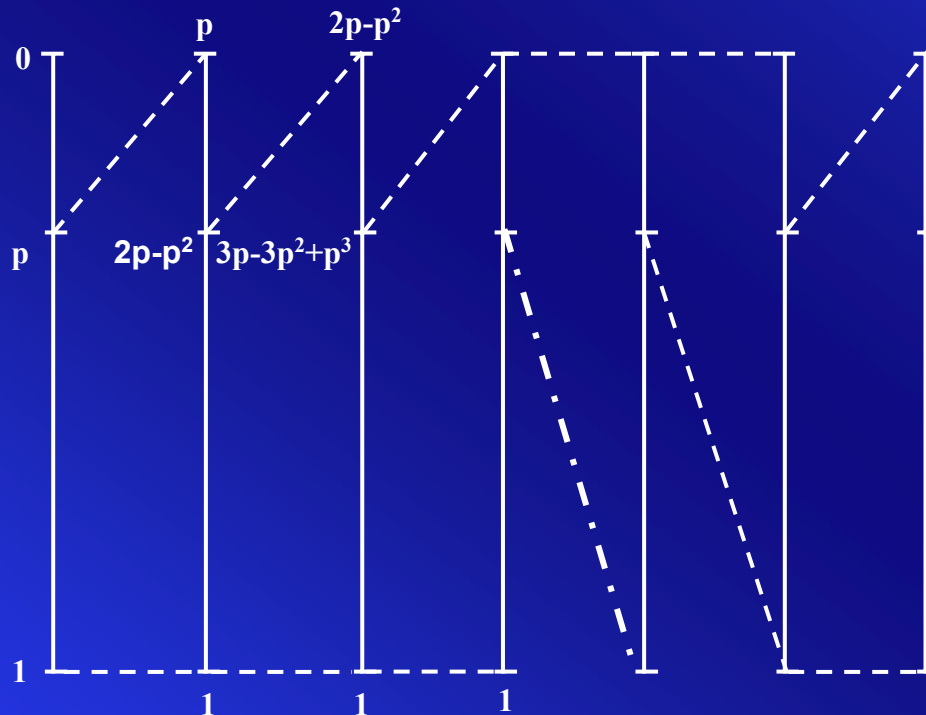
- It is possible to assign a unique code (tag) for any given sequence of symbols

# Arithmetic Coding on Binary Alphabet: Procedure

- Alphabet  $S=\{s_1, s_2\}$ ; Probabilities  $P=\{p, q\}$
- Divide Interval  $[0, 1]$  in the ratio  $p, q$ 
  - Intervals correspond to Symbols
- For Successive Symbols in Input do:
  - Pick Sub-interval Corresponding to symbol
  - Divide the Sub-interval in the ratio  $p, q$
- Pick any Point (Usually Middle) in the final Sub-Interval.
- Binary Encode this point which is the Tag (code) for the Input Sequence

# Arithmetic Coding on Binary Alphabet: Example

- $S = \{0,1\}$ ;  $P = \{p,q\}$ ;  $q = 1-p$   
(Say 0: black ; 1:white and  $q \gg p$ ) (Typical of printed text; fax)
- Example Sequence: 1 1 1 0 0 1 1 1 1 0 1 1



# Question

- Give the procedure for decoding a tag  
(Arithmetic Decoding for Binary Alphabet)



Break

# Arithmetic Coding on Non-Binary Alphabet Preliminaries

- Given: Alphabet  $S$  and Probabilities  $P$
- Define a Random Variable  $X$  such that  $X(s_i)=i$
- Probability Density Function for  $X$ :  
 $P(X=i) = P(s_i)$
- Cumulative Density Function (CDF):

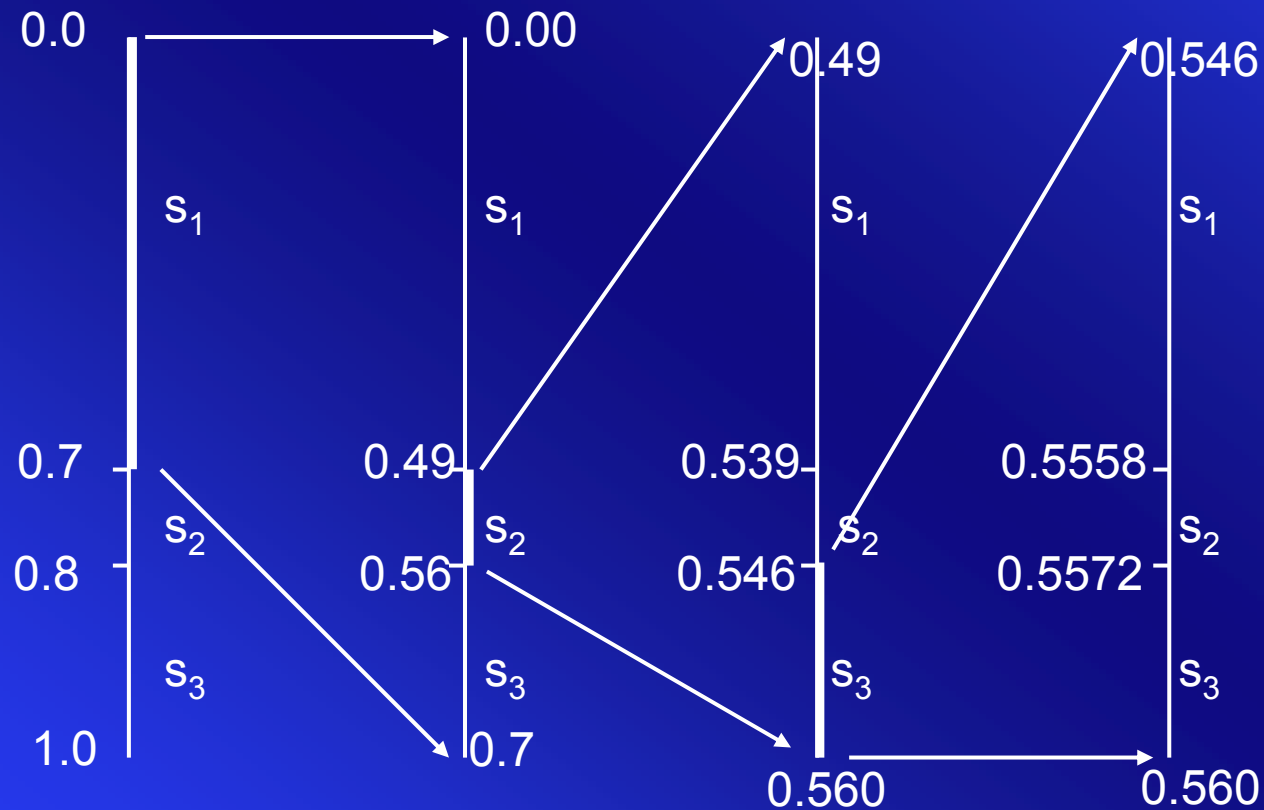
$$F_X(i) = \sum_{k=1}^i P(X = k)$$

# Arithmetic Coding on a Non Binary alphabet: Procedure

- Alphabet  $S=\{s_1,s_2,\dots,s_N\}$  Probabilities  $P=\{p_1,p_2,\dots,p_N\}$
- Divide Interval  $[0,1]$  in proportions given by  
 $F_x(i), 1 \leq i \leq N$ 
  - Intervals Correspond to Symbols
- For Successive Symbols in Input do:
  - Pick Sub-Interval Corresponding to Symbol
  - Divide Sub-Interval in proportions given by  $F_x(i)$
- Pick any point (Usually Middle) in the final Sub-Interval.
- Binary Encode this point which is the Tag (code) for the Input Sequence

# Arith. Coding on Non-Binary Alphabet: Example

- $S=\{a,b,c\}$   $P=\{0.7,0.1,0.2\}$
- $F_X(1) = 0.7, F_X(2) = 0.8, F_X(3) = 1.0$
- CDF is used to partition the interval into sub-intervals of the form:  $[F_X(j-1), F_X(j)]$  where  $X(s_i)=j$



# Decoding Arithmetic Code

- Given: S,P, Tag, m=Length of Sequence
- Start with Interval of [0,1]
- Repeat the following three steps 'm' times
  - Divide Sub-Interval in proportions given by  $F_x(i)$
  - Determine the Sub-Interval containing the Tag
  - Output the Symbol corresponding to the Sub-Interval

# Arithmetic Decoding: Example

$S = \{ a, b, c \}$

$P = \{ 0.7, 0.1, 0.2 \}$

Tag = 0.7665

$L = 0; R = 1;$

$t^* = 0.7665$

$J = 2$  since

$t^* \in [ F_X(1), F_X(2) ]$

$L' \leftarrow 0; R' \leftarrow 1;$

$L \leftarrow 0.7; R \leftarrow 0.8$

$s_m^k \leftarrow b$

$t^* = (0.7665 - 0.7) / (0.1) = 0.665$

$j = 1$  since  $t^* \in [ F_X(0), F_X(1) ]$

$L' \leftarrow 0.7; R' \leftarrow 0.8;$

$L \leftarrow 0.7 + (0.1) 0 = 0.7;$

$R \leftarrow 0.7 + (0.1) 0.7 = 0.77;$

$s_m^k \leftarrow ba$

$t^* = (0.7665 - 0.7) / (0.07) = 0.95$

$j = 3$  since  $t^* \in [ F_X(2), F_X(3) ]$

$L' \leftarrow 0.7; R' \leftarrow 0.77;$

$L \leftarrow 0.7 + (0.007) 0.8 = 0.756;$

$R \leftarrow 0.7 + (0.07) 1 = 0.77;$

$s_m^k \leftarrow bac$

... etc.

# ARITHMETIC ENCODE (in: $s_m^k, \pi$ ; out: tag)

- **begin**
  - Compute  $F_X(i)$ ;
  - $L \leftarrow 0$ ;  $R \leftarrow 1$  ;
  - **for**  $l = 1$  **to**  $m$  **do**
    - $j \leftarrow i_l$ ;
    - $L' \leftarrow L$ ;  $R' \leftarrow R$ ;

# ARITHMETIC ENCODE (in: $smk, \pi$ ; out: $tag$ )

- $L \leftarrow L' (R' - L') F_X (j - 1);$
- $R \leftarrow L' + (R' - L') F_X (j);$
- **endfor**
- $tag \leftarrow a \text{ suitable point } \in [L, R)$
- **end**



# Compute $F_x$

- **begin**
  - $F_x(0) \leftarrow 0$  ;
  - **for**  $i = 1$  **to**  $n$  **do**
    - $F_x \leftarrow F_x(i - 1) + \Pi(i)$ ;
  - **endfor**
- **end**

# ARITHMETIC DECODE (in: $tag, \pi$ ; out:

$s_m^k$ )

- **begin**
  - $s_m^k \leftarrow \emptyset$
  - COMPUTE\_ $F_X$ ;
  - $L \leftarrow 0; R \leftarrow 1$ ;
  - **for**  $l = 1$  **to**  $m$  **do**
    - $t^* \leftarrow (tag - L)/(R - L)$ ;
    - Find  $j$  ( $1 \leq i \leq n$ ) s.t.  $t^* \in [F_X(j - 1), F_X(j)]$ ;

# ARITHMETIC DECODE (in: $tag, \pi$ ; out: $s_m^k$ )

- $L' \leftarrow L; R' \leftarrow R;$
- $L \leftarrow L' + (R' - L') F_X(j - 1);$
- $R \leftarrow L' + (R' - L') F_X(j);$
- $s_m^k \leftarrow s_m^k \circ s_j$

– endfor

- end

# Question

- What is the Complexity of Arithmetic Coding?
- What is the Complexity of Arithmetic Decoding?  
(Asymptotic Complexity)

# Dictionary Technique

- Build a list of commonly occurring patterns :  
Dictionary D
- A pattern occurring in the input is searched  
in D
  - if found, use its index in D in the encoding
  - else code it with some (less efficient)  
method

# Example

$$L = \{a, b, \dots, z\}$$

$$P = \{ ' ' , ' ' , ' ' , ' ? ' , ' . ' , ' ! ' \}$$

$$S = L \cup P$$

Alphabet size = 32

- Consider 4-character words
  - first 3 characters  $\in L$
  - last character  $\in P$
- If each character is equally likely,
  - $\Rightarrow$  5 bits/character
  - $\Rightarrow$  20 bits/word

# Example (Contd.)

- Size of Dictionary,  $D = 256$
- Put 256 most likely words in  $D$
- If word (pattern)  $\in D$  then
  - transmit/store 0<8-bit index>      9 bits
- Else transmit/store 1<20-bit encoding>  $\Rightarrow$  21 bits
- $p$  = probability of word  $\in D$
- Average number of bits/word,  
 $R = 9p + 21(1-p) = 21 - 12p$
- For  $R$  to be  $<20$ ,  $p$  should be  $\geq \frac{1}{12} = 0.084$

# Dictionary Coding Variants

- Generic: Lempel – Ziv Coding
- Variants
  - LZ77, LZ78, LZW
- Applications:
  - UNIX compress
  - Gzip
  - GIF
  - V.42 (Compression over modems)



# LZ77: Outline of Encoding

- Process portion of input under a sliding window.
- Sliding window has two parts:
  - Search Buffer (B1): Contains portion of recently encoded sequence.
  - Look-ahead buffer (B2) contains next portion of the sequence to be encoded.
- Move a Search pointer ( from right to left ) over search buffer B1 to look for the longest match for the symbols in the Look-ahead buffer B2.
- If match is found, encode it with  $\langle o, l, c \rangle$ 
  - o: Offset = distance of the pointer in B1 from the start of B2
  - l : Length of match,
  - c: Codeword of symbol in B2 that follows the match

# LZ77: Encoding Example

Input: .....c a b r a c a d a b r a r r a r r a d....

Sliding window length = 13, length of B1 = 7, length of B2 = 6

Current Position: c a b r a c a | d a b r a r

- Look for a match for 'd' in B1.
  - None. Encoding:  $\langle 0,0,C(d) \rangle$  ( where  $C(d)$  is code for 'd')
  - Slide window by 1 character

a b r a c a d | a b r a r r

- Matches for 'a':
    - at offset 2, length 1
    - at offset 4, length 1
    - at offset 7, length 4
- Encoding :  $\langle 7,4,C(r) \rangle$

# LZ77: Encoding Example (Contd.)

- Slide window by 5 characters.

a d a b r a r | r a r r a d

- Matches for r
  - at offset 1,length 1
  - (at offset 3, length 3)
  - at offset 3,length 5 ( using characters in B2 also)
  - Encoding :  $\langle 3,5,C(d) \rangle$
  - Encoded String :
    - $\langle 0,0,C(d) \rangle$  ,  $\langle 7,4,C(r) \rangle$  ,  $\langle 3,5,C(d) \rangle$

# LZ77 Decoding Example

- Input:  $\langle 0,0,C(d) \rangle$  ,  $\langle 7,4,C(r) \rangle$  ,  $\langle 3,5,C(d) \rangle$  ...

c a b r a c a ↑

- Process  $\langle 0,0,C(d) \rangle$       Output d

c a b r a c a d

- Process  $\langle 7,4,C(r) \rangle$

Move back 7 characters and copy 4 characters. Then append 'r'.

c a b r a c a d | a b r a r

decoded characters

- Process  $\langle 3,5,C(d) \rangle$

Move Back 3 characters and copy 5 characters. Then append 'd'.

c a b r a c a d a b r a r | r a r r a d

decoded characters

# Question

- Develop the pseudocode for LZ77 *encoding* as described in the previous slides
- Develop the pseudocode for LZ77 *decoding* as described in the previous slides

# Predictive Coding

# Lossless Predictive Coding

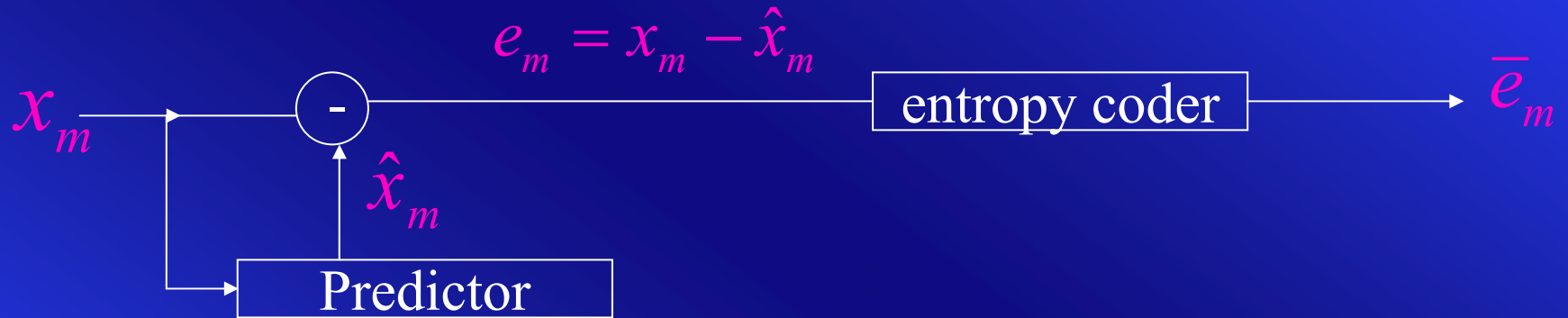
- Predictive technique
- Makes use of correlation between neighboring values
  - In typical images values of adjacent pixels are highly correlated
  - In typical audio values of adjacent samples are highly correlated (speech consists of mainly silence)
- DPCM (Differential Pulse Code Modulation):  
Most Common form of Lossless Predictive Coding

# Lossless DPCM: General Procedure

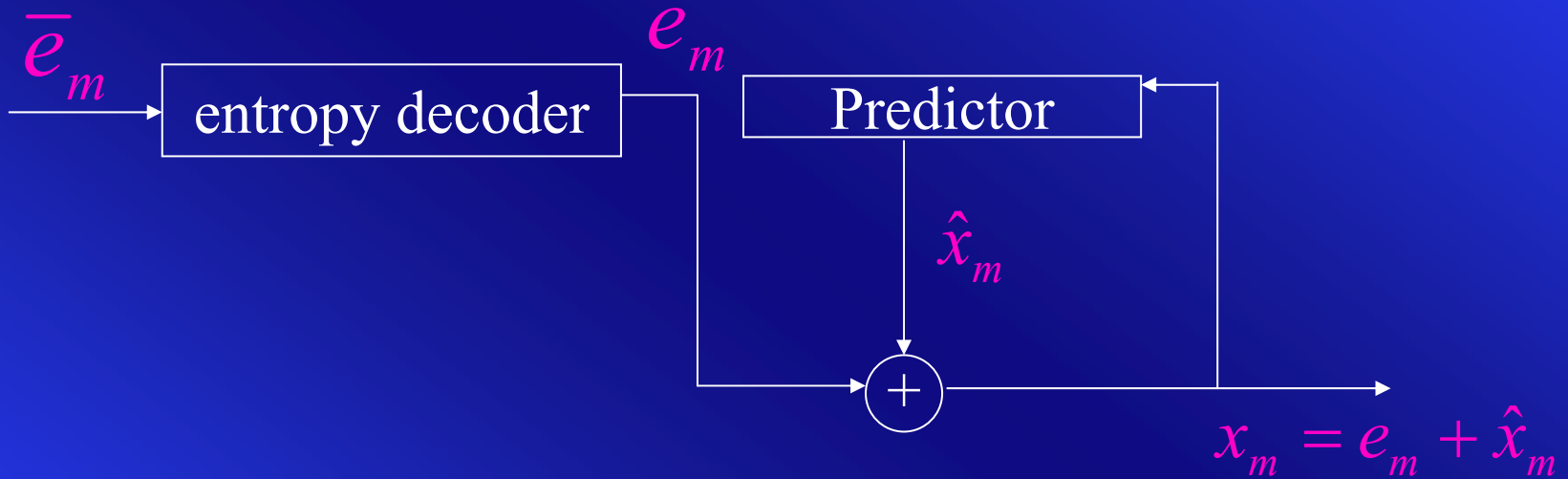
- Use values of neighboring pixels to predict value of the current pixel.
  - Idea is to remove any structure that might exist.
- Find the difference between the actual and predicted values
  - Difference also called Error / Residual
- Encode the Residual



# Lossless DPCM Encoder



# Lossless DPCM Decoder



# Bit Plane Encoding (in;l; out; code)

- Consider the Binary or Gray (or any suitable) code  $c_{x,y}$  for each of pixel value  $I(x,y)$  of the image. Assume that the code is  $n$  bits.
- Divide  $I$  into bit planes:  $T_{n-1}, T_{n-2}, \dots, T_0$ , where  $T_{k(x,y)}$  is the  $k^{\text{th}}$  bit of  $c_{x,y}$
- Run Length Encode each  $T_k$ ,  $0 \leq k \leq n-1$ , separately.
- Consider the collective alphabet of the run-length codes of all the bit planes, and derive the Huffman code.
- Huffman encode the run-length codes of each bit-plane  $T_k$

# Binary $\leftrightarrow$ Gray Conversions

$$B = b_{n-1} \dots b_1 b_0$$

$$G = g_{n-1} \dots g_1 g_0$$

$$\text{Binary} \rightarrow \text{Gray: } g_{n-1} = b_{n-1}; g_i = b_i \oplus b_{i+1}, \quad 0 \leq i \leq n-2$$

$$\begin{aligned} \text{Gray} \rightarrow \text{Binary: } b_{n-1} &= g_{n-1}; b_i = g_i \oplus g_{i+1} \oplus \dots \oplus g_{n-1} \quad 0 \leq i \leq n-2 \\ &= g_i \oplus b_{i+1} \end{aligned}$$

End of lecture